

03-29-2001

ket No.: 7616/44

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101652932

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen Forrest
Milind R. Gokhale
Hongsheng Wang

3.22.01

2. Name and address of receiving party(ies):

Name: The Trustees of Princeton University

Internal Address: Princeton University

Street Address: P.O. Box 36

City: Princeton State: NJ ZIP: 08544

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 2/1/01, 2/13/01, 2/12/01

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/750,195

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael R. Friscia

Internal Address: Wolff & Samson

Street Address: 5 Becker Farm Road

City: Roseland State: NJ ZIP: 07068

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-2143

03/29/2001 DBYRNE 00000068 09750195

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Friscia

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 011617 FRAME: 0668

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
7616/44

This is an Assignment of the following rights, title and interest: (check all that apply):

☒ **United States of America rights, title and interest in the invention**

☒ **Foreign rights, title and interest in the invention**

☒ **United States Patent Application Serial No.** 09/750,195

Date of Execution: _____

Date of Filing: 12/28/00

☐ **United States Provisional Patent Application Serial No.** _____

☐ **United States Patent No(s).** _____

☐ **International (PCT) Patent Application Serial No.** _____

☐ **Other (specify)** _____

Title of the Invention

Long-Wavelength VCSELS and Method of Manufacturing Same

Inventors (assignors)

<i>Name</i>	<i>Address</i>
Stephen Forrest	148 Hunt Street, Princeton, NJ 08540
Milind R. Gokhale	263 South Harrison Street, Apt. #2, Princeton, NJ 08540
Hongsheng Wang	223C King Street, Princeton, NJ 08540

Assignee

<i>Name</i>	<i>Address</i>
The Trustees of Princeton University	Princeton University P.O. Box 36 Princeton, NJ 08544-0036

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
7616/44

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
Stephen Forrest	
Milind R. Gokhale	
Hongsheng Wang	

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

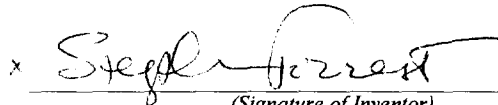
Docket No.
7616/44

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

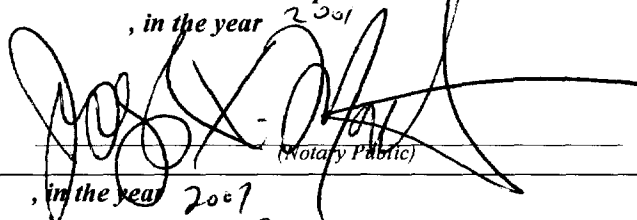
Executed this 1st day of February, in the year 2001
at

State of New Jersey
County of Mercer

x 
(Signature of Inventor)


Before me personally appeared Stephen Forrest
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 1st day of February, in the year 2001

JOSEPH X. MONTEMARANO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Nov. 3, 2005


(Notary Public)

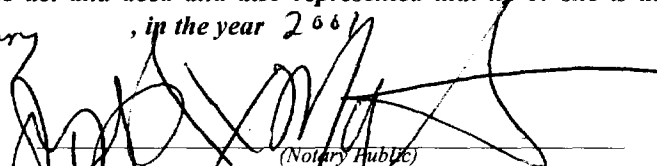
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State of New Jersey
County of Mercer


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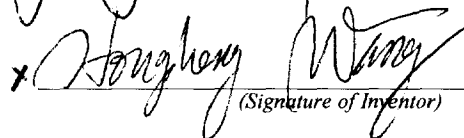
Before me personally appeared Milind R. Gokhale
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 13th day of February, in the year 2001

JOSEPH X. MONTEMARANO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Nov. 3, 2005


(Notary Public)

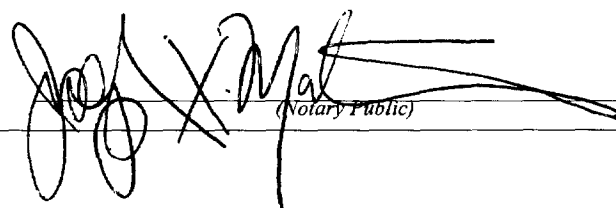
Executed this 12th day of February, in the year 2001
at

State of New Jersey
County of Mercer

x 
(Signature of Inventor)

Before me personally appeared Hongsheng Wang
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 12th day of February, in the year 2001

JOSEPH X. MONTEMARANO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Nov. 3, 2005


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