

04-02-2001

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101654446

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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
02092001

Name (line 1) Ryall, Richard J.

Name (line 2)

Second Party

Name (line 1) Hulse, Charles A.

Name (line 2)

Execution Date
Month Day Year
02082001

09/815916

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Optical Coating Laboratory, Inc.

Name (line 2) a Delaware corporation

Address (line 1) 2789 Northpoint Parkway

Address (line 2)

Address (line 3) Santa Rosa

CA

95407

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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PATENT
REEL: 011628 FRAME: 0140

1040 U.S. PTO
09/815916

03/23/21

Correspondent Name and Address

Area Code and Telephone Number (707) 591-0789

Name Scott W. Hewett

Address (line 1) 400 West Third Street, No. 223

Address (line 2) Santa Rosa, CA 95401

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
02 09 2001

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-0891

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Scott W. Hewett



March 23, 2001

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

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Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Taylor, Andrew T.

Execution Date
Month Day Year

03122001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Seddon, Richard I.

03132001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

1040 U.S. PTO
09/015916



ASSIGNMENT OF APPLICATION

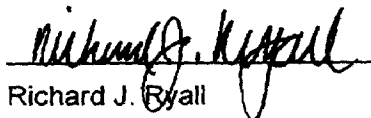
Whereas I, Richard J. Ryall, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in EXTRINSICALLY ATHERMALIZED OPTICAL FILTER DEVICES, hereinafter called the "invention", for which I signed a Declaration for a United States utility patent application on 9 FEB 2001 (Attorney Docket No. 13392.343US), and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 12 day of ~~November~~ ^{FEB} 2001 at Santa Rosa, California


Richard J. Ryall

ASSIGNMENT OF APPLICATION

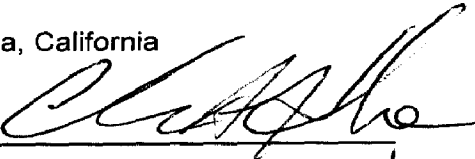
Whereas I, Charles A. Hulse, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in EXTRINSICALLY ATHERMALIZED OPTICAL FILTER DEVICES, hereinafter called the "invention", for which I signed a Declaration for a United States utility patent application on _____ (Attorney Docket No. 13392.343US), and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

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Executed this ____ day of November, 2000 at Santa Rosa, California


Charles A. Hulse 2/8/01

ASSIGNMENT OF APPLICATION

Whereas I, Andrew T. Taylor, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in EXTRINSICALLY ATHERMALIZED OPTICAL FILTER DEVICES, hereinafter called the "invention", for which I signed a Declaration for a United States utility patent application on _____ (Attorney Docket No. 13392.343US), and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

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Executed this ____ day of November, 2000 at Santa Rosa, California

Andrew T. Taylor

Andrew T. Taylor

3/12/01 ATT

ASSIGNMENT OF APPLICATION


Whereas I, Richard Ian Seddon, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in EXTRINSICALLY ATHERMALIZED OPTICAL FILTER DEVICES, hereinafter called the "invention", for which I signed a Declaration for a United States utility patent application on _____ (Attorney Docket No. 13392.343US), and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

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Executed this ____ day of November, 2000 at Santa Rosa, California


Richard Ian Seddon 3/13/01