

04-05-2001



101669503  
RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☐ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☒ Other  Amendment to Add to the Security  
U.S. Government Agreement  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year  
Name (line 1)  Hopkins Manufacturing Corporation  02/11/97  
Name (line 2)

**Second Party**

Execution Date  
Month Day Year  
Name (line 1)   
Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)  Fleet Capital Corporation  
Name (line 2)   
Address (line 1)  One South Wacker Drive  
Address (line 2)   
Address (line 3)  Chicago  Illinois  60606  
City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name   
Address (line 1)   
Address (line 2)   
Address (line 3)   
Address (line 4)

04/04/2001 TDIAZ1 00000050 422146

01 FC:581 720.00 DP

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, **PATENT**

REEL: 011641 FRAME: 0200

**Correspondent Name and Address**

Area Code and Telephone Number

312-201-3862

Name

Deneen Sanders

Address (line 1)

Goldberg, Kohn, et. al.

Address (line 2)

55 East Monroe St.

Address (line 3)

Suite 3700

Address (line 4)

Chicago, Illinois 60603

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

25

**Application Number(s) or Patent Number(s)**

☒

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

D422146

D422125

D394923

D258779

D246414

4164801

4164077

4141111

4064455

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

18

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

720

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☐

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Deneen Sanders

Name of Person Signing

Signature

Date

3-14-01

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)  Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>

Patent Number(s)

4055250	4040140	4020694
3908283	3898884	3822944
3766657	5833194	5165666
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

# EXHIBIT A

## PATENT REGISTRATIONS

PATENT	APPLICATION NO.	APPLICATION DATE
D422,146	101,961	3/15/99
D422,125	101,953	3/15/99
D394,923	69,726	4/21/97
D258,779	949,108	10/6/78
D246,414	686,914	5/17/76
4,164,801	847,742	11/2/77
4,164,077	922,763	7/7/78
4,141,111	808,186	6/20/77
4,064,455	742,781	11/18/76
4,055,250	707,306	7/21/76
4,040,140	687,073	5/17/76
4,020,694	635,690	11/26/75
3,908,283	397,368	9/14/73
3,898,884	385,813	8/12/75
3,822,944	207,829	12/14/71
3,766,657	81,360	10/16/70
5,833,194	881,534	6/24/97
5,165,666	702,583	5/17/91

## EXHIBIT B

### TRADEMARK REGISTRATIONS

MARK	REGISTRATION NO.	REGISTRATION DATE
SHORT STOP	2296623	11/30/99
MULTI-TOW	2345405	4/25/00

### TRADEMARK APPLICATIONS

MARK	SERIAL NO.	FILE DATE
PLUG-IN SIMPLE!	75-833753	10/27/99
HOPPY	75-706055	6/30/99

# PATENT, TRADEMARK AND LICENSE MORTGAGE

THIS PATENT, TRADEMARK AND LICENSE MORTGAGE (the "Mortgage") made as of this 11<sup>th</sup> day of February, 1997, by HOPKINS MANUFACTURING CORPORATION, a Kansas corporation, with its chief executive office and principal place of business at 428 Peyton Street, P. O. Box 1157, Emporia, Kansas 66801 ("Mortgagor") in favor of FLEET CAPITAL CORPORATION, a Rhode Island corporation, as initial Lender and as Agent for the Lenders, with an office at One North Franklin, Suite 3600, Chicago, Illinois 60606 ("Mortgagee").

## WITNESSETH:

WHEREAS, Mortgagor, Mortgagee and the Lenders from time to time party to the Loan Agreement (as defined herein) are parties to a certain Loan and Security Agreement of even date herewith (the "Loan Agreement"), which Loan Agreement provides, among other things, (i) for Mortgagee and Lenders to, from time to time, extend credit to or for the account of Mortgagor and (ii) for the grant by Mortgagor to Mortgagee, for its benefit and for the ratable benefit of the Lenders, of a security interest in substantially all of Mortgagor's assets, including, without limitation, the patents, patent applications, trademarks, trademark applications, tradenames, service marks, service mark applications, goodwill and certain licenses of Mortgagor;

NOW, THEREFORE, in consideration of the premises set forth herein and for other good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, Mortgagor agrees as follows:

1. Capitalized Terms. All terms capitalized but not otherwise defined herein shall have the same meanings herein as in the Loan Agreement.

