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J1017 U.S. PTO  
09/12693

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To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copies thereof.

1. Name(s) of conveying party(ies):

Hiromi NAKANISHI, Takeshi OKADA and Yoshiki KUHARA

2. Name(s) and Address(es) of receiving party(ies):

SUMITOMO ELECTRIC INDUSTRIES, LTD.  
5-33, Kitahama 4-chome, Chuo-ku,  
Osaka-shi, Osaka 541-0041 JAPAN

3. Nature of conveyance: Assignment

09/812693

Execution Date: March 12, 2001

4A. Patent Application No.(s):

Application execution date : March 12, 2001

4B. Patent No.(s):

5. Name and Address of Party to whom correspondence regarding this document should be mailed:

SMITH, GAMBRELL & RUSSELL, LLP  
1850 M Street N.W. (Suite 800)  
Washington, DC 20036

202 659-2811

6. Total number of applications and patents involved : one

7. Total fee: \$40.00.

(Enclosed. Please charge any deficiency or refund any excess to counsel's deposit account.)

8. Deposit account no.: 02-4300

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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct  
any attached copy is a true copy of the original document.

Name of Person Signing : Michael A. Makuch, Reg. No. 32,263

Signature: 

Date: March 21, 2001

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PATENT  
REEL: 011631 FRAME: 0826

## ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) \_\_\_\_\_  
OPTICAL MODULE

The PATENT RIGHTS referred to in this agreement are:

- (check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.  
☐ U.S. patent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ a U.S. patent application based on PCT International Application No. \_\_\_\_\_ filed on (date) \_\_\_\_\_ (U.S. patent application Serial No. \_\_\_\_\_, if known).  
☐ U.S. patent No. \_\_\_\_\_, issued \_\_\_\_\_.

The PATENT RIGHTS also include all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

- (check one) ☒ U.S. patent rights only.  
☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) Sumitomo Electric Industries, Ltd.  
(Address) 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan

The ASSIGNEE is:

- (check one) ☐ An Individual.  
☐ A Partnership  
☒ A Corporation of Japan (specify state or country)  
☐ (other)

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;  
the entire right, title and interest in and to the PATENT RIGHTS;  
the right to sue and recover for any past infringement; and,  
the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO

ASSIGNEE: Sumitomo Electric Industries, Ltd.

**INVENTION TITLE: OPTICAL MODULE**

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNORS authorize any attorneys and agents who have a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Hiromi NAKANISHI

Name of sole or first inventor

Yianni Frakamistis  
Signature

**Signature**

March, 12, 2001  
Date

Date \_\_\_\_\_

Takeshi OKADA

Name of second inventor, if any

Takeshi Okada  
Signature

**Signature**

March, 12, 2001  
Date

Date \_\_\_\_\_

**Yoshiki KUHARA**

Name of third inventor, if any

Yoshiki Kikawa  
Signature

Signature \_\_\_\_\_

March, 12, 2001  
Date

Date \_\_\_\_\_

Name of fourth inventor, if any

**Signature**

Date \_\_\_\_\_

Name of fifth inventor, if any

Signature

Date \_\_\_\_\_

Name of sixth inventor, if any

**Signature**

Date \_\_\_\_\_

Name of seventh inventor, if any

**Signature**

Date \_\_\_\_\_

Name of eighth inventor, if any

Signature

Date \_\_\_\_\_

Name of ninth inventor, if any

Signature \_\_\_\_\_

Date \_\_\_\_\_