

04-02-2001

NIKOLA



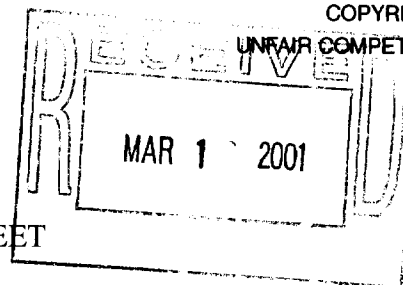
ETZ, P.A.

THOMAS J. NIKOLAI  
JAMES T. NIKOLAI  
CHARLES G. MERSEREAU  
PAUL T. DIETZ

STEVEN E. KAHM  
KEVIN W. CYR

101654560  
900 SECOND AVENUE SOUTH, SUITE 320  
Minneapolis, Minnesota 55402-3813  
Telephone (612) 339-7461  
Facsimile (612) 349-6556

PATENTS  
TRADE MARKS  
COPYRIGHTS  
UNFAIR COMPETITION



March 12, 2001

RECORDATION FORM COVER SHEET

PATENTS ONLY

PATENTS ONLY

OUR FILE NO. 20000452.OCB

U.S. PATENT AND TRADEMARK OFFICE  
OFFICE OF PUBLIC RECORDS  
CRYSTAL GATEWAY 4, ROOM 335  
WASHINGTON, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
JOHN A. HAUCK
2. Name and address of receiving party(ies):  
Name: EM Vascular, Inc.  
Street Address: 5900 Hodgson Road  
City: Shoreview  
State: MN Zip: 55126
3. Nature of Conveyance:  
XX Assignment \_\_\_\_\_ Merger  
\_\_\_\_\_ Security Agreement \_\_\_\_\_ Change of Name  
\_\_\_\_\_ Other \_\_\_\_\_

Execution Date: March 6, 2001

4. Application number(s) or registration number(s): 09/756,567  
If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

04/02/2001 6TON11 00000160 09756567

01 FC:581

40.00 0P

PATENT  
REEL: 011626 FRAME: 0862

March 12, 2001

Page 2

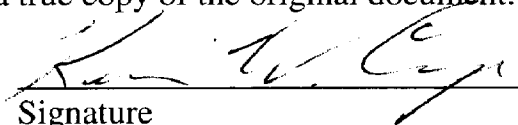
5. Name and address of party of whom correspondence concerning document should be mailed:  
Name: Kevin W. Cyr, Esq.  
NIKOLAI, MERSEREAU & DIETZ, P.A.  
Street Address: 900 Second Avenue South, #820  
City: Minneapolis State: MN Zip: 55402
6. Number of applications and patents involved: 1
7. Total Fee (37 CFR 3.41): \$40.00  
X A check is enclosed.
8. The Commissioner is authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

**DO NOT USE THIS SPACE**

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin W. Cyr

Name of Person Signing

  
Signature

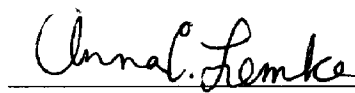
Date: March 12, 2001

Total number of pages including  
cover sheet, attachments and document: 4

**CERTIFICATE OF MAILING**

I hereby certify that the foregoing Recordation Form Cover Sheet (2pp.), executed Assignment (2pp.), Check No. 45393 for \$40.00 for recording fees, in application Serial No. 09/756,567, filed on January 8, 2001, of JOHN A. HAUCK entitled "THERAPEUTIC DEVICE FOR TREATING DISEASES OF CARDIAC MUSCLE" is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to: U.S. PATENT AND TRADEMARK OFFICE, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, postage prepaid, on March 12, 2001.

Dated: March 12, 2001

  
\_\_\_\_\_  
Anna C. Lemke  
On Behalf of Kevin W. Cyr  
Attorney for Applicant

## ASSIGNMENT

WHEREAS, I, JOHN A. HAUCK, a citizen of the United States of America, residing at 5900 Hodgson Road, Shoreview, MN 55126, am the inventor of certain new and useful improvements in "THERAPEUTIC DEVICE AND METHOD FOR TREATING DISEASES OF CARDIAC MUSCLE", for which I am about to make application for Letters Patent of the United States, the said application having been executed by me on 6 March, 2001, and constituting a Continuation-in-Part application based on a patent application filed on 14 August 2000, having Application Serial No. 09/638,233, and entitled "THERAPEUTIC DEVICE FOR TREATING DISEASES OF CARDIAC MUSCLE"; and

WHEREAS, EM VASCULAR, INC., a corporation organized and existing under the laws of the State of Minnesota, and having its principal office located at 5900 Hodgson Road, Shoreview, MN 55126, is desirous of acquiring my entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

Now, Therefore, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, the receipt whereof is hereby acknowledged, and other good and valuable consideration, I, the said JOHN A. HAUCK, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said EM VASCULAR, INC., my full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and my entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

I hereby authorize and request the Patent Office officials in the United States and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said EM VASCULAR, INC., as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said EM VASCULAR, INC., its successors and assigns.

Further, I agree that I will communicate to said EM VASCULAR, INC., or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said EM VASCULAR, INC., make all rightful oaths and generally to do

everything possible to aid said EM VASCULAR, INC., its successors and assigns, to obtain and enforce protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of March, 2001.

John A. Hauck

Name:

JOHN A. HAUCK

Address:

5900 Hodgson Road  
Shoreview, MN 55126

STATE OF MINNESOTA

SS

COUNTY OF

On this 6<sup>th</sup> day of March, 2001, personally appeared before me the above-named JOHN A. HAUCK to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his act and deed in and for the purposes set forth in said instrument.

Paul Yantes  
Notary Public

(SEAL)