2. Mortgage of Patents, Trademarks and Licenses. To secure the complete and timely satisfaction of all of the Obligations, Mortgagor hereby grants, bargains, assigns, mortgages, creates a security interest in, pledges, transfers and conveys to Mortgagee, for its benefit and the ratable benefit of the Lenders, as and by way of a mortgage and security interest having priority over all other security interests, all of Mortgagor's right, title and interest in and to all of its now existing and hereafter created or acquired:

(i) patents and patent applications, including, without limitation, the inventions and improvements described and claimed therein, and those patents listed on Exhibit A attached hereto and hereby made a part hereof, and (a) the reissues, divisions, continuations, renewals, extensions and continuations-in-part thereof, (b) all income, damages and payments now and hereafter due or payable under or with respect thereto, including, without limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all

rights corresponding thereto throughout the world (all of the foregoing patents and applications, together with the items described in clauses (a)-(d) of this subsection 2(i), are sometimes hereinafter referred to individually as a "Patent" and, collectively, as the "Patents");

(ii) trademarks, trademark registrations, trademark applications, tradenames and tradestyles, service marks, service mark registrations and service mark registration applications, including, without limitation, the trademarks, tradenames, service marks, registrations and applications for registration listed on Exhibit B attached hereto and hereby made a part hereof, and (a) renewals or extensions thereof, (b) all income, damages and payments now and hereafter due or payable with respect thereto, including, without limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all rights corresponding thereto throughout the world (all of the foregoing trademarks, tradenames and tradestyles, service marks and applications and registrations thereof, together with the items described in clauses (a)-(d) of this subsection 2(ii), are sometimes hereinafter referred to individually as a "Trademark", and, collectively, as the "Trademarks");

(iii) all license agreements with respect to any of the Patents or the Trademarks or any other patent, trademark, service mark or any registration or application for registration or any other tradename or tradestyle between Mortgagor and any other party, whether Mortgagor is a licensor or licensee under any such license agreement, including, without limitation, the licenses listed on Exhibit C attached hereto and hereby made a part hereof, and excluding all license agreements which, according to their terms, may not be assigned without the prior written consent of the licensors thereto (all of the foregoing license agreements and Mortgagor's rights thereunder are referred to collectively as the "Licenses"); and

(iv) the goodwill of Mortgagor's business connected with and symbolized by the Trademarks.

Upon the occurrence of an Event of Default, Mortgagee shall have the power, to the extent permitted by law, to sell the Patents, Trademarks and Licenses.

3. Warranties, Representations and Covenants. Mortgagor warrants and represents to Mortgagee that:

(i) No Patents, Trademarks and Licenses have been adjudged invalid or unenforceable or have been cancelled, in whole or in part, or are not presently subsisting;

(ii) Each of the Patents, Trademarks and Licenses is valid and enforceable;

(iii) Mortgagor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents, Trademarks and Licenses, free and clear of any liens, charges and encumbrances, including, without limitation, licenses, shop rights and covenants by Mortgagor not to sue third persons;

(iv) Mortgagor has adopted, used and is currently using, or has a current bona fide intention to use, all of the Trademarks;

(v) Mortgagor has no notice of any suits or actions commenced or threatened with reference to the Patents, Trademarks or Licenses; and

(vi) Mortgagor has the right to execute and deliver this Mortgage and perform its terms.

4. Restrictions on Future Agreements. Mortgagor agrees that until the Obligations shall have been satisfied in full and the Loan Agreement shall have been terminated, Mortgagor shall not sell or assign its interest in, or grant any license under, the Patents, Trademarks or Licenses, or enter into any other agreement with respect to the Patents, Trademarks or Licenses which is inconsistent with the Obligations under this Mortgage, without the prior written consent of Mortgagee, and Mortgagor further agrees that it shall not take any action, or permit any action to be taken by others subject to its control, including licensees, or fail to take any action, which would adversely affect the validity or enforcement of the rights transferred to Mortgagee under this Mortgage.

