

05-23-2001

T-694 P.02/08 F-883

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Bavarian Nordic Research Institute GmbH

5-18-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Assignment Document with corrected Name of Conveying Party(ies) Recorded on December 14, 2000, Reel 011373, Frames 0088-0092.

Execution Date November 16, 2000

2. Name and address of receiving party(ies)

Name: Austrian Nordic Biotherapeutics AG

Internal Address: _____

Street Address: Veterinaerplatz 1

City: Vienna Country: AUSTRIA ZIP A-1210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No (s)

09/610,215

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed.

Name: Anne J. Collins, Esq

Internal Address: _____

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed☒ Authorized to charge any deficiencies or credit any overpayment to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

Charge 40.00
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Fee

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Anne J. Collins

Name of Person Signing

Signature

May 18, 2001

Date

Total number of pages including cover sheet, attachments, and document [7]

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SHEET

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To the Honorable Commissioner of Patents and Trademarks

attached original documents or copy thereof

1. Name of conveying party(ies) Bavarian Nordic Research Institute A/S 12-14-00 Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name <u>Austrian Nordic Biotherapeutics AG</u> Internal Address _____ _____ _____ Street Address <u>Veterinaerplatz 1</u> _____ City <u>Vienna</u> Country <u>AUSTRIA</u> ZIP <u>A-1210</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance <input checked="" type="checkbox"/> Assignment w/ Authorization <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>November 16, 2000</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No (s) <u>09/610,215</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Anne J. Collins, Esq</u> Internal Address _____ <u>Hamilton, Brook, Smith & Reynolds, P.C</u> _____ Street Address: <u>Two Militia Drive</u> _____ City: <u>Lexington</u> State <u>MA</u> ZIP: <u>02421-4799</u>		6. Total number of applications and patents involved <u>[1]</u> 7. Total Fee (37 C.F.R. 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to charge any deficiencies or credit any overpayment to deposit account <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>08-0380</u> (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Anne J. Collins Name of Person Signing <u>Anne J. Collins</u> Signature <u>December 11, 2000</u> Date Total number of pages including cover sheet, attachments, and document: <u>[5]</u>			

12/28/2000 DNGUYEN 00000206 09610215

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40.00 DP

Docket No.: 2316.2003-000

ASSIGNMENT OF UNITED STATES PATENTS AND PATENT APPLICATION

Whereas, *Bavarian Nordic Research Institute GmbH*, a corporation organized and existing under the laws of Germany, having a place of business at *Fraunhoferstrasse 18b, Martinsreid, Germany D-82152* (hereinafter "Assignor") is an owner of a certain right, title and interest in and to the United States Patent Application No. 09/610,215, entitled "Reconstituting Retroviral Vector (ReCon Vector) for Targeted Gene Expression," filed on July 5, 2000.

Whereas, *Austrian Nordic Biotherapeutics AG*, a corporation organized and existing under the laws of Austria, having a place of business *Veterinaerplatz 1, A-1210 Vienna, Austria* (hereinafter "Assignee") is desirous of acquiring Assignor's entire right, title and interest in and to the Patents.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does hereby sell, assign and transfer Assignor's entire right, title and interest in and to the Patents and all reissues and extensions thereof, including all claims, if any, which may have arisen for infringement of the patents prior to the date of this assignment, all said rights to be held and enjoyed by the Assignee for its own use and for the use of its successors, assigns or other legal representatives, to the full end of the term for which the Patents have been or will be granted, extended or reissued, as fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment and sale had not been made.

Assignor further agrees with Assignee that Assignor will, without demanding any further consideration therefor, at the request but at the expense of Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, or reissuing the Patents, and for maintaining and perfecting Assignee's right to the Patents and that this instrument shall be construed pursuant to the laws of the Commonwealth of Massachusetts.

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IN WITNESS WHEREOF, Assignor has caused these presents to be signed by its duly authorized officer below named effective as of the date written below.

ACCEPTED BY: *Austria Nordic Biotherapeutics AG**Bavarian Nordic Research Institute A/S*BY: B. Salmons
NameBY: P. Peltzer
NameTITLE: CEOTITLE: Patent DirectorDate: 11-16-00Date: 11-16-00Witness: [Signature] Date: 11-16-00Address: A-1220 Wien, Fohrburg 38Witness: [Signature] Date: 11-16-00Address: Am Kirchplatz 782340 Feldafing

City of Copenhagen
Denmark

Authorisation (Handlungsvollmacht)

Bavarian Nordic Research Institute A/S, Vesterbrogade 149, DK-1620 Copenhagen V, Denmark herewith authorize Dr. Petra Pielken to sign on behalf of Bavarian Nordic Research Institute A/S all documents relating to filing, prosecution and issuance of patents in the name of Bavarian Nordic Research Institute A/S and its subsidiaries, as well as to represent Bavarian Nordic Research Institute A/S in all matters relating to intellectual property.

This authorisation remains in force until further notice.

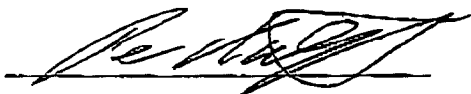
Bavarian Nordic Research Institute A/S, Vesterbrogade 149, DK-1620 Copenhagen V, Denmark, bevollmächtigt hiermit Dr. Petra Pielken für die Bavarian Nordic Research Institute A/S sowie ihrer Tochtergesellschaften auf dem Gebiet des Gewerblichen Rechtsschutzes zu handeln und zu zeichnen und das Unternehmen auf diesem Gebiet nach außen hin zu vertreten.

Diese Vollmacht ist gültig bis auf Widerruf.

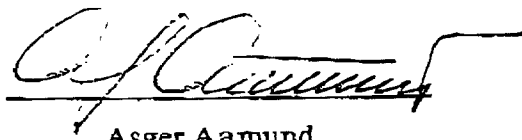
Signed at

Copenhagen

on 23-8-99



Peter Wulff
President



Asger Aamund
Board Director

191227 03 0101-2101 23.08.99 RA
40150-03703/99 200.00 K

This is to certify that Messrs.

Peter Wulff
and
Asger Aamund

whose identity was proved to me by passport respectively driving licence and who on this day in my presence have signed this document, according to the register of the Danish Commerce and Companies Agency are entitled to sign jointly on behalf of the company

Bavarian Nordic Research Institute A/S.

There were no obvious amendments or additions to the document, with the exception of those denoted by my signature (initials).

In testimony whereof I have hereunto signed my name and affixed the notarial seal of office.

Notariate of Copenhagen, Denmark, August 23rd, 1999.


Ilse Greby

Notary Public of Copenhagen

Fee: 200,- DKK.

