

03-30-2001

Tab settings == ==



101670086

To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel L. Feldheim

Stella M. Marinakos

David A. Shultz

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Name: North Carolina State University

Internal Address:

Street Address: 1 Holladay Hall

Campus Box 7003

City: Raleigh State: NC ZIP: 27695

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 13, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/738,060

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arles A. Taylor, Jr.

Internal Address: Jenkins & Wilson, P.A.

Suite 1400 University Tower

Street Address: 3100 Tower Boulevard

City: Durham State: NC ZIP: 27707

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arles A. Taylor, Jr.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

03/23/2001 GDEBELA1 00000045 09738060

02 50:581

40.00 DP

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 011622 FRAME: 0974

ASSIGNMENT

WHEREAS, we, Daniel L. Feldheim, City of Cary, State of North Carolina, Stella M. Marinakos, City of Raleigh, State of North Carolina, and David A. Shultz, City of Raleigh, State of North Carolina (hereinafter "Assignors"), have invented certain new and useful improvements in NANOPARTICLE COMPOSITES AND NANOCAPSULES FOR GUEST ENCAPSULATION AND METHODS FOR SYNTHESIZING SAME for which a U.S. Patent Application was filed on December 15, 2000 as Serial No. 09/738,060.

AND, WHEREAS, North Carolina State University, a non-profit organization located at Raleigh, North Carolina (hereinafter "Assignee"), is desirous of acquiring an interest therein.

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors have sold and by these presents do hereby sell, assign, transfer and convey unto the said Assignee, its successors and assigns, their entire right, title and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with their right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this assignment and sale had not been made.

Assignors hereby request that said Letters Patent be issued in accordance with this assignment.

Assignors further covenant and agree that, at the time of the execution and delivery of these presents, Assignors possess full title to the invention and application above-


mentioned, and that they have the unencumbered right and authority to make this assignment.

Assignors further covenant and agree, and likewise bind their heirs, legal representatives and assigns, to promptly communicate to said Assignee or its representatives any facts known to them relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors and assigns to secure patent or similar protection for the said invention in all countries and to vest in the Assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

Executed this thirteenth day of February 2001.

  
Daniel L. Feldheim

Witnessed by:

  
Name \_\_\_\_\_ Date 02/13/01

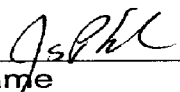
  
Name \_\_\_\_\_ Date 2/13/01


\*\*\*\*\*

Executed this Thirteenth day of February 2001.

  
Stella M. Marinakos

Witnessed by:

  
Name \_\_\_\_\_ Date 02/13/01

  
Name \_\_\_\_\_ Date 2/13/01

Executed this thirteenth day of February 2001.

  
David A. Shultz

Witnessed by:

 02/13/01  
Name Date

 2/13/01  
Name Date