5. New Patents, Trademarks, and Licenses. Mortgagor represents and warrants that the Patents, Trademarks and Licenses listed on Exhibits A, B and C, respectively, constitute all of the Patents and Trademarks now owned by, and Licenses granted by or to, Mortgagor. If, before the Obligations shall have been satisfied in full or before the Loan Agreement has been terminated, Mortgagor shall (i) obtain rights to any new patentable inventions, Patents, Trademarks or Licenses, or (ii) become entitled to the benefit of any Patents, Trademarks, or Licenses or any improvement on any Patent, the provisions of this Mortgage above shall automatically apply thereto and Mortgagor shall give to Mortgagee prompt written notice thereof. Mortgagor hereby authorizes Mortgagee to modify this Mortgage by amending Exhibits A, B and C, as applicable, to include any such Patents, Trademarks and Licenses.

6. Royalties Term. The term of the mortgages granted herein shall extend until the earlier of (i) the expiration of each of the respective Patents, Trademarks and Licenses assigned hereunder, and (i.) the Obligations have been paid in full and the Loan Agreement has been terminated. Upon the occurrence of an Event of Default, Mortgagor



agrees that the use by Mortgagee of all Patents, Trademarks and Licenses shall be worldwide and without any liability for royalties or other related charges from Mortgagee to Mortgagor.

7. Grant of License to Mortgagor. Unless and until an Event of Default shall have occurred, Mortgagee hereby grants to Mortgagor the exclusive, nontransferable right and license to use the Trademarks in the ordinary course of its business, to exercise Mortgagee's rights under the Licenses, and to make, have made, use and sell the inventions disclosed and claimed in the Patents for Mortgagor's own benefit and account and for none other. Mortgagor shall use the Trademarks only on goods of at least as high quality as the goods on which Mortgagor or its predecessor used the goods prior to the date hereof. Mortgagor agrees not to sell or assign its interest in, or grant any sublicense under, the license granted to Mortgagor in this Section 7, without the prior written consent of Mortgagee. From and after the occurrence of an Event of Default, Mortgagor's license with respect to the Patents, Trademarks and Licenses set forth in this Section 7 shall terminate forthwith, and Mortgagee shall have, in addition to all other rights and remedies given it by this Mortgage, those allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code (or such other applicable laws) as enacted in any of the jurisdictions in which the Patents, Trademarks or Licenses may be located.

8. Mortgagee's Right to Inspect. Mortgagee shall have the right, at any time and from time to time during normal business hours, upon reasonable prior notice if no Default or Event of Default then exists, and prior to payment in full of the Obligations and termination of the Loan Agreement, to inspect Mortgagor's premises and to examine Mortgagor's books, records and operations, including, without limitation, Mortgagor's quality control processes. Mortgagor agrees (i) to maintain the quality of any and all products in connection with which the Trademarks are used, consistent with the quality of said products as of the date hereof and (ii) to provide Mortgagee, upon Mortgagee's request from time to time, with a certificate of an officer of Mortgagor certifying Mortgagor's compliance with the foregoing. Upon the occurrence of an Event of Default, Mortgagor agrees that Mortgagee, or a conservator appointed by Mortgagee, shall have the right to establish such additional product quality controls as Mortgagee, or said conservator, in its sole judgment, may deem necessary to assure maintenance of the quality of products sold by Mortgagor under the Trademarks.

9. Release of Mortgage. This Mortgage is made for collateral purposes only. Upon payment in full of the Obligations and termination of the Loan Agreement, Mortgagee shall execute and deliver to Mortgagor all deeds, assignments and other instruments, and shall take such other actions, as may be necessary or proper to re-vest in Mortgagor full title to the Patents, Trademarks, and Licenses, subject to any disposition thereof which may have been made by Mortgagee pursuant hereto or pursuant to the Loan Agreement.

10. Expenses. All reasonable expenses incurred in connection with the reasonable performance of any of the agreements set forth herein shall be borne by

Mortgagor. All fees, costs and expenses, of whatever kind or nature, including attorneys' and paralegals' fees and legal expenses, incurred by Mortgagee in connection with the filing or recording of any documents (including all taxes in connection therewith) in public offices, the payment or discharge of any taxes, counsel fees, maintenance fees, encumbrances or otherwise in protecting, maintaining or preserving the Patents, Trademarks and Licenses, or in defending or prosecuting any actions or proceedings arising out of or related to the Patents, Trademarks and Licenses, shall be borne by and paid by Mortgagor on demand by Mortgagee and until so paid shall be added to the principal amount of the Obligations and shall bear interest at the then applicable rate as set forth in the Loan Agreement.

