raetitioner's Docket No. <u>U 013138-0</u>

101668642

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BAX Assignments missioner of Commissioner of Patents and Trademarks

Washington, D.C. 20231

"Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for NOTE:

Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications

or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

## ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

"A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

×	deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		
	37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10*	
⊠	with sufficient postage as first class mail.	as "Express Mail Post Office to Addressee Mailing Label No (mand	
		SMISSION	
	transmitted by facsimile to the Patent and Tradem		
Date: <u>1</u>	March 22, 2001	Signature  Janet I Cord  (type or print name of person certifying)	155
*WARN	placed thereon prior to mailing. 37 C.F "Since the filing of correspondence und oversight that can be avoided by the ex-	il" must have the number of the "Express Mail" mailing labe .R. § 1.10(b). er § 1.10 without the Express Mail mailing label thereon is an ercise of reasonable care, requests for waiver of this requirement of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.	n

**PATENT** REEL: 011637 FRAME: 0371

(Assignment (Document) Cover Sheet—page 1 of 6) 16-6

# IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

	NOTE:	See 37 C.F.R. §3.21.	
	NOTE:	§ 3.21 does not apply to documents other the 67).	an assignment. Notice of June 24, 1992 (1140 O.G. 63-72 a
1. This assignment is for the following patent application or issued patent:		application or issued patent:	
	NOTE:	Do NOT enter both application AND patent	number.
Provisi	onal app tional ap	ation: SN: 09/749,274 blication: oplication: PCT (complete if applicable) which was	filed on December 27, 2000 filed on  Issued: previously assigned and recorded
			el me
	NOTE:	subsequent to, the execution of the patent ap identify the patent application by its date of so that there can be no mistake as to the pat application under § 1.53(c) is executed befo	d under § 1.53(b) is executed concurrently with, or optication, but before the patent application is filed, it must execution, name of each inventor, and title of the invention ent application intended. If an assignment of a provisional re the provisional application is filed, it must identify the entor and title of the invention so that there can be no ended. 37 C.F.R. § 3.21.
		(also complete the follo	owing, if applicable)
[ ] and also for the applications and/or patents shown on the attached list of FURTHER APPLICATION(S) and/or PATENT(S) BEING ASSIGNED			

Number of pages added \_\_\_\_\_

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

# TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

(Assignment (Document) Cover Sheet—page 2 of 6) 16-6

2.	A.	The total number of applications and/or patents identified in this cover sheet is1
	B.	The total fee is (37 C.F.R. § 1.21(h)):
		$\frac{1}{\text{Total number of applications}} \times \$40.00 = \$ \underline{40.00}$ and/or patents
	C.	Payment of fee is made by:  [X] the attached check for \$ 40.00  [ ] Please charge Account  the sum of \$  A duplicate of this cover sheet is attached.
	Please	charge Account 12-0425 for any fee deficiency or credit to account any overpayment.
		NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))
	NOTE	"The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.
3.	The pa	arty(ies) conveying interest is (are):
		1: Paragkumar Nathalal THANKI 2: Raj Pal SINGH 3:
		NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))
<b>l</b> .	The rig	ghts are being conveyed to:  Name:  COUNCIL OF SCIENTIFIC  AND INDUSTRIAL RESEARCH  Address:  RAFI MARG, NEW DELHI  110 001 INDIA
		DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))
<b>5.</b>	The ac	companying document intends to accomplish:  [X] an assignment.  [] a security agreement.  [] a merger.  [] a license.  [] a change of name.  [] a change of address.  [] other:
		(Assignment (Document) Cover Sheet—page 3 of 6) <b>16-6</b>

## NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

6.

6.		Please address correspondence	o:
		Name:	Janet I. Cord
		Address:	c/o Ladas & Parry
			26 West 61st Street
			New York, New York 10023
		Telephone No.:	(212) 708-1935
			MENT (DOCUMENT) EXECUTED 37 C.F.R. § 3.31(a)(7))
7.	<b>A</b> .	[X] The attached assignment (c	ocument) was executed on February 9, 2001.  Date
OF	₹	If there is more than one conveying pa assignment document for each convey	ty <b>who executed on different dates</b> , indicate the date of execution of the ag party.
	В.	[ ] The attached assignment w	Name
		on Date	
		[ ] The attached assignment w	Name
		on Date	
		[ ] The attached assignment w	s executed by
			Name
		on Date	
		[ ] The attached assignment w	
			Name
		on Date	
		[ ] The attached assignment w	Name
		on Date	

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# LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8.

