

04-12-2001

Docket No.: BUR980204US2

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101660947

3.26.01

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
John O. Dukovic
William E. Corbin, Jr.
Erick G. Walton
Peter S. Locke
Panayotis C. Andricacos
James E. Fluegel
Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):
Name: **International Business Machines Corporation**
Address: **New Orchard Road**
City: **Armonk** State/Prov.: **NY**
Country: **USA** ZIP: **10504**
Additional name(s) & address(es) Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other
Execution Date: **12/15/99, 6/22/00, 6/26/00**

4. Application number(s) or registration numbers(s):
If this document is being filed together with a new application, the execution date of the application is:
Patent Application No. **09/461,849** Filing date **12/15/1999**
B. Patent No.(s)
04-12/2001 LMUELLER 00000044 090456 09461849
01 FD:581 40.00 CH
Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: **Howard J. Walter, Jr.**
Registration No. **24, 832**
Address: **Intellectual Property Law - 972E**
IBM Corporation
1000 River Street
City: **Essex Junction** State/Prov.: **VT**
Country: **05452** ZIP: **05452**

6. Total number of applications and patents involved: **1**
7. Total fee (37 CFR 3.41):.....\$ **40.00**
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account
 Authorized to be charged to deposit account
8. Deposit account number: **24241**
09-0456
PATENT TRADEMARK OFFICE

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Howard J. Walter, Jr. (802) 769-9555
Name of Person Signing **Howard J. Walter, Jr.** Signature **1 Feb '01** Date
Total number of pages including cover sheet, attachments, and **9**

ASSIGNMENT

Whereas, we

Inventor and City	(1) John O. Dukovic County of Westchester	City of Pleasantville and State of New York
and	(2) William E. Corbin, Jr. County of Orange	City of Newburgh and State of New York
and	(3) Erick G. Walton County of Chittenden	City of Underhill and State of Vermont
and	(4) Peter S. Locke County of Dutchess	City of Hopewell Junction and State of New York
and	(5) Panayotis C. Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
and	(6) James E. Fluegel County of Dutchess	City of Rhinebeck and State of New York

have invented certain improvements in

Title **METHOD OF CONTROLLING CHEMICAL BATH COMPOSITION IN A MANUFACTURING ENVIRONMENT**

Dates That and have executed, respectively, a United States patent application therefor on

Inventors Signed the Declaration	(1) 6/22/00 1999 , and (2)	1999 , and
	(3) 1999 , and (4)	1999 , and
	(5) 6/22/00 1999 , and (6)	1999 , and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

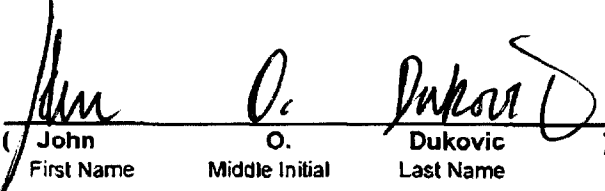
Express Mail No. EL046032129US

Docket Number BUR980204US2

ASSIGNMENT

City
Date

(1) Signed at Yorktown Heights, New York
on 6/22/00


 (John O. Dukovic) signature inventor
 First Name Middle Initial Last Name

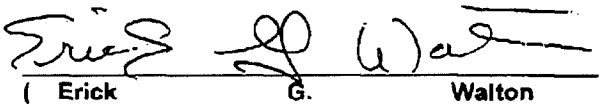
City
Date

(2) Signed at
on

(William E. Corbin) signature inventor
 First Name Middle Initial Last Name

City
Date

(3) Signed at Essex Junction
on 12/15/99


 (Erick G. Walton) signature inventor
 First Name Middle Initial Last Name

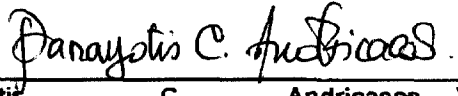
City
Date

(4) Signed at
on

(Peter S. Locke) signature inventor
 First Name Middle Initial Last Name

City
Date

(5) Signed at Yorktown Heights, New York
on 6/22/00


 (Panayotis C. Andricacos) signature inventor
 First Name Middle Initial Last Name

City
Date

(6) Signed at
on

(James E. Fluegel) signature inventor
 First Name Middle Initial Last Name

ASSIGNMENT

Whereas, we

Inventor and City	(1) John O. Dukovic County of Westchester	City of Pleasantville and State of New York
and	(2) William E. Corbin, Jr. County of Orange	City of Newburgh and State of New York
and	(3) Erick G. Walton County of Chittenden	City of Underhill and State of Vermont
and	(4) Peter S. Locke County of Dutchess	City of Hopewell Junction and State of New York
and	(5) Panayotis C. Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
and	(6) James E. Fluogel County of Dutchess	City of Rhinebeck and State of New York

have invented certain improvements in

Title **METHOD OF CONTROLLING CHEMICAL BATH COMPOSITION IN A MANUFACTURING ENVIRONMENT**

Dates That and have executed, respectively, a United States patent application therefor on