11. Duties of Mortgagor. Mortgagor shall have the duty (unless Mortgagor, in the exercise of its reasonable business judgment, determines that it is not necessary for the conduct of its business) (i) to prosecute diligently any patent, trademark or service mark applications pending as of the date hereof or thereafter until the Obligations shall have been paid in full, (ii) to make application on unpatented but patentable inventions and on trademarks and service marks, as appropriate, (iii) to preserve and maintain all rights in the Patents, Trademarks and Licenses, and (iv) to ensure that the Patents, Trademarks and Licenses are and remain enforceable. Any expenses incurred in connection with Mortgagor's obligations under this Section 11 shall be borne by Mortgagor. Mortgagor shall not abandon any right to file a patent, trademark or service mark application, or abandon any pending patent application, or any other Patent, Trademark or License without the written consent of Mortgagee.

12. Mortgagee's Right to Sue. After the occurrence of an Event of Default, Mortgagee shall have the right, but shall in no way be obligated, to bring suit in its own name to enforce the Patents, Trademarks and Licenses, and, if Mortgagee shall commence any such suit, Mortgagor shall, at the request of Mortgagee, do any and all lawful acts and execute any and all proper documents required by Mortgagee in aid of such enforcement and Mortgagor shall promptly, upon demand, reimburse and indemnify Mortgagee for all costs and expenses incurred by Mortgagee in the exercise of its rights under this Section 12.

13. Waivers. No course of dealing between Mortgagor and Mortgagee, nor any failure to exercise, nor any delay in exercising, on the part of Mortgagee, any right, power or privilege hereunder or under the Loan Agreement shall operate as a waiver thereof; nor shall any single or partial exercise of any right, power or privilege hereunder or thereunder preclude any other or further exercise thereof or the exercise of any other right, power or privilege.

14. Severability. The provisions of this Mortgage are severable, and if any clause or provision shall be held invalid and unenforceable in whole or in part in any jurisdiction, then such invalidity or unenforceability shall affect only such clause or provision, or part thereof, in such jurisdiction, and shall not in any manner affect such clause or provision in any other jurisdiction, or any other clause or provision of this Mortgage in any jurisdiction.

15. Modification. This Mortgage cannot be altered, amended or modified in any way, except as specifically provided in Section 5 hereof or by a writing signed by the parties hereto.

16. Cumulative Remedies; Power of Attorney; Effect on Loan Agreement.

All of Mortgagee's rights and remedies with respect to the Patents, Trademarks and Licenses, whether established hereby or by the Loan Agreement, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently. Upon the occurrence of an Event of Default, Mortgagor hereby authorizes Mortgagee to make, constitute and appoint any officer or agent of Mortgagee as Mortgagee may select, in its sole discretion, as Mortgagor's true and lawful attorney-in-fact, with power to (i) endorse Mortgagor's name on all applications, documents, papers and instruments necessary or desirable for Mortgagee in the use of the Patents, Trademarks and Licenses, or (ii) take any other actions with respect to the Patents, Trademarks and Licenses as Mortgagee deems to be in its best interest, or (iii) grant or issue any exclusive or non-exclusive license under the Patents, Trademarks or Licenses to anyone, or (iv) assign, pledge, convey or otherwise transfer title in or dispose of the Patents, Trademarks or Licenses to anyone. Mortgagor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. This power of attorney shall be irrevocable until the Obligations shall have been paid in full and the Loan Agreement, including any amendments thereto, has been terminated. Mortgagor acknowledges and agrees that this Mortgage is not intended to limit or restrict in any way the rights and remedies of Mortgagee under the Loan Agreement but rather is intended to facilitate the exercise of such rights and remedies. Mortgagee shall have, in addition to all other rights and remedies given it by the terms of this Mortgage and the Loan Agreement, all rights and remedies allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code (or such other applicable law) as enacted in any jurisdiction in which the Patents, Trademarks or Licenses may be located.

17. Binding Effect; Benefits. This Mortgage shall be binding upon Mortgagor and its respective successors and permitted assigns, and shall inure to the benefit of Mortgagee, its successors, nominees and assigns.

18. GOVERNING LAW. THIS MORTGAGE SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE INTERNAL LAWS OF THE STATE OF ILLINOIS.

