: 429981/0013 03-26-2001 U.S. DEPARTMENT OF COMMERCE FORM PTO-1595 (Modified) RECORDA" (Rev. 6-93) Patent and Trademark Office OMB No. 0651-0011 (exp.4/94) PAI Copyright 1994-97 LegalStar P08/REV02 101647712 Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. Name and address of receiving party(ies): 1. Name of conveying party(ies): Seng-Tiong Ho and Mee Koy Chin Name: Nanovation Technologies, Inc. Internal Address: ☐ Yes ☒ No Additional names(s) of conveying party(ies) Nature of conveyance: Street Address: 2665 South Bayshore Drive, Suite 501 Merger Change of Name ☐ Security Agreement \_\_\_ State: <u>FL</u> ZIP: 33133 City: Miami ☐ Other Execution Date: December 28, 2000 and February 28, 2000 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent Perish that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 09/657,397, filed Sepember 8, 2000 **Date of Signature** ☑ No Additional numbers attached? Yes 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Steven B. Pokotilow 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Stroock & Stroock & Lavan LLP Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account 03857397 Street Address: 180 Maiden Lane 8. Deposit account number: 19-4709 State: NY ZIP: 10038 City: New York DO NOT USE THIS SPACE  $\ddot{\mathbb{C}}$ 9. Statement and signature. To the best of my knowledge and pelief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

Total number of pages including cover sheet, attachments, and document:

Steven B. Pokotilow

Name of Person Signing

PATENT
REEL: 011605 FRAME: 0256

March 9, 2001

Date

Docket No. 429981/013 SBP:DMF

1181, Evergrein Drive, Bridgewater, NJ08807

## ASSIGNMENT

WHEREAS, we, Seng-Tiong Ho, citizen of Singapore, residing at 120 Picardy Lane, Wheeling, Illinois, 60090, and Mee Koy Chin, citizen of Malaysia, residing at 3506 Elmwood Avenue, Wilmette, Illinois, 60091, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in LOW DRIVE VOLTAGE OPTICAL MODULATOR for which we have executed and filed an application for Letters Patents of the United States on September 8, 2000 as Application Serial No. 09/657,397 (the "Application);

WHEREAS, Nanovation Technologies, Inc., a corporation having a principal place of business at 2665 South Bayshore Drive, Suite 501, Miami, Florida 33133, hereinafter referred to as ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the improvements and the Application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its respective successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the Application and all divisions, renewals, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do

1021034~1

PATENT REEL: 011605 FRAME: 0257 everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, we have set our hand and scal to this Assignment.

28 Dec ,2000

State of ILLINOIS

) )ss.: 022-(0-7998

County of USA

On this \_\_\_\_ day of \_\_\_\_ \_\_\_, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appeared SENG-TIONG HO, to be known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed,

MEE KOY CHIN

On this 28th day of Forman, 2000 before me, a Notary Public in and for the State and County aforesaid, personally appleared MEE KOY CHIN, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

> TRACEY F. EUTSEY GREGORY NOTARY PUBLIC OF NEW JERSEY

My Commission Expires Dec. 23, 2003

1021034-1

RECORDED: 03/13/2001

**PATENT** REEL: 011605 FRAME: 0258