9.

1**0**.

11.

NOT:	E: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.			
	attached document: [X] is in the English language.			
	[] is not in the English language and an English translation signed by the individual making the translation is attached.			
	ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED			
NOT	E: See 37 C.F.R. § 3.24.			
Sub	mitted herewith is:			
	[X] the original document.			
	a true copy of the original document, which I certify to be a true copy.			
NOT	E: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.			
NOT	E: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.			
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS			
	(check item, if applicable)			
	Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.			
NOT	E: "Attach Change of Address 16-6 ."			
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME			
	(check item, if applicable)			
	Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.			
NOT	E: "Attach Change of Name."			
	(Assignment (Document) Cover Sheet—page 5 of 6) 16-6			

## CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [ ] A change of address to which	h correspondence is to be sent regarding patent maintenance fees is
being sent to the Office sep	arately.

# **STATEMENT** (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date: March 22, 2001	Name of party submitting document	
	Signature of party submitting document	
	(complete the following, if the party submitting the document is applicant's autorney)	
Reg. No.: 33,778	JANET I. CORD	
Tel. No.: (212) 708-1935	(type or print name of problitioner) (1) 3008	
Customer No.:	P.O. Address	
	c/o Ladas & Parry 26 West 61 <sup>st</sup> Street	
	New York, N.Y. 10023	

### TOTAL NUMBER OF PAGES BEING SUBMITTED

14.	4. The total number of pages being submitted, inc	luding cover sheet attachment(s), and documents
	are:	

Total number of pages submitted

(Assignment (Document) Cover Sheet—page 6 of 6) 16-6

NF 166/00

[ ] U.S. and/or [ ] Foreign Rights

[ ] U.S. Provisional Application

[X] U.S. Application or

[ ] U.S. Patent
[ ] PCT Application

Multiple Inventors

### Practitioner's Docket No. <u>U 013138-0</u>

**PATENT** 

SSIGNORS (Inventors): Paragkumar Nathalal Thanki	National Chemical Laboratory, Pune 411 008
(type or print name of inventors)	Maharashtra, India
	Address Indian
	Nationality
Raj Pal Singh	National Chemical Laboratory, Pune 411 008
	Maharashtra, India
	Address Indian
	Nationality
	Address
	Nationality
	Address
	Nationality
	Address
(if assignment is by person of and this was	
Recorded on	Nationality or entity to whom invention was previously assigned recorded in PTO, add the following)  Reel
and this was  Recorded on	Nationality or entity to whom invention was previously assigned recorded in PTO, add the following)  Reel Frame  (Assignment of Invention—Multiple Inventors—page 1 of 3) 16-2  Ining Delow, notedy authorize and request my attorney to filing date and application number when they become
and this was  Recorded on	Nationality or entity to whom invention was previously assigned recorded in PTO, add the following)  Reel Frame  (Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3  Initial Delow, notedy audiotize and request my attorney to filing date and application number when they become  10. 09/749, 274  December 27, 2000
recorded on	Nationality or entity to whom invention was previously assigned recorded in PTO, add the following)  Reel Frame  (Assignment of Invention—Multiple Inventors—page 1 of 3) 16-2  Ining Delow, notedy authorize and request my attorney to filing date and application number when they become

For:

For:

For: For: By: has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

RECORDED: 03/26/2001

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Paragkur	nar Nathalal Thanki	(X) Thanks I. A	this $(X) = 02/09/2001$
(type name of	f Assignor)	Signature of ASSIGNOR	date of signing
Raj Pal S		(X) RIGHOR Signature of ASSIGNOR	this $(X)$ 02 09 2001 date of signing
(type name o	f Assignor)	Signature of ASSIGNOR	this date of signing
(type name o	f Assignor)	Signature of ASSIGNOR	this date of signing
(type name o	f Assignor)	Signature of ASSIGNOR	this date of signing
	(9	egal entity, complete the following information  (type or print the name of authorized to sign on beh	the above person
		Title	
NOTE:	No witnessing, notarization o it will only be prima facie evi	or legalization is necessary. If the assignment i idence of execution. 35 USC 261. Use next pag	is notarized or legalized then ge if notarization is desired.
	[ ] Notariz	zation or Legalization Page Added.	

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2