19. CONSENT TO FORUM AND WAIVERS. TO INDUCE MORTGAGEE TO MAKE THE LOAN, AS EVIDENCED BY THE LOAN AGREEMENT AND THIS AGREEMENT, MORTGAGOR IRREVOCABLY AGREES THAT, SUBJECT TO MORTGAGEE'S SOLE AND ABSOLUTE ELECTION, ALL SUITS, ACTIONS OR OTHER PROCEEDINGS IN ANY WAY, MANNER OR RESPECT ARISING OUT OF OR FROM OR RELATED TO THIS AGREEMENT OR ANY DOCUMENTS EXECUTED IN CONNECTION HERewith, SHALL BE SUBJECT TO LITIGATION IN COURTS HAVING SITUS

WITHIN CHICAGO, ILLINOIS. MORTGAGOR HEREBY CONSENTS AND SUBMITS TO THE JURISDICTION OF ANY LOCAL, STATE OR FEDERAL COURT LOCATED WITHIN CHICAGO, ILLINOIS. MORTGAGOR HEREBY WAIVES ANY RIGHT IT MAY HAVE TO TRANSFER OR CHANGE THE VENUE OF ANY SUIT, ACTION OR OTHER PROCEEDING BROUGHT AGAINST MORTGAGOR BY MORTGAGEE IN ACCORDANCE WITH THIS SECTION. MORTGAGOR AND MORTGAGEE HEREBY WAIVE, TO THE EXTENT PERMITTED BY LAW, TRIAL BY JURY. MORTGAGOR FURTHER WAIVES ANY BOND OR SURETY OR SECURITY UPON SUCH BOND WHICH MIGHT, BUT FOR THIS WAIVER, BE REQUIRED OF MORTGAGEE.

20. Headings. Paragraph headings used herein are for convenience only and shall not modify the provisions which they precede.

21. Further Assurances. Mortgagor agrees to execute and deliver such further agreements, instruments and documents, and to perform such further acts, as Mortgagee shall reasonably request from time to time in order to carry out the purpose of this Mortgage and agreements set forth herein

22. Survival of Representations. All representations and warranties of Mortgagor contained in this Mortgage shall survive the execution and delivery of this Mortgage and shall be remade on the date of each borrowing under the Loan Agreement.

IN WITNESS WHEREOF, Mortgagor has duly executed this Mortgage in favor of Mortgagee as of the date first written above.

HOPKINS MANUFACTURING CORPORATION

By William L. Willett  
Its Assistant Secretary

AGREED AND ACCEPTED THIS  
11th day of February, 1997.

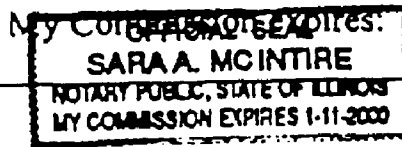
FLEET CAPITAL CORPORATION

By Kevin Sullivan  
Its Vice President

STATE OF ILLINOIS       )  
                                  ) SS  
COUNTY OF COOK        )

The foregoing Patent, Trademark and License Mortgage was executed and acknowledged before me this 11 day of February, 1997, by William L. Wilhite personally known to me to be the Asst. Secy of Hopkins Manufacturing Corporation, a Kansas corporation, on behalf of such corporation.

Sara A. McIntire  
Notary Public

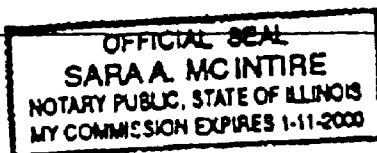


STATE OF ILLINOIS     )  
                                  ) SS  
COUNTY OF COOK     )

The foregoing Patent, Trademark and License Mortgage was executed and acknowledged before me this 11 day of February, 1997, by Kevin Sullivan, personally known to me to be the VP of Fleet Capital Corporation, a Rhode Island corporation, on behalf of such corporation.

Sara A. McIntire  
Notary Public

My Commission expires.



AFTER FILING RETURN THIS INSTRUMENT TO:

Darren M. Green, Esq.  
Goldberg, Kohn, Bell, Black,  
Rosenbloom & Moritz, Ltd.  
Suite 3700  
55 East Monroe  
Chicago, Illinois 60603

EXHIBIT A

U.S. AND FOREIGN PATENTS AND  
PATENT APPLICATIONS

COUNTRY

United States

<u>Patent No.</u>	<u>Grant Date</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Title</u>
		29/067,178	2/28/97	Ice Scraper
		29/069,726	4/21/97	Ice Scraper

# COUNTRY

Canada

<u>Patent No.</u>	<u>Grant Date</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Title</u>
		1997-2193	8/22/97	Ice Scraper
		1997-2663	10/10/97	Ice Scraper



