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DOCKET: TUC920010014US1  
US Department of Commerce  
Patent & Trademark Office

1017 U.S. PTO  
09/816913

03/22/01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert George Emberty  
Craig Anthony Klein

2. Name and address of receiving party(ies):

Name: International Business  
Machines Corporation

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) of conveying  
party(ies) attached \_\_\_Yes **XX** No

Additional name(s) & address(es) attached?  
\_\_\_Yes **XX** No

3. Nature of conveyance:

**XX** Assignment Execution Date: March 15, 2001; March 15, 2001

3-22-01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? \_\_\_Yes **XX** No

09816913

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Name: Dale F. Regelman  
Address: Hayes, Soloway, Hennessey,  
Grossman & Hage, P.C.  
130 W. Cushing Street  
Tucson, Arizona 85701

6. Total Number of applications and patents  
involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00  
**XX** Enclosed (Form PTO-2038). Any excess  
or insufficiency should be credited  
or debited to deposit account  
\_\_\_ Authorized to be charged to  
deposit account  
(Duplicate Copy Attached)

8. Deposit Account Number: 08-1391

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct  
and any attached copy is a true copy of the original document.

Dale F. Regelman

March 22, 2001

Date

Total number of pages including cover sheet, attachments, and document:

2 -/

03/26/2001 00000002 0981 913 40.00 DP  
04 FC:501

PATENT  
REEL: 011633 FRAME: 0230

# ASSIGNMENT

Docket No. TUC920010014US1

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Whereas, we

(1) Robert George Emberty of **Tucson**, County of **Pima** and State of **Arizona**,  
and (2) Craig Anthony Klein of **Tucson**, County of **Pima** and State of **Arizona**,

have invented certain improvements in

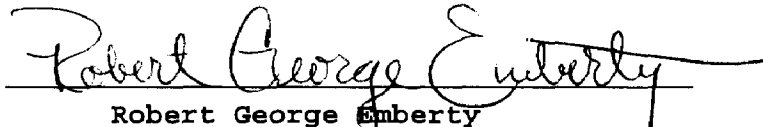
## POWER SUPPLY APPARATUS AND METHOD USING SAME

and executed, respectively, a United States patent application therefor on  
(1) 3/15, 2001 (2) 3/15, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at **Tucson, AZ**  
on 3/15, 2001,

  
Robert George Emberty

(2 at **Tucson, AZ**  
on 3/15, 2001,

  
Craig Anthony Klein