

REC

03-29-2001

SHEET

3.5.01



101652879

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

1. Submission Type:

☒ new☐ Correction of PTO error (Reel /frame)☐ Corrective Document (Reel /frame)

2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Jayasree Vasudevan	8/11/2000
2. Alan T. Johnson	8/15/2000
3. Roshantha A. Chandraratna	8/11/2000

☐ Additional Conveying Parties Attached

4.

RECEIVING PARTIES
Names of Receiving Parties
Name Allergan Sales, Inc.
Address 1 2525 Dupont Drive
Address 2 Irvine, CA 92612

03/28/2001 TDIARZ 00000161 010005 09651566

01 FCT581

Additional Receiving Parties Attached

☐

If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

8.

CORRESPONDENCE NAME AND ADDRESS
Name Carlos A. Fisher (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4920 and Fax 714-246-4249

9. Total Number of pages of the conveying document, including attachments: 7 pages

10.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number 09/651,566; Filed: August 29, 2000	Patent Number
Application Number	Patent Number

11. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: _____
Docket No.: _____
Date of Execution by First Inventor: _____

12. Total Number of Properties Involved: 113. The fee amount (37 CFR §3.41) of \$ 40.00

☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____.

14. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME: CARLOS A. FISHER, ESQ.

REGISTRATION NO. 36,510

Date: 11/2/01

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON 3/1/01 (Date)

Name of person making deposit: Bonnie FergusonSignature: Bonnie Ferguson Date: 3/1/01

ASSIGNMENT

WHEREAS, Jayasree Vasudevan a citizen of India, **Alan T. Johnson**, a citizen of the United States of America, **Dehua Huang** a citizen of China, and **Roshantha A. Chandraratna** a citizen of the United States of America residing respectively at ~~4245 S. NIGHT STAR WAY, ANAHEIM~~ ⁹²⁸⁰⁸ ~~92614~~, 8520 Costa Verde Boulevard, #3415, San Diego, California 92122; 9565 Gold Coast Drive, Apt. C-14, San Diego, California 92126; and 25241 Buckskin, Laguna Hills, California 92653 United States of America (hereinafter referred to as **ASSIGNORS**), have invented and own a certain invention entitled: **COMPOUNDS HAVING ACTIVITY AS INHIBITORS OF CYTOCHROME P450RAI** for which an application for Letters Patent of the United States was executed on even date herewith.

WHEREAS, ALLERGAN SALES, INC., having a place of business at 2525 Dupont Drive, Irvine, California 92612, United States of America (hereinafter referred to as **ASSIGNEE**), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by **ASSIGNEE** to **ASSIGNORS** of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, **ASSIGNORS** hereby sell, assign and transfer to **ASSIGNEE** the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in the foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute or reissue thereof or any legal equivalent thereof in any foreign country for the full term or terms for which the same may be granted, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals, and reissues thereof; and **ASSIGNORS** hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to **ASSIGNEE**.

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNORS further covenant that **ASSIGNEE** will, upon its request, be provided

promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in the United States of America and in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this

11th day of August, 2000.

Jayasree Vasudevan

Jayasree Vasudevan

State of California)

) ss.:

County of Orange)

On this 11th day of AUGUST, 2000, before me, MARY LOU MCNOWN

, a Notary Public in and for the State and County aforesaid,

personally appeared JAYASREE VASUDEVAN, 1 ~~personally known~~ to

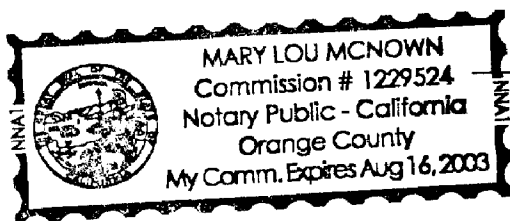
me; or X proved to me on the basis of satisfactory evidence to be the person whose name is

subscribed to the within instrument, and acknowledged to me that he executed the same in his

authorized capacity, and that by ^{her}his signature on the instrument the person, or the entity upon

behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:



SEAL:

Mary Lou McNown
Notary Public

IN WITNESS WHEREOF, I have hereunto set hand and seal this

_____ day of _____, 2000.

Alan T. Johnson

State of California)

promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in the United States of America and in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this _____ day of _____, 2000.

Jayasree Vasudevan

State of California)
) ss.:
County of Orange)

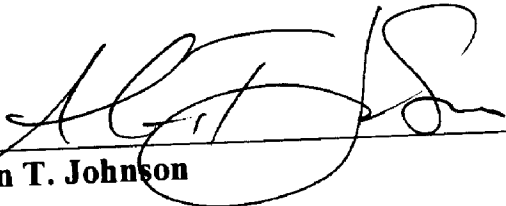
On this _____ day of _____, 2000, before me, _____, a Notary Public in and for the State and County aforesaid, personally appeared _____, /___/ personally known to me; **or** /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

Notary Public

SEAL:

IN WITNESS WHEREOF, I have hereunto set hand and seal this 15th day of August, 2000.



Alan T. Johnson

State of California)

County of ~~Orange~~)
San Diego

WITNESS my hand and official seal:



SEAL:

~~Notary Public~~

IN WITNESS WHEREOF, I have hereunto set hand and seal this
day of _____, 2000.

Signed on a separate document. Recorded
in the patent office reel/frame: 011233/0725

Dehua Huang 11/03/2000 (Copy Enclosed)

State of California)

SS.:

County of Orange)

On this _____ day of _____, 2000, before me, _____, a Notary Public in and for the State and County aforesaid, personally appeared _____, /___/ personally known to me; or /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

Notary Public

SEAL:

IN WITNESS WHEREOF, I have hereunto set hand and seal this

11th day of August, 2000.

R. A. Chandraratna

Roshantha A. Chandraratna

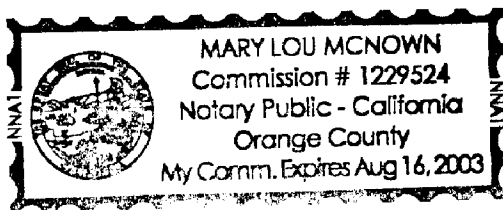
State of California)
) ss.:
County of Orange)

On this 11th day of AUGUST, 2000, before me, MARY LOU MCNOWN, a Notary Public in and for the State and County aforesaid, personally appeared ROSHANTHA A. CHANDRARATNA, ☒ personally known to me; or ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

Mary Lou McNow
Notary Public

SEAL:



6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

8.

CORRESPONDENCE NAME AND ADDRESS
Name Carlos A. Fisher (T2-7H)
Address 1 Allergan, Inc.
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Telephone 714-246-4920 and Fax 714-246-4249

9. Total Number of pages of the conveying document, including attachments: 6 pages

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APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number 09/651,566; Filed 8/29/2000	Patent Number
Application Number	Patent Number

11. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: _____

Docket No.: _____

Date of Execution by First Inventor: _____

12. Total Number of Properties Involved: 113. The fee amount (37 CFR §3.41) of \$ 40.00

☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____.

14. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Carlos A. Fisher Date: 10/31/00
 TYPED or PRINTED NAME: CARLOS A. FISHER, ESO. REGISTRATION NO. 36,510

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON <u>10/31/00</u> (Date)	
Name of person making deposit: <u>Bonnie Ferguson</u>	Date: <u>10/31/00</u>
Signature: <u>Bonnie Ferguson</u>	