04-06-2001 FOF:M PTG-U.S DEPARTMENT OF COMMERCE 602-1511 PATENT AND TRADEMARK OFFICE 101657455 To the Honorable Commissioner c riginal documents or copy thereof. 7.22.01 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Kevin lan Marston Name: Hozelock Limited Internal Address: Street Address: Thame Road, Haddenham, Aylesbury, Buckinghamshire Additional name(s) of conveying party(ies) attached? Yes X No HP17 8JD, United Kingdom 3. Nature of conveyance: X Assignment \_ Merger Additional name(s) & address(es) attached? \_\_\_\_ Yes \_X\_ No Security Agreement Change of Name Other \_ Execution Date: February 22, 2001 4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 29/136,998 Additional numbers attached: Yes X No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: 7. Total fee (37 CFR 3.41) \$40.00 Name: William M. Lee, Jr. Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & Ohlson Street Address: \_\_ X Enclosed P.O. Box 2786 City: Chicago State: Illinois Zip: 60690-2786 \_ Authorized to be charged to deposit account 8. Deposit account number: 12-0913 (Attach duplicate copy of this form if paying by deposit account.) DO NOT USE THIS SPACE 9. Statement and signature To the best of my knowledge and belief, the foregoing information is, true and correct and any attached copy is a true copy of the original document. William M. Lee, Jr. March 17, 2001 Total number of pages including cover sheet, attachments and document 3 Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks **Box Assignments** Washington, D.C. 20231

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04/06/2001 LMUELLER 00000010 29136998 01 FC:581 40.00 P Attorney Docket No. 602-1511

**ASSIGNMENT** 

Sole Inventorship

WHEREAS, Kevin Ian Marston, hereinafter call the "Assignor", has invented a new and

useful Water Treatment Unit for a full description of which reference is here made to an

application for Letters Patent of the United States serial no. 29/136,999, filed February 9,

2001; and

WHEREAS, Hozelock Limited, a company organized and existing under the laws of

Britain, having a principal office and place of business at Thame Road, Haddenham, Aylesbury,

Buckinghamshire HP17 8JD, United Kingdom, hereinafter called the "Assignee", is desirous of

acquiring the entire right, title and interest in and to said invention, the application above

identified, and in, to and under Letters Patent which may be obtained for said invention, as

hereinafter more fully set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and

in consideration of the sum of \$1.00, other valuable and legally sufficient considerations, the

receipt of which by the said Assignor from the said Assignee is hereby acknowledged, said

Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer

unto the said Assignee, the entire right, title and interest for the United States in and to the

invention and application hereinabove identified, and any Letters Patent of the United States that

may issue for said invention as well as all continuations, continuations-in-part, divisions and

reissues thereof, and any Letters Patent therefor in all countries foreign to the United States; to

have and to hold for the sole and exclusive use and benefit of the said Assignee, its successors

and assigns to the full end of the term for which any and all of said Letters Patent for said

invention may issue.

PATENT REEL: 011646 FRAME: 0144 And the said Assignor does hereby covenant and agree, for himself and his legal representatives, that he will assist his said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as hereinbefore set forth, including any application for reissue, application for reexamination, or any proceeding in the United States Patent and Trademark Office affecting said invention; investing in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference or reexamination which may arise involving said invention, or any application or Letters Patent herein contemplated; and that he will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of said assignment.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue Letters Patent to the said Assignee in accordance with the terms of this assignment.

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand and affixed his seal, on the date indicated below.

Dated: 22/02/01

RECORDED: 03/22/2001

Kevin lan Marston

PATENT REEL: 011646 FRAME: 0145