**EXHIBIT A**

**U.S. AND FOREIGN PATENTS, PATENT APPLICATIONS  
AND DISCLOSURES**

**U.S. Patents and Patent Applications**

<b>Patent Number</b>	<b>Issue Date</b>	<b>Application Number</b>	<b>Filing Date</b>	<b>Title</b>
Des.331,223	11/24/92	497,636	3/23/90	ELECTRICAL CONVERTER FOR AUTOMOTIVE TRAILERS
5,281,147	1/25/94	41,937	4/2/93	MODIFIABLE HARNESS ADAPTOR AND METHOD
Des.344,065	2/8/94	620,299	11/30/90	ELECTRICAL CONVERTER FOR AN AUTOMOTIVE TRAILER SIGNAL
5,442,332	8/15/95	20,322	2/19/93	VEHICLE INTERFACE SYSTEM AND METHOD
5,443,389	8/22/95	91,927	7/16/93	UNIFIED CONNECTOR INTERFACE
		08/302,447	9/12/94	DIAGNOSTIC UNIFIED CONNECTOR INTERFACE
		08/600,134	2/12/96	POWER ADAPTER INTERFACE APPARATUS
		08/670,959	6/19/96	CONTROL INTEGRATED CONNECTOR INTERFACE
5,626,479	5/6/97	454,508	5/30/95	UNIFIED CONNECTOR INTERFACE ADAPTER

U.S. Disclosures Disclosure Number	Filing Date	Title
07/ 355,673	6/6/94	DIAGNOSTIC HARNESS PROTECTOR II
08/ 370,805	1/31/95	DIAGNOSTIC TRAILER HARNESS PROTECTOR WITH SOLID STATE CIRCUIT BREAKER PROTECTION
	3/14/94	DIAGNOSTIC HARNESS PROTECTOR
03/ 413,741	7/17/97	TWIST TITE™ WIRE CONNECTOR SERIES
08/ 413,902	7/15/97	SMARTFUSE™ TERMINATION SYSTEM
	2/7/94	FRONT AND CENTER
08/ 401,636	6/17/96	DIAGNOSTIC TRAILER CONNECTOR INTERFACE UTILIZING INCANDESCENT BULBS
	4/22/94	READY ACCESS DUALY
	3/28/94	AUTOMOTIVE MULTIPLEX HARNESS SYSTEM
08/ 387,379	8/4/95	BALL LOCK
	11/23/94	BRAKE CONTROLLER INTEGRATED TRAILER RECEPTACLE
08/ 382,718	9/28/95	MASTER MANUAL OVERRIDE CONTROLLER
08/ 397,190	4/22/96	DIAGNOSTIC ELECTRIC BRAKE CONTROLLER FOR TIME RAMPED CONTROLLER SYSTEMS
08/ 385,925	12/7/95	PROGRAMMABLE VEHICLE INTERFACE
	12/20/95	PVI SWITCHING/CONVERTER CIRCUIT
08/ 408,768	10/18/96	RAPID FLASH™
08/ 403,164	7/23/96	SELF CALIBRATING COLOR REACTANT TEST PAD
08/ 383,834	3/20/96	TAIL GATER SPORTS CANOPY/COOLER
08/ 384,098	10/11/95	TERMINAL SECUREMENT PROTECTOR
08/ 377,811	5/22/95	VARIABLE RESISTIVE IN-LINE CONNECTORS

U.S. Disclosures (continued)

<u>Disclosure Number</u>	<u>Filing Date</u>	<u>Title</u>
	2/25/94	THE UNIVERSAL HARNESS FOR SINGLE WIRE SYSTEMS
	6/6/94	NEW SUBMERSABLE WATER PROOF LIGHTING SYSTEM FOR TRAILERS
05/ 386,953	12/12/95	FLEX-FOUR
01/ 398,593	4/19/96	INERTIA SENSOR FOR COORDINATION OF ELECTRIC BRAKES ON A TOWED VEHICLE WITH THOSE ON A TOWING VEHICLE
	11/7/97	METHOD AND APPARATUS FOR FABRICATION OF A LIGHTWEIGHT TRAILER
00/ 411,160	1/9/97	VEHICLE INTERFACE FOR INTEGRATION OF COMMUNICATIONS AND REMOTE CONTROLLED SYSTEM AND METHOD AND MOTOR VEHICLE ELECTRONIC SYSTEMS INTERFACE SYSTEM AND METHOD

