03-26-2001 *)ATION FORM COVER SHEET* FORM P1 U.S. DEPARTMENT OF COMMERCE PATENTS ONLY Patent and Trademark Office To the ease record the attached original documents or copy thereof. 101648332 Name of conveying party(ies): 2. Name and address of receiving party(ies): Edward Epstein, Burn Lewis and Etienne Marcheret Name: IBM Corporation Additional name(s) of conveying party(ies) attached? 

Yes 

No 3. Nature of conveyance: Address: Assignment Merger Security Agreement Change of Name Other\_ City: Armonk State: NY Zip: 10504 Execution Date: 28 February 2001 Additional name(s) & address(es) attached? \(\backsim\) Yes \(\omega\) No 4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: 28 February 2001 A. Patent Application No(s). B. Patent No(s). 09796322 Additional numbers attached? ☐ Yes 🛛 No Name and address of party to whom correspondence 6. Total number of applications and concerning document should be mailed: patents involved: 7. Total fee (37 CFR 3.41): \$ 40.00 Name: Stanley D. Ference III Enclosed Authorized to be charged to deposit account FERENCE & ASSOCIATES □ Charge any deficiency to deposit account Address: 129 Oakhurst Road 8. Deposit account number: 50-0510 (Attach duplicate copy of this page if paying by deposit City: Pittsburgh State: PA Zip: 15215 account) 03/23/2001 DBYRNE 00000209 500510 09796322 DO NOT USE THIS SPACE C:581 40.00 CH 9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and copy of the original document.

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ionature

Total number of pages including cover sheet, attachments, and document:

Stanley D. Ference III

Name of Person Signing

PATENT REEL: 011606 FRAME: 0289

28 February 2001

Date

## Assignment

Whereas, we

INVENTOR AND CITY (1) Edward Epstein County of Putnam

of Putnam Valley, and State of New York

INVENTOR AND CITY

(2) Burn Lewis County of Westchester of Ossining. and State of New York

INVENTOR AND CITY (3) Etienne Marcheret County of Westchester of Mt. Kisco, and State of New York

have invented certain improvements in

TITLE

SPEECH RECOGNITION IN NOISY ENVIRONMENTS

and executed, respectively, a United States patent application therefor on even date herewith.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## Signed and sealed

CITY AND DATE

(1) at Yorktown Heights on 2) Fa , 2001,

3ABA MANDS

SIGNATURE

PIRST NAME

MIDDLE INITIAL

Epstein) INVENTOR

CITY AND DATE

(2) at Yorktown Heights on Feb 22, 2001,

(Burn FIRST NAME

MIDDLE INITIAL LAST NAME

SIGNATURE Lewis) INVENTOR

Page 1 of 2

PATENT

REEL: 011606 FRAME: 0290

CITY AND DATE

(3) at Yorktown Heights on Feb 28, 2001,

Marcheret)

LAST NAME

Page 2 of 2

REEL: 011606 FRAME: 0291