



04-11-2001



Docket No. RD-26,265

FORM PTO 1595
1-31-92

RE

101659249
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David Paul Mobley
Mohan (NMN) Amaratunga
John Henry Lobos
Sukhendu Bikash Hait
Andrew James Caruso

3-20-01

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: Mar 13, 2001 Oct 23, 2000

Mar 18, 2001 Mar 12, 2001 Oct 23, 2000

09/814/484

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Mar 13, 2001 Oct 23, 2000 Mar 18, 2001 Mar 12, 2001 Oct 23, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

S. B. Brown

Name of Person Signing

Signature

March 19, 2001

Total number of pages including cover sheet, attachments and document

4

OMB No. 0651-0011 (exp 4/94)

Do Not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

8/93

04/10/2001 000003090 070868 05814484

PATENT
REEL: 011653 FRAME: 0548

ASSIGNMENT

Docket Number
RD-26265

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

David Paul Mobley	of Schenectady, NY
Mohan Mark Amaratunga	of Clifton Park, NY
John Henry Lobos	of Ballston Spa, NY
Sukhendu Bikash Hait	of Tuscaloosa, AL
Andrew James Caruso	of Schenectady, NY
	of
	of
	of
	of
	of
	of
	of
	of
	of

sell and assign to General Electric Company, a New York Corporation and Brown University Research Foundation, a Providence, Rhode Island Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

TRICARBOXYLIC ACIDS, METHOD, AND BRANCHED POLYCARBONATES PREPARED
THEREFROM

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or
☐ U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

3/13/01
Date


David Paul Mobley

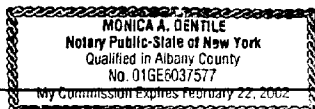
State of New York) SS:

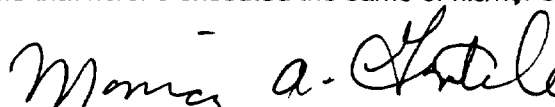
County of Schenectady)

This 13th day of March, 2001, before me personally came the

above-named David Paul Mobley to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal




(Notary Public)

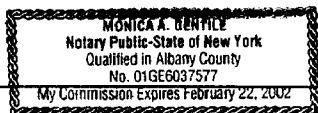
ASSIGNMENTDocket Number
RD-2626510/23/00

Date

Mohan Mark Amaratunga
Mohan Mark AmaratungaState of New York) SS:County of Schenectady)

This 23rd day of October, 2000, before me personally came the above-named Mohan Mark Amaratunga to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal



Date

Monica A. Gentile
(Notary Public)John Henry Lobos
John Henry LobosState of New York) SS:County of Schenectady)

This 18th day of March, 2001, before me personally came the above-named John Henry Lobos to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

PATRICIA L. R. RODRIGUEZ
Notary Public, State of New York
Qualified in Schenectady County
No. 02RO6031638
Commission Expires Oct. 4, 2004

Patricia L. Rodriguez
(Notary Public)03/12/01

Date

Sukhendu Bikash Hait

Sukhendu Bikash Hait

State of Alabama) SS:County of Tuscaloosa)

This 12th day of March, 2001, before me personally came the above-named Sukhendu Bikash Hait to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

MY COMMISSION EXPIRES NOVEMBER 13, 2002

Patricia Kelley
(Notary Public)

ASSIGNMENT

Docket Number
RD-26265

10-23-00

Date

Andrew James Caruso

Andrew James Caruso

State of New York) SS:

County of Schenectady)

This 23rd day of October, 2000, before me personally came

the above-named Andrew James Caruso to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal



Monica A. Gentile

(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came

the above-named _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came

the above-named _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)