Inventors			
Signed the	(1)	1999 , and (2)	<i>6/26/00</i> 1999 , and
Declaration	(3)	1999 , and (4)	1999 , and
	(5)	1999 , and (6)	1999 , and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

ASSIGNMENT

City Date (1) Signed at on
 (John O. Dukovic) signature inventor
 First Name Middle Initial Last Name

City Date (2) Signed at Hopewell Junction, NY on 6/26/00
 (William E. Corbin) signature inventor
 First Name Middle Initial Last Name

City Date (3) Signed at Essex Junction on 12/15/99
 (Erick G. Walton) signature inventor
 First Name Middle Initial Last Name

City Date (4) Signed at on
 (Peter S. Locke) signature inventor
 First Name Middle Initial Last Name

City Date (5) Signed at on
 (Panayotis C. Andricacos) signature inventor
 First Name Middle Initial Last Name

City Date (6) Signed at on
 (James E. Fluegel) signature inventor
 First Name Middle Initial Last Name

ASSIGNMENT

Whereas, we

Inventor and City	(1) John O. Dukovic County of Westchester	City of Pleasantville and State of New York
and	(2) William E. Corbin, Jr. County of Orange	City of Newburgh and State of New York
and	(3) Erick G. Walton County of Chittenden	City of Underhill and State of Vermont
and	(4) Peter S. Locke County of Dutchess	City of Hopewell Junction and State of New York
and	(5) Panayotis C. Andricacos County of Westchester	City of Croton-on-Hudson and State of New York
and	(6) James E. Fluegel County of Dutchess	City of Rhinebeck and State of New York

have invented certain improvements in

Title **METHOD OF CONTROLLING CHEMICAL BATH COMPOSITION IN A MANUFACTURING ENVIRONMENT**

Dates That and have executed, respectively, a United States patent application therefor on

Inventors Signed the Declaration	(1)	1999	, and (2)	1999	, and
	(3)	12-15-99	1999	, and (4)	1999
	(5)		1999	, and (6)	1999

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

ASSIGNMENT

City Date (1) Signed at on _____ signature inventor
 (John O. Dukovic)
 First Name Middle Initial Last Name

City Date (2) Signed at on _____ signature inventor
 (William E. Corbin)
 First Name Middle Initial Last Name

City Date (3) Signed at *Essex Junction* on *12/15/99* _____ signature inventor
 (Erick G. Walton)
 First Name Middle Initial Last Name

City Date (4) Signed at on _____ signature inventor
 (Peter S. Locke)
 First Name Middle Initial Last Name

City Date (5) Signed at on _____ signature inventor
 (Panayotis C. Andricacos)
 First Name Middle Initial Last Name

City Date (6) Signed at on _____ signature inventor
 (James E. Fluegel)
 First Name Middle Initial Last Name

Express Mail No. EL046032129US

Docket Number BUR980204US2

ASSIGNMENT


City Date (1) Signed at on

<hr/>			signature
(John	O.	Dukovic) inventor
First Name	Middle Initial	Last Name	

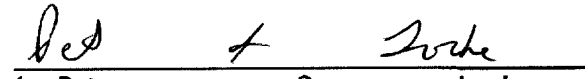
City Date (2) Signed at on

<hr/>			signature
(William	E.	Corbin) Inventor
First Name	Middle Initial	Last Name	

City Date (3) Signed at Essex Junction on 12/15/99

			signature
(Erick	G.	Walton) inventor
First Name	Middle Initial	Last Name	

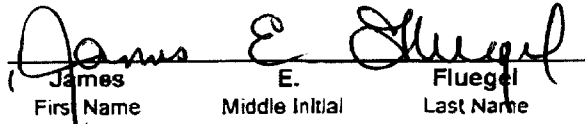
City Date (4) Signed at East Fishkill on 6/22/00

			signature
(Peter	S.	Locke) Inventor
First Name	Middle Initial	Last Name	

City Date (5) Signed at on

<hr/>			signature
(Panayotis	C.	Andricacos) inventor
First Name	Middle Initial	Last Name	

City Date (6) Signed at EAST FISHKILL on 6-22-00

			signature
(James	E.	Fluegel) inventor
First Name	Middle Initial	Last Name	