

04-12-2001

RI



101671843

Attorney Docket
No.: 290-23U1 (053662-5014-01)

To the Assistant Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Noel P. Bouck
David W. Dawson
Paul R. Gillis

2. Name and address of receiving party(ies):

Northwestern University
1801 Maple Avenue
Evanston, Illinois 60201

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: November 20th, 26th and 29th, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/122,079

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence document should be mailed:

KATHRYN DOYLE, Ph.D., J.D.
MORGAN, LEWIS & BOCKIUS, L.L.P.
1701 Market Street
Philadelphia, PA 19103
Telephone: (215) 963-5000
Direct Dial: (215) 963-4723
Facsimile: (215) 963-5299
E-Mail: kdoyle@morganlewis.com

6. Total number of applications and patents involved: [1]

7. Total fee (37 C.F.R. 3.41) Cal. 1 x \$40.00 = \$40.00

☒ Check enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0310

(Billing No.: 053662-5014-01)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathryn Doyle, Ph.D.

Name of Person Signing


Signature

March 29, 2001

Date

Total number of pages including cover sheet, attachments and document: [11]

JOINT

ASSIGNMENT

WHEREAS, we, **Noel P. Bouck, David W. Dawson, Paul R. Gillis**, respectively citizens of United States of America, with respective post office addresses of _____
_____; 267 Parnassus Ave.,
San Francisco, CA 94117 PRG; hereinafter generally referred to as "ASSIGNORS,"
have invented a certain new and useful

METHODS AND COMPOSITIONS FOR INHIBITING ANGIOGENESIS

for which we have executed a United States patent application, Application No. **09/122,079**, filed **July 23, 1998**, and

ASSIGNORS authorize ASSIGNEE or ASSIGNEE's representative to insert the Application Number and Filing date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, **The Board of Trustees of Northwestern University**, a not for profit corporation having a place of business at 1801 Maple Avenue, Evanston, Illinois 60201, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said patent application.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged and intending to be legally bound hereby, we, the undersigned ASSIGNORS, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named ASSIGNEE, the whole and entire right, title and interest

in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

(Seal)

Date

Noel P. Bouck

(Seal)

Date

David W. Dawson

11/26/99

Date

Paul R. Gillis

Paul R. Gillis

(Seal)

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this ____ day of _____, 1999, personally appeared **Noel P. Bouck** who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

STATE OF _____ :
: ss.
COUNTY OF _____ :

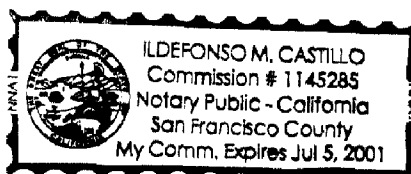
Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 1999, personally appeared **David W. Dawson**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

STATE OF CALIFORNIA :
: ss.
COUNTY OF SAN FRANCISCO :

Before me, a notary public in and for the State and County aforesaid, on this 26th day of November, 1999, personally appeared **Paul R. Gillis**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Ildefonso M. Castillo
Notary Public
My Commission Expires: July 5, 2001



Attorney Docket No. 0290-23U1
200290-0025

JOINT

ASSIGNMENT

WHEREAS, we, **Noel P. Bouck, David W. Dawson, Paul R. Gillis**, respectively citizens of United States of America, with respective post office addresses of _____; 10810 Wilkins Ave.
Los Angeles, CA 90024; _____; hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

METHODS AND COMPOSITIONS FOR INHIBITING ANGIOGENESIS

for which we have executed a United States patent application, Application No. 09/122,079, filed July 23, 1998, and

ASSIGNORS authorize **ASSIGNEE** or **ASSIGNEE's** representative to insert the Application Number and Filing date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, **The Board of Trustees of Northwestern University**, a not for profit corporation having a place of business at 1801 Maple Avenue, Evanston, Illinois 60201, hereinafter generally referred to as "**ASSIGNEE**", is desirous of acquiring said invention and said patent application.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged and intending to be legally bound hereby, we, the undersigned **ASSIGNORS**, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named **ASSIGNEE**, the whole and entire right, title and interest

in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

(Seal)

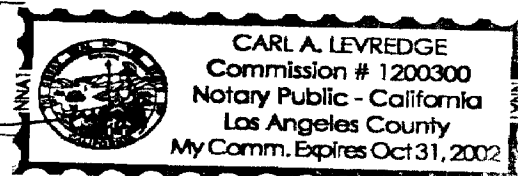
Date

Noel P. Bouck

11/29/94

Date

David W. Dawson



See Attached

(Seal)

Date

Paul R. Gillis

STATE OF _____

ss.

COUNTY OF _____

Before me, a notary public in and for the State and County aforesaid, on this ____ day of _____, 1999, personally appeared Noel P. Bouck who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public

My Commission Expires:

PATENT

REEL: 011654 FRAME: 0292

JOINT

ASSIGNMENT

NB. WHEREAS, we, **Noel P. Bouck, David W. Dawson, Paul R. Gillis**, respectively citizens of United States of America, with respective post office addresses of 3000 Joy RD, OCCIDENTAL, CA 95465; 267 PARNASSUS ST, SAN FRANCISCO, CA 94117; hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

METHODS AND COMPOSITIONS FOR INHIBITING ANGIOGENESIS

for which we have executed a United States patent application, Application No. **09/122,079**, filed **July 23, 1998**, and

ASSIGNORS authorize ASSIGNEE or ASSIGNEE's representative to insert the Application Number and Filing date of this application if they are unknown at the time of execution of this Assignment.

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in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

11-20-99

Date

Noel P. Bouck

Noel P. Bouck

(Seal)

Date

David W. Dawson

(Seal)

Date

Paul R. Gillis

(Seal)

STATE OF ILLINOIS :

COUNTY OF Cook : ss.

Before me, a notary public in and for the State and County aforesaid, on this 20 day of November, 1999, personally appeared **Noel P. Bouck** who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Barbara Spiegel

Notary Public

My Commission Expires:

