

03-30-2001

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

To the Honorable Commissioner of Pa

101653874

: attached original documents or copy thereof.

1. Name of conveying party(ies):

Akzo Nobel Polymer Chemicals, LLC

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Patent Transfer

Execution Date: February 14, 2001

2. Name and address of receiving party(ies):

Name: Great Lakes Chemical Corporation

Internal Address: _____

Street Address: 500 East 96th Street

Suite 500

City: Indianapolis State: IN ZIP: 46240

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/539,483

09/770,480

B. Patent No.(s)

5,756,618

5,663,279

Additional numbers attached?

☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin R. Erdman

Internal Address: _____

Street Address: 300 North Meridian Street

Suite 2700

City: Indianapolis State: IN ZIP: 46204

6. Total number of applications and patents involved: 5

7. Total fee (37 CFR 3.41) \$ 200.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

02-0390

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin R. Erdman

Name of Person Signing

[Signature]
Signature

5 Mar 01

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 011620 FRAME: 0577

PATENTS ONLY

Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

1. Name of conveying party(ies):

Additional name(s) of conveying party(ies) attached?

Yes ☐No ☒

2. Name and address of receiving party(ies):

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

Yes ☐No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,992,997

Additional numbers attached?

Yes ☐No ☒

PATENT TRANSFER

WHEREAS, AKZO NOBEL POLYMER CHEMICALS LLC (hereinafter called "AKZO NOBEL"), having an office address at 300 South Riverside Plaza, Chicago, Illinois 60606, is the beneficial owner of certain Letters Patent and Applications for Letters Patent, as set forth in the Appendix attached hereto and made a part hereof (hereinafter collectively called "Patents"); and

WHEREAS, Great Lakes Chemical Corporation (hereinafter called "GLCC") having an office address at 500 East 96th Street, Suite 500, Indianapolis, Indiana 46240 desires to acquire all of AKZO NOBEL's right, title and interest in and to said Patents; and

WHEREAS, GLCC by Asset Purchase Agreement dated February 14, 2001 purchased, acquired and accepted, inter alia, the Patents.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to AKZO NOBEL, the receipt and sufficiency of which is hereby acknowledged, AKZO NOBEL does hereby transfer unto GLCC, its successors and assigns all of AKZO NOBEL's legal and beneficial ownership of said Patents, and any divisional, continuation, continuation-in-part, reissue, reexamination, or extension application thereof, in its own name, the same to be held and enjoyed by GLCC, its successors and assigns, to the full end of the term for which said Patents are granted, as fully and entirely as the same would have been held and enjoyed by AKZO NOBEL had this transfer not been made.

Assignments of all Patents shall be executed as soon as possible after February 14, 2001 and in any event before August 14, 2001. Pending execution of such assignments, the Patents will be held in trust for GLCC.

AKZO NOBEL makes only those warranties set forth in that certain Asset Purchase Agreement by and between AKZO NOBEL and GLCC, dated February 14, 2001, with respect to any of the Patents which AKZO NOBEL does hereby transfer. There are no other warranties, express or implied.

IN WITNESS WHEREOF, AKZO NOBEL has executed this Patent Transfer as of this 14th day of February, 2001.

AKZO NOBEL POLYMER CHEMICALS
LLC

By: Jeffrey T. Massari

Name: JEFFREY T MASSARI

Title: ASST GEN COUNSEL, AKZ

By: _____

Name: _____

Title: _____

GREAT LAKES CHEMICAL
CORPORATION

By: Robert W. Little

Name: _____

Title: Assistant Secretary

APPENDIX

Patents

<i>Country</i>	<i>Patent No.</i>
United States of America	5756618
Australia	673775
Brazil	PI 9407562-0
France	0715725
Germany	0715725
India	181576
Indonesia	3133
Ireland, Republic of	0715725
Italy	0715725
Malaysia	MY111298A
Mexico	192409
Netherlands	0715725
Philippines	31216
Spain	0715725
Taiwan	88795
Thailand	9133
United Kingdom	0715725
United States of America	5663279
Australia	708548
France	0808469
Germany	0808469
Italy	0808469
Singapore	45906
Taiwan	112735
United Kingdom	0808469
United States of America	5992997
Taiwan	112411

Patent Applications

<i>Country</i>	<i>Application No.</i>
China	94193119 .6
Japan	507300 /HEI-7
Korea, South	700739 /96
Singapore	9501337 -1
Brazil	PI 9607520 -1
Canada	2212745
China	96191895 .0
India	1268 /MAS/95
Indonesia	P 960339
Japan	523960 /HEI-8
Korea, South	705319 /97
Malaysia	PI 9600464
Mexico	976135
Philippines	52327
Thailand	28141
Brazil	PI 9812841 -8
Canada	2305196
China	98810318 .4
European Patent Office	98952624 .9
India	2194 /MAS/98
Japan	514149 /2000
Thailand	46353
United States of America	539483 /09
Brazil	PI 9910459 -8
Canada	PCT/EP99/03016
China	PCT/EP99/03016
European Patent Office	99923515 .3-2307
India	PCT/EP99/03016
Japan	549440 /2000
Philippines	1-1999-01123
Thailand	50414
United States of America	770480 /09