

04-10-2001



101658628

U.S. Department of Commerce
Patent and Trademark Office
PATENT

5993956

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☐ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☒ Other **CONFIRMATORY LICENSE**

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☒ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) **CARNEGIE MELLON UNIVERSITY**

Execution Date
Month Day Year
12/19/2000

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) **UNITED STATES DEPARTMENT OF ENERGY**

Name (line 2)

Address (line 1) **1000 INDEPENDENCE AVENUE, S.W.**

Address (line 2)

Address (line 3) **WASHINGTON**

D. C. USA

20585

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Correspondent Name and Address

Area Code and Telephone Number

[630] 252-2393

Name **ROBERT J. FISHER**

Address (line 1) **U.S. DEPARTMENT OF ENERGY**

Address (line 2) **OFFICE OF INTELLECTUAL PROPERTY LAW**

Address (line 3) **9800 SOUTH CASS AVENUE**

Address (line 4) **ARGONNE, ILLINOIS 60439**

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

01

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5,993,956		

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

--	--	--

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

01

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Mark P. Dvorscak

Mark P. Dvorscak

MAR 21 2001

Name of Person Signing

Signature

Date

CONFIRMATORY LICENSE

(Nonprofit Organizations or Small Business Firms)

Title : MANGANESE CONTAINING LAYER FOR MAGNETIC RECORDING MEDIA
Inventor(s) : Li Lien Lee, David N. Laughlin & David N. Lambeth
Serial No. : 08/844,835 Filing Date: 4/22/97
Contractor : CARNEGIE MELLON UNIVERSITY
DOE Contract No.: DE-FG02-90ER45423
DOE Case No. : S-88,835
Patent Rights Clause No.: DEAR 952.227-11

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

EPO Singapore South Korea
China Japan

The contractor, having the right to retain title to Subject Inventions as a result of Public Law 96-517, has reported the above-identified invention as a Subject Invention to DOE with its election to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States, required by the Public Law and the above-identified contract, in this Subject Invention, patent application and any resulting patent, as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, throughout the world and of all other rights acquired by the Government by the above-identified patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified Subject Invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

(SEAL)

Signed this 19th day of December, 19 2000

CARNEGIE MELLON UNIVERSITY

(Contractor)

By: Juan M. Shiffr

(Contractor's Official and Title)

5000 Forbes Avenue, Rm 1A-15213
(Business Address)