PATENT

REEL: 9360 FRAME: 0203

PATENT

REEL: 011641 FRAME: 0218

## PATENTS

DATE FILED	SERIAL NUMBER	ITEM	DATE PATENTED	PATENT NUMBER	STATUS
10-20-77	CN 289,157	Fluid Condition Monitoring System	CN 12-01-81	1,113,56	
4-3-79	CN 324,803	Ice Scraper (2nd Mechanical Patent)	CN 6-15-82	1,125,497	
8-5-81	CN 383,282	Intensified Bubble Image System Level Indicating Devices (Reflective Vial)	CN 1-4-83	1,138,635	
03-24-80	CN 348,299	Bear Claw Ice Scraper	CN 6-08-82	1,124,967	
9-19-79	✓ US 76,822	Ice Scraper	US 6-30-81	4,275,476	
4-05-83	CN 425,259	Headlamp Adjuster	CN 2-04-86	1,200,233	
2-12-85 4-30-84	CN 474,065 ✓ US 605,163	Scraper with Mix (Tom Barr's Patent assigned to us)	CN 2-04-86 US 9-03-85	1,200,061 4,538,320	
11-3-87	✓ US 116,471	Trailer Light Connection System	US 6-27-89	4,842,524	
6-22-88 6-23-87 6-23-88	CN 570,057 ✓ US 65,573 JAPAN 153672/88	Headlight Aiming and Light Pattern Testing Apparatus and Method	CN 5-5-92 US 8-14-90	1,299,858 4,948,249	Approved for publication and grant (will issue soon)
8-30-90	✓ US 574,928	Snow Brush with Removable Scraper	US 7-20-93	Des. 337,436	
2-8-91	✓ US 652,940	Headlight Aiming Apparatus & Display	US 11-17-92	5,164,785	
6-14-91 5-2-92 7-4-92	✓ US 715,373 CN 70623 CN 71064	Snowbrush with Removable Scraper and Folding Handle	US 6-29-93	Des. 336,783	
3-23-93	✓ US 6,074	Snowbrush	US 3-15-94	Des. 345,057	
12-11-92	✓ US 989,123	Method and Apparatus for Locating a Specific Location on Vehicle Headlamp	US 7-19-94	5,331,393	
10-21-92	✓ US 964,599	Headlight Aiming Method Using Pattern Framing	US 12-13-94	5,373,357	
8-2-91 7-6-92	✓ US 909,127	Brake and Turn Signal Adaptor for Trailers (Circuit Guardian)	CN 8-18-95 US 2-14-95	2,048,350 5,389,823	
2-14-94	✓ US 18,675	Automotive Electrical Wiring Junction Box (Sto-Box)	US 5-2-95	Des. 357,899	

DATE FILED	SERIAL NUMBER	ITEM	DATE PATENTED	PATENT NUMBER	STATUS
5-6-94	✓ US 238,890	Automotive Electrical Wiring Connector & Method of Installing Same	US 12-26-93	5,478,243	
2-6-95	✓ US 393,567	(High Heat Vial Holder) (Folding Flat 4) Headlamp Leveling Apparatus	US 1-2-96	5,479,714	
9-2-94	✓ US 300,283	Vehicle Headlight Aiming Apparatus (Software Module for Vision 1)	US 1-16-96	5,485,265	
9-22-94	✓ US 310,649	Brake Turn Signal Adaptor for Trailers (2nd - Circuit Guardian)	US 3-12-96	5,498,910	
5-10-96	✓ US 08647875	Flex-T	—	—	Pending

