

04-13-2001

FORM PTO-1595
1-31-92



EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101672204

4.5-01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John W. MARSHALL
Rob AMPAW
Dan FINUCANE

2. Name and address of receiving party(ies):

SALOMON SMITH BARNEY INC.
388 Greenwich Street
New York, New York 10013

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): March 22, 2001, March 13, 2001, and March 25, 2001

4. Application number(s) or patent number(s):

This document is being filed together with a new application:

- (a) The execution date(s) of the application is/are:
(b) The title is:
*** OR ***

This document is being filed after filing of the application:

- (a) Patent Application No(s). 09/749,742 filed December 28, 2000; or
(b) Patent No(s). _____, issued _____.

5. Name and address of party to whom correspondence concerning document should be mailed:

KILPATRICK STOCKTON LLP
Suite 900
607 14th Street, N.W.
Washington, D.C. 20005

Our Docket: SSB0001-US

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account.

8. Deposit Account No.: 501458 (Any underpayment is authorized to be charged to this Deposit Account)
(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George T. Marcou, #33,014
Name of Person Signing

[Signature]
Signature

4/5/01
Date:

Total number of pages including cover sheet, attachment and document: 7

DO NOT USE THIS SPACE

04/12/2001 LMUELLER 00000186 09749742

01 FD:581

40.00

PATENT
REEL: 011662 FRAME: 0505

ASSIGNMENT

WHEREAS, John W. MARSHALL, Rob AMPAW, AND Dan FINUCANE (referred to as "ASSIGNORS") have invented certain new and useful improvements in an invention entitled METHOD AND SYSTEM FOR FILE BLOCKING IN AN ELECTRONIC MESSAGING SYSTEM,

☒ for which a ^{utility} ~~provisional~~ application for a United States Patent was filed with the United States Patent and Trademark Office on December 28, 2000, Serial Number 09/749,742

☐ for which an application for a United States Patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, SALOMON SMITH BARNEY INC. having an office at 388 Greenwich Street, New York, New York 10013 (hereinafter referred to as the "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell and transfer to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNORS'S entire right, title and interest in and to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any patent application claiming priority to the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term of terms for which the same may be granted; and authorize and request the Commissioner of Patents of the United States and any official of any foreign country whose duty it is to issue patents or legal equivalents thereto, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and

said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and signed on the date indicated below:

SIGNATURE(S)

The signature(s) must correspond with the name(s) of the inventor(s) above.

INVENTOR(S)DATE SIGNED

1)


John W. MARSHALL

X

3/22/07

X

2)

Rob AMPAW

3)

Dan FINUCANE

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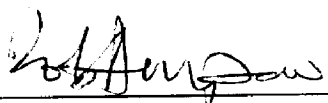
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INVENTOR(S)DATE SIGNED


1)

John W. MARSHALL

2)



Rob AMPAW 

03/13/01 

3)

Dan FINUCANE

ASSIGNMENT

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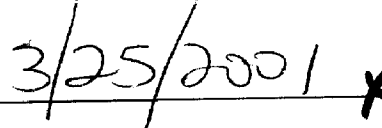
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INVENTOR(S)DATE SIGNED

1) _____
John W. MARSHALL

2) _____
Rob AMPAW

3)  _____
Dan FINUCANE

 _____