

03-29-2001

Docket No.: 003/0100/SAP

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CONNIE S. SCHMALJOHN

2. Name and address of receiving party(ies):

Name: United States Army

Address: U.S. Army Medical Research and Materiel

Command, ATTN: MCMR-JA

504 Scott Street

Fort Detrick

City: Frederick

State/Prov.: MD

Country: U.S.A.

ZIP: 21702-5012

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 22, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

09/197,218

11/20/98

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ELIZABETH ARWINE

Registration No. 45,867

Address: U.S. Army Medical Research and Materiel

Command, ATTN: MCMR-JA

504 Scott Street, Fort Detrick

City: Frederick

State/Prov.: MD

Country: U.S.A.

ZIP: 21702-5012

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

21-0380

03/28/2001 AAHMD1 00000136 210380 09197218

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ELIZABETH ARWINE

Elizabeth Arwine

23 Feb 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

3

PATENT

REEL: 011618 FRAME: 0496

# ASSIGNMENT OF INVENTION

For use of this form, see AR 27-60; the proponent agency is OTJAG

Title of Invention: DNA VACCINES AGAINST TICK-BORNE FLAVIVIRUSES

Inventor(s) CONNIE S. SCHMALJOHN

\*Applicant on Serial No.: 09/197,218

\*Date Oath Executed: March 1, 1999

\*Filing Date: November 20, 1998

(\*Data not known at execution may be added for better identification.)

I (We), the undersigned inventor(s), in consideration of the rights of the Government of the United States acquired by virtue of the circumstances under which the above-entitled invention was made, hereby:

1. Assign to the Government of the United States, as represented by the Secretary of the Army, the entire right, title and interest throughout the United States, its Territories, Possessions, and Puerto Rico, in and to the above-entitled invention and application for patent and all Letters Patent issuing thereon, and any continuation, continuation-in-part or division of said application and any reissue or extension of said Letters Patent.

2. Agree to assign to the Government upon its request, title and interest in the invention in those foreign countries in which the Government, within eight months of the filing of the United States application for patent, determines to cause an application to be filed; provided that if the Government determines not to cause an application to be filed in any particular foreign country or fails to make such a determination, within the said eight months, all right, title and interest in the invention in such foreign country shall remain in me (us), subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent which may issue on the invention in such foreign country, including the power to issue sublicenses for use in behalf of the Government and/or furtherance of the foreign policies of the Government.

3. Agree to provide any further information within my (our) knowledge and to execute any further documents necessary to the prosecution of patent applications on the invention, the prosecution and settlement of interferences and recording of title to patent applications and patents issuing thereon.

Signature of Inventor:

Connie S. Schmaljohn  
(First name) (Middle Initial) (Last name)

Duty Address: U.S. Army Medical Research Institute of Infectious Diseases, Fort Detrick, MD 21702-5011  
(Locality) (County) (State)

Date: February 22, 2001 Typed Name of Inventor: CONNIE S. SCHMALJOHN

\* \* \* \* \*

State of: MARYLAND )  
County of: FREDERICK ) SS

On the above date CONNIE S. SCHMALJOHN known to me to be the individual described in and who executed the foregoing instrument duly appeared before me and acknowledged to me that the same as his own free act and deed.

Jill L. Tracey Jill L. Tracey  
(Signature of notary public)

My Commission expires on December 1, 2001