

03-27-2001

Docket No.: 13948 (END-698)

FORM PTO-1595 (Modified)
(Rev. 8-93)
OMB No. 0851-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02



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R SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Chester O. Baxter
Richard M. Harper

3/01/01

2. Name and address of receiving party(ies):

Name: **Ethicon Endo-Surgery, Inc.**Address: **4545 Creek Road, Mail Location 97**Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **2/15/01 & 2/16/01**City: **Cincinnati** State/Prov.: **OH**Country: **USA** ZIP: **45242**Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **2/15/01 & 2/16/01**

Patent Application No. _____ Filing date _____

B. Patent No.(s)

09796855-

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kenneth L. King**Registration No. **24,223**Address: **Scully, Scott, Murphy & Presser****400 Garden City Plaza**City: **Garden City** State/Prov.: **NY**Country: **USA** ZIP: **11530**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William C. Roch

Name of Person Signing

Signature

March 1, 2001

Date

Total number of pages including cover sheet, attachments, and document: **4**

PATENT

REEL: 011611 FRAME: 0118

A S S I G N M E N T

Serial No.: to be assigned

Filed: concurrently herewith

WHEREAS, Chester O. Baxter, a citizen of the United States of America, residing at Loveland, Ohio, U.S.A., and Richard M. Harper, a citizen of the United States of America, residing at Cincinnati, Ohio, U.S.A. (hereinafter called "Assignor"), has made certain new and useful inventions or discoveries relating to

**THUMB PAD ACTUATOR FOR AN ULTRASONIC
SURGICAL INSTRUMENT**

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Ethicon Endo-Surgery, Inc., a corporation formed under the laws of the State of Ohio, (hereinafter called "Assignee"), is desirous of acquiring Assignor's entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignor has sold, assigned, and transferred, and does hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made;

And Assignor hereby authorizes and requests the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignor covenants and agrees with said Assignee that they have a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenants and agrees that they will, whenever requested, but without cost to them promptly communicate to said Assignee or

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its representatives any facts known to him relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

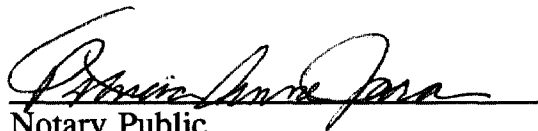
IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 16th day of February, 2001.



Chester O. Baxter (L.S.)

STATE OF Ohio)
) ss.
COUNTY OF Hamilton)

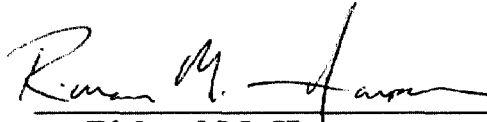
BE IT REMEMBERED, That on this 16th day of February, 2001, before me, a Notary Public, personally appeared **Chester O. Baxter**, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.



Notary Public

PATRICIA ANNE JARA
Notary Public, State of Ohio
My Commission Expires Sept. 22, 2008

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this
15th day of February, 2001.


Richard M. Harper (L.S.)

STATE OF Ohio)
) ss.
COUNTY OF Hamilton)

BE IT REMEMBERED, That on this 15th day of February,
2001, before me, a Notary Public, personally appeared **Richard M. Harper**, who I am
satisfied is the person named in and who executed the foregoing instrument in my presence,
and I having first made known to him the contents thereof, he did acknowledge that he signed,
sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein
expressed.


Notary Public

PATRICIA ANNE JARA
Notary Public, State of Ohio
My Commission Expires Sept. 22, 2008