

Form PTO-1595

1-3-92

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name and address of conveying party(ies):

1. DEBRA ANN COCKAYNE
2. ANTHONY P.D.W. FORD
3. WILHELM G. LACHNIT
4. ANNIKA B. MALMBERG
5. QUAN-MING ZHU

2. Name and address of receiving party(ies):

SYNTEX (U.S.A.) LLC
3401 HILLVIEW AVENUE
PALO ALTO, CALIFORNIA 94304

3. Nature of conveyance: ☒ ASSIGNMENT

Execution Dates of Assignment:

(1) 10-Apr-01; (2) 10-Apr-01; (3) 25-Apr-01;
(4) 13-Apr-01; (5) 10-Apr-01

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

U.S. Serial No. 09/783,067, filed February 13, 2001**Attorney Docket No. R0073C-REG**

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ROHAN PERIES**Address:** ROCHE BIOSCIENCE

PATENT LAW DEPARTMENT M/S A2-250
3401 HILLVIEW AVE
PALO ALTO, CALIFORNIA 94304

6. Total number of applications and patents involved:

ONE7. Total fee (37 CFR 3.41)..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit Account Number: 18-1700**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gloria Pfister Reg. 45,642

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: [7]

ASSIGNMENT I

WHEREAS, We, **Debra Ann Cockayne, Anthony P.D.W. Ford, Wilhelm G. Lachnit, Annika B. Malmberg, and Quan-Ming Zhu** ("Assignor(s)") having the respective addresses indicated below, have invented certain subject matter entitled,

"USE OF PURINERGIC RECEPTOR MODULATORS AND RELATED REAGENTS,"

(the "Invention"), described in patent application U.S. Serial No. **09/783,067**, filed **February 13, 2001**, for Letters Patent of the United States of America (the "Application");

AND WHEREAS, Syntex (U.S.A.) LLC., a corporation of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor(s) acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 09/783,067, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §119(e), or 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignor(s) hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.

Assignor(s) further covenant to execute and deliver upon request and without undue delay, to Syntex, its successors, assigns and legal representatives, all lawful documents necessary or desirable to effect this assignment and perfect the title to the Invention, Application and Letters Patent(s) and all foreign patents and applications claiming priority thereto.

Assignor(s) further covenant to cooperate and participate in any administrative or legal proceeding and execute all lawful documents necessary or desirable for Syntex, its successors, assigns and legal representatives to obtain, maintain and enforce patent

ASSIGNMENT I

protection in the Invention in all countries, provided that Syntex reimburses Assignor(s) for any expenses incurred therewith by Assignor(s).

This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application U.S. Serial No. 09/783,067, as indicated in the Filing Receipt for said Application.

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.


Signature Debra Ann Cockayne Date 4-10-01
Debra Ann Cockayne
196 Manley Court, San Jose, CA 95139, U.S.A.

Signature Anthony P.D.W. Ford Date 4/10/01
Anthony P.D.W. Ford
709 Tiana Lane, Mountain View, CA 94041, U.S.A.

Signature Quan-Ming Zhu Date 4/10/01
Quan-Ming Zhu
1669 South Mary Avenue, Sunnyvale, CA 94087, U.S.A.

ASSIGNMENT I

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

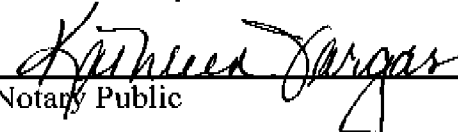
Signature  Date 4.25.01
Wilhelm G. Lachnit
2565 Poppy Drive, Burlingame, CA 94010, U.S.A.

State of California)
) ss.
County of Santa Clara)

On April 25, 2001, before me, Kathleen Vargas, personally appeared Wilhelm G. Lachnit, personally known to me to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

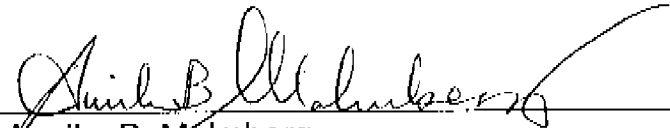


WITNESS my hand and official seal.


Notary Public

ASSIGNMENT I

I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature  Date 4-13-01
 Annika B. Malmberg
 311 Ramona Street, #A, Palo Alto, CA 94301, U.S.A.

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California }
 County of Santa Clara } ss.

On April 10, 2001, before me, Iris Weise, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")
 personally appeared Debra Ann Cockayne, Anthony P.D.W. Ford, and
Quan-Ming Zhu
Name(s) of Signer(s)

☐ I personally known to me
☒ I proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Iris Weise
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT I for patent application USSN 09/783,067
Docket R0073C-REG

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____
☒ Individual
☐ Corporate Officer Title(s): _____
☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer Is Representing: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

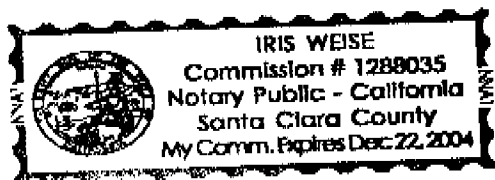
} ss.

On April 13, 2001, before me, Iris Weise, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Annika B. Malmberg
Name(s) of Signer(s)

☐ I personally known to me
☒ X proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Iris Weise
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment I for U.S. patent application
S/N 09/783,067 (Attorney Docket R0073C-REG)

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: self

RIGHT THUMBPRINT
 OF SIGNER
 Top of Thumb here