

04-05-2001

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## To the Honorable Commission

1. Name of conveying party(ies):

101669185

ie attached original documents or copy thereof.  
and address of receiving party(ies):

- 1) Enrique V. Barrera
- 2) Yildiz Bayazitoglu
- 3)
- 4

Name: William Marsh Rice University

Internal Address:

Street Address: 6100 Main Street

City: Houston

State: TX

ZIP: 77843

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Security Agreement
- ☐ Other
- ☐ Merger
- ☐ Change of Name

Execution Dates: February 5, 2001; February 20, 2001

4. Application number(s) or patent number(s): PCT/US01/03325

If this document is being filed together with a new application, the execution date of this application is: -

A. Patent Application No.(s)

B. Patent No.(s) x

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert C. Shaddox, Esq.

Internal Address: 2400 Bank One Center

Street Address: 910 Travis Street

City: Houston State: Texas ZIP: 77002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
- ☐ Authorized to be charged to deposit account

8. Deposit account number: 23 2426 of Winstead Sechrest & Minick L.P.  
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Reg. No.: 34,011

Robert C. Shaddox, Esq.

Name of Person Signing

Signature

March 11, 2001

Date

Total number of pages comprising cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

**WHEREAS**, the undersigned inventors, hereinafter called the "Assignors", has invented a new and useful invention entitled:

**CONTAINERLESS MIXING OF METALS AND POLYMERS WITH  
FULLERENES AND NANOFIBERS TO PRODUCE REINFORCED  
ADVANCED MATERIALS**

\_\_\_\_\_ for which reference a full description is here made in an application for Letters Patent of the United States filed herewith.

☒ for which reference a full description is here made in an application for Letters Patent of the United States Serial No. **PCT/US01/03325** filed **February 1, 2001**.

**WHEREAS**, **William Marsh Rice University**, a small entity, having a principal office and place of business at 6100 Main Street, Houston, TX 77843, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN**, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above identified, and all Letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

**FURTHER**, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

And the Assignor does hereby covenant and agree, for himself and his legal representatives, that they will assist the Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as

herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceedings, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

**IN TESTIMONY WHEREOF**, the Assignors have hereunto set their hands on the date indicated below.

**BARRERA, ENRIQUE V.**  
a resident of Houston, Texas, USA

Dated: 5, Feb., 2001

Enrique V Barrera  
Signature

**BAYAZITOGLU, YILDIZ**  
a resident of Houston, Texas, USA

Dated: 20<sup>th</sup> Feb., 2001

Y Bayazitoglu  
Signature

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