

FORM PTO 1595
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REC

03-28-2001

JCO2 Rec'd PTO 08 FEB 2001
Patent and Trademark Office

Doc. No. 197065.1



Docket No. MICRU:55261

101651158

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DAVID A. FERRERA; LOK A. LEI; JULIA A. LARSEN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

X Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 8/14/00; 8/21/00; 7/2700;

2. Name and address of receiving party(ies):

Name: MICRUS CORPORATION

Internal Address:

Street Address: 495 Clyde Avenue

City: Mountain View, State: California Zip: 94043

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: FULWIDER PATTON LEE & UTECHT, LLP

Internal Address: ATTN: JAMES W. PAUL, ESQ.

Street Address: HOWARD HUGHES CENTER
6060 CENTER DRIVE
10TH FLOOR

City: LOS ANGELES State: CA Zip: 90045

6. Total No. of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

X Enclosed

X Authorized to be charged to deposit account

X Any deficiencies in enclosed fees

8. Deposit account number: 06-2425
(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James W. Paul, Esq., - Reg. No. 29,967

Name of Person Signing

Signature

February 8, 2001
Date

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET: 12

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for review and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Information Officer, Washington, D.C. 20231, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011614 FRAME: 0918

ASSIGNMENT

This Assignment, made by DAVID A. FERRERA of Manhattan Beach, California, DANIEL R. KURZ of Sunnyvale, California, LOK A. LEI of San Jose, California, JULIA A. LARSEN of San Mateo, California, Assignors, to MICRUS CORPORATION, a Delaware corporation, Assignee, having a place of business at 495 Clyde Avenue, Mountain View, California 94043.

WHEREAS, Assignors have invented a new and useful VASOOCCLUSIVE DEVICE FOR TREATMENT OF ANEURYSMS, for which an International Application under the Patent Cooperation Treaty was filed on December 4, 1998, Application No. PCT/US98/25822, from which a U.S. National Phase Application for United States Letters Patent has been executed by them this day; and

WHEREAS, Assignors believe themselves to be the original and first inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire

right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the
date written hereinbelow.

Assignors:

Date: 8-21-00



David A. Ferrera

Date: _____

Daniel R. Kurz

Date: _____

Lok A. Lei

Date: _____

Julia A. Larsen

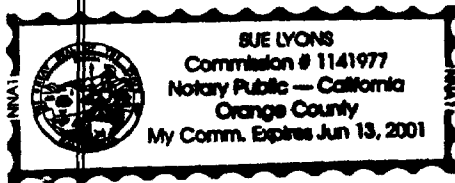
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of CaliforniaCounty of OrangeOn 8/21/00 before me, Sue Lyons, Notary Public
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"personally appeared David Ferrara

☐ personally known to me -OR- ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Sue Lyons
SIGNATURE OF NOTARY



OPTIONAL SECTION
CAPACITY CLAIMED BY SIGNER

Though statute does not require the Notary to fill in the date below, doing so may prove invaluable to persons relying on the document.

☒ INDIVIDUAL
☐ CORPORATE OFFICER(S)

TITLE(S)
☐ PARTNER(S) ☐ LIMITED
☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

THIS CERTIFICATE MUST BE ATTACHED TO
THE DOCUMENT DESCRIBED AT RIGHT:

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it could prevent fraudulent reattachment of this form.

OPTIONAL SECTION
TITLE OR TYPE OF DOCUMENT

NUMBER OF PAGES 3 DATE OF DOCUMENT

SIGNER(S) OTHER THAN NAMED ABOVE

Assignment
8/21/00

ASSIGNMENT

This Assignment, made by DAVID A. FERRERA of Manhattan Beach, California, DANIEL R. KURZ of Sunnyvale, California, LOK A. LEI of San Jose, California, JULIA A. LARSEN of San Mateo, California, Assignors, to MICRUS CORPORATION, a Delaware corporation, Assignee, having a place of business at 495 Clyde Avenue, Mountain View, California 94043.

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WHEREAS, Assignors believe themselves to be the original and first inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire

right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the
date written hereinbelow.

Assignors:

Date: _____
David A. Ferrera

Date: _____
Daniel R. Kurz

Date: 8-14-02 _____
Lok A. Lei

Date: _____
Julia A. Larsen

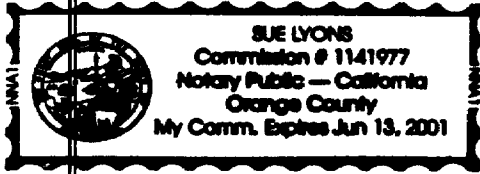
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of CaliforniaCounty of OrangeOn 8/14/00 before me, Sue Lyons, Notary Public
DATE NAME, TITLE OF OFFICER E.G., "JANE DOE, NOTARY PUBLIC"personally appeared Lok Lei

☐ personally known to me -OR- ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Sue Lyons
SIGNATURE OF NOTARY



OPTIONAL SECTION
CAPACITY CLAIMED BY SIGNER

Though statute does not require the Notary to fill in the date below, doing so may prove invaluable to persons relying on the document.

☒ INDIVIDUAL
☐ CORPORATE OFFICER(S)

TITLE(S)
☐ PARTNER(S) ☐ LIMITED
☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

THIS CERTIFICATE MUST BE ATTACHED TO
THE DOCUMENT DESCRIBED AT RIGHT:

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it could prevent fraudulent reattachment of this form.

OPTIONAL SECTION
TITLE OR TYPE OF DOCUMENT Assignment
NUMBER OF PAGES 3 DATE OF DOCUMENT 8/14/00
SIGNER(S) OTHER THAN NAMED ABOVE N/A

ASSIGNMENT

This Assignment, made by DAVID A. FERRERA of Manhattan Beach, California, DANIEL R. KURZ of Sunnyvale, California, LOK A. LEI of San Jose, California, JULIA A. LARSEN of San Mateo, California, Assignors, to MICRUS CORPORATION, a Delaware corporation, Assignee, having a place of business at 495 Clyde Avenue, Mountain View, California 94043.

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WHEREAS, Assignors believe themselves to be the original and first inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire

right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

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IN WITNESS WHEREOF, Assignors have executed this Assignment on the
date written hereinbelow.

Assignors:

Date: _____
David A. Ferrera

Date: _____
Daniel R. Kurz

Date: _____
Lok A. Lei

Date: July 27, 2000 Julia A. Larsen
Julia A. Larsen

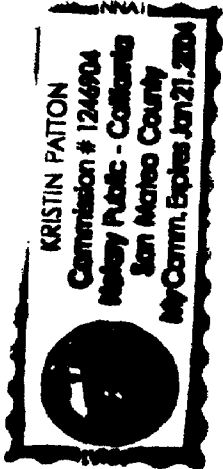
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of CALIFORNIACounty of SAN MATEOOn JULY 27, 2000 before me, KRISTIN PATTON, NOTARY PUBLIC,
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"personally appeared JULIA A. LARSEN,

☐ personally known to me -OR- ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument is the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kristin Patton
SIGNATURE OF NOTARY



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