

03-26-2001



FORM PTO-1596 (MODIFIED)  
(REV. 6-93)  
OMB NO. 0651-0011  
P09/REV01

101647236  
JUL 11 2001  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of the conveying party(ies):

Troy Daniel McCarthy

☒ Individual(s) ☐ (Association)  
☐ General Partnership ☐ Limited Partnership  
☐ Corporation: ☐ Other:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

Dana Corporation  
4500 Dorr Street  
Toledo, OH 43697

10979 U.S. PTO  
09/792971  
02/26/01

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: February 16, 2001

☐ Individual(s) Citizenship:  
☐ Association:  
☐ General Partnership:  
☐ Limited Partnership:  
☒ Corporation-State: Virginia  
☐ Other:

Additional name(s) & address(ies) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Patent Application No.(s)

Filed herewith

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

09792971

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank B. McDonald, Esq.

Internal Address: RADER, FISHMAN & GRAUER PLLC

Street Address: 383 Inverness Drive South, Suite 140

City: Englewood State: Colorado Zip: 80112

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 18-0013

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Frank B. McDonald

*Frank B. McDonald*  
Signature

February 26, 2001

Date

Total number of pages including cover sheet, attachments and document: 2 Atty. Docket No. 60680-1365

Express Mail Label No. ET056310493US

CO001402

**PATENT**  
**REEL: 011606 FRAME: 0563**

# ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Troy Daniel McArthy residing, at 4236 ST RD 327, Auburn, IN 46706-9542 hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: VALVE SEAL ASSEMBLY WITH DUAL FINGER RETAINER SYSTEM

Filing Date: Filed Herewith Application No.: To Be Assigned ; and

WHEREAS, **Dana Corporation**, located at 4500 Dorr Street, Toledo, Ohio 43697, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by the Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that he will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Date: Feb 16, 01

Troy Daniel McArthy  
Troy Daniel McArthy

STATE OF Indiana

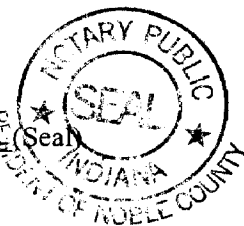
COUNTY OF NOBLE

On 2-16-01, before me REBECCA L. WORKMAN Notary Public, personally appeared Troy Daniel McArthy, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature: Rebecca L. Workman (Seal)

EXPIRE 11-2001



CO001287