04-06-2001

Practitioner's Docket No. 2229/122

101670791

PATENT



Box Patent Application Commissioner for Patents Washington, D.C. 20231

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION (37 C.F.R. section 3.31)

3-27-01

IDENTIFICATION OF APPLICATION

(37 C.F.R. section 3.21 and 37 C.F.R. section 3.31(a)(4))

- 1. The patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:
 - Type of application: Application of patent (37 C.F.R. section 1.53(b)) a.
 - b. Date of execution: March 23, 2001
 - Name of each inventor: c.
 - 1: Kamen, Dean L.
 - 2: Langenfeld, Christopher C.
 - 3: Owens, Kingston
 - 4: Strimling, Jonathan

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10°

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application" and the documents referred to as attached therein are being deposited with the United States Postal Service on this date March 27, 200 , in an envelope as "Express Mail Post Office to Addressee," mailing Label Number 66725605695 (5), addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Samuel J. Petuchowski

(type or print name of person mailing paper)

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. section 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under SECTION 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement

will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

03/29/2001 HTECKLU1 00000068 09818321

(Cover Sheet for Assignment Accompanying New Patent Application--page 1 of 4)

d. Title of invention: Regenerator for a Stirling Engine

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. section 3.31(a)(1))

- **2.** The parties conveying this interest are:
 - Name 1: Kamen, Dean L.
 - Name 2: Langenfeld, Christopher C.
 - Name 3: Owens, Kingston
 - Name 4: Strimling, Jonathan

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. section 3.31(a)(2))

3. The rights are being conveyed to:

New Power Concepts LLC 340 Commercial Street Manchester, NH 03101 US 603-669-5139

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. section 3.31 (a)(3))

4. The accompanying document intends to accomplish: an assignment.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. section 3.31(a)(5))

5. Please address correspondence to:

Samuel J. Petuchowski Bromberg & Sunstein LLP 125 Summer Street

Boston, MA 02110-1618 617-443-9292

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. section 3.31(a)(7))

6. The attached assignment (document) was executed on March 26, 2001.

(Cover Sheet for Assignment Accompanying New Patent Application--page 2 of 4)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

7. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

8. Submitted herewith is the original document.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE

- **9.** A. This cover sheet identifies only one application.
 - B. The fee for recordal (37 C.F.R. section 1.21(h)) is \$40.00. Attached is a check for \$40.00.

STATEMENT (37 C.F.R. section 3.31(a)(9)) AND SIGNATURE (37 C.F.R. section 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel J. Petuchowski Bromberg & Sunstein LLP 125 Summer Street Boston, MA 02110 USA

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TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are: ≠ 7

(Cover Sheet for Assignment Accompanying New Patent Application--page 3 of 4)

ASSIGNMENT

ASSIGNOR(S): Dean L. Kamen

Christopher C. Langenfeld

Kingston Owens Jonathan Strimling

ASSIGNEE: New Power Concepts LLC

340 Commercial Street

Manchester, NH 03101-1108

STATE OF INCORPORATION OF ASSIGNEE: New Hampshire

TITLE: Regenerator for a Stirling Engine

ATTORNEY DOCKET: 2229/122

<u>APPLICATION NO.</u>: Not Assigned

FILED: Herewith

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon

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any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described

applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

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IN WITNESS WHEREOF, each Assignor hereby executes this instrument on the date set forth below.

Date: Man 23, 2001

Dean L. Kamen

STATE OF NEW HAMPSHIRE)

COUNTY OF Hillsboronger) ss.

Before me, a notary public in and for said county and state, personally appeared Dean L. Kamen, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Notary/Pyblic

My Commission Expires:

MARGÛERITE RITCHIE

★ NOTARY PUBLIC - NEW HAMPSHIRE ★

My Commission Expires October 28, 2003

(seal)

Date: 3-23-01

Christopher C. Langenfeld

STATE OF NEW HAMPSHIRE)

COUNTY OF Hellstoring () ss.

Before me, a notary public in and for said county and state, personally appeared Christopher C. Langenfeld, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Notary/Public

My Commission Expires:

MARGUERITE RITCHIE

★ NOTARY PUBLIC - NEW HAMPSHIRE ★ My Commission Expires October 28, 2003

(seal)

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Date: 3/26/2001	Kingston Owens

STATE OF NEW HAMPSHIRE) ss. COUNTY OF Kullstronger)

Before me, a notary public in and for said county and state, personally appeared Kingston Owens, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Notary/Public

My Commission Expires

MARGUERITE RITCHIE

** NOTARY PUBLIC - NEW HAMPSHIRE **
My Commission Expires October 28, 2003

(seal)

Date: $\frac{3/23/01}{}$

Jonathan Strimling

STATE OF NEW HAMPSHIRE)

COUNTY OF (Allsborough) ss.

RECORDED: 03/27/2001

Before me, a notary public in and for said county and state, personally appeared Jonathan Strimling, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Notary Public

My Commission Expires:

(seal)

MARGUERITE RITCHIE

★ NOTARY PUBLIC - NEW HAMPSHIRE ★
My Commission Expires October 28, 2003

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