

03-27-2001

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APPENDIX B



DOCKET NO. 782_153

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Form PTO 1595

U.S. Department of Commerce

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Katsuhiro IMAI, Akihiko HONDA and
Minoru IMAEDA

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: NGK Insulators, Ltd.
Address: 2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date February 22, 2001

4. Application number(s) or patent number(s)

If this document is being filed together with a **NEW APPLICATION**, the execution date of this application is February 22, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

09 799309

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Stephen P. Burr

Address: BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed
☒ Authorized to charge any deficiency or credit any over payment to
Deposit Account No. 50-1446.

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8. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen P. Burr
Reg. No. 32,970

March 5, 2001

Date

Total Number of Pages Comprising Cover Sheet 3

OMB NO 0651-0011(EXP. 4/94)

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PATENT
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ASSIGNMENT

* 00P-0076 請注意
1/2 (01010)

(1-8) Insert Name(s) of Inventor(s)

(1)	<u>Katsuhiko IMAI</u>	(5)	<u></u>
(2)	<u>Akihiko HONDA</u>	(6)	<u></u>
(3)	<u>Minoru IMAEDA</u>	(7)	<u></u>
(4)	<u></u>	(8)	<u></u>

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee (9) NGK INSULATORS, LTD.

(10) Insert address of Assignee (10) 2-56, Suda-Cho, Mizuho-Ku, Nagoya City,
Aichi Pref., Japan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number (11) PROCESS FOR PRODUCING A PLANAR BODY OF AN OXIDE
SINGLE CRYSTAL
(Case No.)

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of Application (12) on February 22, 2001

(13) Alternative identification (13) U.S. Application(s) Serial Number(s)
for filed application(s) filed

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Stephen P. Burr, of *BURR & BROWN* the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date February 22, 2001 Signature Katsuhiro Imai (SEAL)
Katsuhiro IMAI

Date February 22, 2001 Signature Akihiko Honda (SEAL)
Akihiko HONDA

Date February 22, 2001 Signature Minoru Imaeda (SEAL)
Minoru IMAEDA

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date February 22, 2001 Witness Tatsuya Sawada

Date February 22, 2001 Witness Yoriko Konda