## TRADEMARK REGISTRATIONS

DATE FILED	SERIAL NUMBER	ITEM	DATE REGISTERED	REGISTRATION NUMBER	STATUS
10-24-58	US 61,297	Hoppy dewboy (and Design)(Renewed)	US 7-21-79 US 7-21-59	682,195	
10-5-77	US 143,740	Hoppy and Design	US 5-23-78	1,091,725	
3-9-79	US 226,805	Bear Claw	US 4-14-81	1,150,839	
4-4-80	US 256,646	Miscellaneous Design for Hand Operated Ice Scrapers (Scraper Window)	US 9-22-81	1,169,929	
11-28-80	US 287,634	Warn (and Design)	US 12-29-81	1,183,601	
2-24-82	US 351-641	Eagle Levels and Campers & Trailers	US 2-8-83	1,226,544	
12-28-84 3-28-83	CN 533,878 US 419,146	Hoppy	CN 4-4-86 US 3-12-85	313,032 1,324,094	
12-7-84	CN 532,946	Fox Paw	CN 3-14-86	312,419	
12-7-84	CN 532,947	Ram Paw	CN 3-14-86	312,420	
12-8-84 12-20-84	CN 532,942 US 514,478	Bear Paw	CN 5-2-86 US 7-23-85	313,784 1,350,336	
01-02-85	CN 533,980	Fox Emblem	CN 12-13-85	309,273	
2-22-80	US 251,600	Lite Male (Assigned to Hopkins Mfg. from OAW)	US 12-15-81	1,181,915	
7-23-87	US 673,862	Bear Head and Paw	US 3-1-88	1,478,334	
7-21-88	US 741,264	J-Blade	US 5-16-89	1,538,955	
6-27-91 1-31-91	US 74-134,962	Fractional Balance	CN 9-23-94 US 12-22-92	433,579 1,742,160	
7-8-92	US 74-292,845	Flobond	3-2-93	1,755,391	
3-31-93 5-15-92	US 74-275,942	VISION I	CN 2-10-93 US 11-30-93	439,087 1,808,385	
5-15-92	US 74-275,655	VISION II	5-31-94	1,838,373	
3-21-95	74-649670	Ice Chisel	-	-	pending: not of allow. mailed 6-11-96
4-10-95	74-658558	Flex-Convertor	-	-	pending: not of allow. mailed 3-19-96

DATE FILED	SERIAL NUMBER	ITEM	DATE REGISTERED	REGISTRATION NUMBER	STATUS
4-10-95	74-658560	Flex-T	-		pending: not of pub.6-21-96
1-2-96	75-039145	Vision 2000	-		pending
3-15-96	75-076299	Vision Perfect	-		pending
10-15-96	75-180963	Ice Clipper	-		pending

#### INDUSTRIAL DESIGN REGISTRATIONS

DATE FILED	SERIAL NUMBER	ITEM	DATE REGISTERED	REGISTRATION NUMBER
5-17-76		Scraper	CN 8-16-76	41079
8-7-76		Scraper (With Snow Flakes)	CN 8-16-76	41080
		Windshield Cleaning Device (Snowbrush)	CN 6-4-79	45499
		Automotive Electrical Wiring Junction Box	CN 6-15-95	76617

#### COPYRIGHT REGISTRATIONS

DATE FILED	SERIAL NUMBER	ITEM	DATE REGISTERED	REGISTRATION NUMBER
6-6-56	—	Ley-L-Lite Visualizer	US 7-18-56	K-118,516
9-16-81	—	Installed User Program #5796-RDN	US 9-23-81	TX 1-207-50
2-29-96	—	Vision Perfect Automated Headlight Aiming Software	US 2-29-96	TXu 725-31

LICENSE AGREEMENTS

1. License Agreement with Gary Gibbs of Gibbs & Associates, dated October 7, 1996.

642016 (WordPerfect Doc)

RECORDED: 07/28/1998

PATENT  
REEL: 9360 FRAME: 0219

PATENT  
REEL: 011641 FRAME: 0223



SCHEDULE A

TRADEMARK REGISTRATIONS AND APPLICATIONS, COMMON LAW MARKS,  
TRADE NAMES, AND DOMAIN NAMES

TRADEMARKS:

MARK: QUICK FILL

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
1,980,295	6/11/1996	74/621788	1/17/1995	United States

MARK: ON/OFF SPOUT

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
1,787,665	8/10/1993	74/187124	4/30/1993	United States

MARK: SPILL SAVER

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
1,709,030	8/18/1992	74/038518	3/14/1990	United States

MARK: SUPERGRAPHITE

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
Common Law				United States

MARK: SUPERGRAPHITE PLUS DESIGN (FLEXED RIGHT ARM)

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
Common Law				United States

MARK: FLOTOOL

<u>Reg'n.</u> <u>Number</u>	<u>Date</u> <u>Reg'd</u>	<u>Appl'n.</u> <u>Number</u>	<u>Filing</u> <u>Date</u>	<u>Country</u>
Common Law				United States