

03-26-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



IR SHEET

To the Honorable Commissioner

101647081

the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b>	<b>2. Name and address of receiving party(ies):</b>
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William PLASSMANN

Name: BASF Corporation  
Street Address: 26701 Telegraph Road  
City: Southfield State: Michigan  
Zip: 48034-2442

Additional numbers attached? ☒ Yes ☐ No

MAR - 8 2001

<b>3. Nature of conveyance:</b>
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<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other	<input type="checkbox"/>

Execution Date: 12/15/00, 12/15/00, 2/27/2001 Additional numbers attached? ☐ Yes ☒ No

<b>4. Application number(s) or patent number(s):</b>
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If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Attorney's Docket No. IN-8331  
Application No. 09/740,329  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

<b>5. Name and address of Party to whom correspondence concerning document should be mailed:</b>	<b>5a. Name and address of Party to whom correspondence concerning document should be mailed:</b>
Name: <u>Mary E. Golota</u> Internal Address: <u>BASF Corporation</u> Street Address: <u>26701 Telegraph Road</u> City: <u>Southfield</u> State: <u>Michigan</u> Zip: <u>48034-2442</u>	Name: <u>Anne Gerry Sabourin</u> Internal Address: <u>BASF Corporation</u> Street Address: <u>26701 Telegraph Road</u> City: <u>Southfield</u> State: <u>Michigan</u> Zip: <u>48034-2442</u>

<b>6. Total number of applications and patents involved:</b> <u>One</u>
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<b>7. Total fee (37 CFR 3.41):</b> .....\$40.00	<b>8. Deposit account number:</b> <u>23-3425</u>
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Enclosed  
Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)  
DO NOT USE THIS SPACE

<b>9. Statement and signature.</b>
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Majorie Ellis

*Majorie Ellis*

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 5, 2001.

Name of Person Signature

Signature

Date

-5-

Total number of pages comprising cover sheet:

03/23/2001 LUELLER 00000099 233425 09740329

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PATENT  
REEL: 011606 FRAME: 0741

**SECOND PAGE OF RECORDATION FORM COVER SHEET**  
**Patent only**

**Additional Name(s) of Conveying Party(ies)**

2. Anthony J. TYE

2. Patrick MORMILE

## ASSIGNMENT

WHEREAS, I (WE), William H. PLASSMANN, Anthony J. TYE, and Patrick MORMILE residing at 853 Cherry Lane, Waterville, Ohio 43566, United States of America; Waterville, Ohio 43566, United State of America; 5800 Avelon Valley Drive, Charlotte, North Carolina 28277, United State of America(declarant(s)) declare that I (WE) have invented certain improvements in: COATING COMPOSITION CONTAINING ALCOHOLIC REACTIVE DILUENTS hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/740,329, filed on December 18, 2000 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the

Docket: IN-8331

aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: COATING COMPOSITION CONTAINING ALCOHOLIC  
REACTIVE DILUENTS

Docket: IN-8331

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 12-15-00

Signed: William H. Plassmann  
Typed Name: William H. PLASSMANN

Witnessed:

Name J. L. C. M.  
Address 2028 W. 1st St.  
Los Angeles, CA 90012

Witnessed:

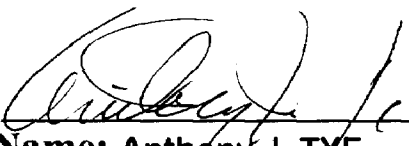
Name Anne Sabourin  
Anne Sabourin  
Address 22866 Highbank  
Beverly Hills, CA 90225

Title: COATING COMPOSITION CONTAINING ALCOHOLIC  
REACTIVE DILUENTS

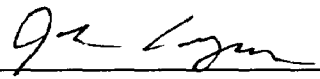
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The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

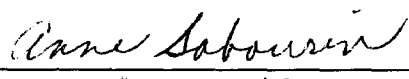
Dated: 12/15/00

Signed:   
Typed Name: Anthony J. TYE

Witnessed:

Name   
Address 2455 W. 10th St  
Tulsa OK 74114

Witnessed:

Name   
Address ANNE SABOURIN  
22866 Highbank  
Beverly Hills, MI 48025

Title: COATING COMPOSITION CONTAINING ALCOHOLIC  
REACTIVE DILUENTS

Docket: IN-8331

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Dated: 2/27/2001

Signed: Patrick J. Mormile 2/27/2001  
Typed Name: Patrick Mormile

Witnessed:

Name William Kier

Address 353 Pennington Pl.  
Rock Hill SC 29732

Witnessed:

Name Wayne Whelan

Address 7450 Captain John Lane  
Charlotte, N.C. 28273