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Form PTO-1595

RECOF

U.S. DEPARTMENT OF COMMERCE

(Rev. 6/93)

OMB No. 0651-0011 (exp. 4/94)

Patent And Trademark Office



101673344

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert I. Garver

2. Name and address of receiving party(ies):

Name: UAB Research Foundation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: 701 20th Street SouthCity: Birmingham State: AL Zip 35294Execution Date: November 6, 2000Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s) 09/276,866

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent GroupInternal Address: Foley, Hoag & Eliot LLPStreet Address: One Post Office SquareCity: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved (1)

7. Total Fee (37 CFR 3.14)..... \$ 40.00☒ Enclosed☐ Authorized to be charged to _____ deposit account

8. Deposit account number:

06-1448, Ref.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kingsley L. Taft, Reg. No. 43,946

Name of Person Signing and Reg. No.

Signature Kingsley L. Taft

03/27/01

Date

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PATENT
REEL: 011668 FRAME: 0623

ASSIGNMENT

WHEREAS, I, Robert I. Garver, of 2116 Royal Fern Lane, Hoover, Alabama, 35244, with others, have developed certain inventions described in a patent application titled METHODS AND COMPOSITIONS FOR TREATING SOLID TUMORS, filed on March 26, 1999 and having U.S. Application No. 09/276,866, having full right to convey their entire right, title and interest, both legal and equitable, in and to said inventions, free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and


WHEREAS, THE UAB RESEARCH FOUNDATION ("Assignee"), of Birmingham, a nonprofit corporation of the State of Alabama, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, the undersigned's entire right, title and interest throughout the world in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications and claim priority under the provisions of any international convention or treaty; also the entire right, title and interest throughout the world in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns.

The undersigned further agrees, at the expense of the Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

[remainder of this page intentionally left blank]

IN TESTIMONY WHEREOF, the undersigned has hereunto set the undersigned's hand and seal on the date after the signature.


Robert I. Garver

11/6/00
(Date)

State of Alabama)

County of)

On this the 6th day of November, 2000 before me personally appeared Robert I. Garver to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.


Cynthia S. Calhoun
Notary Public

My commission expires _____

NOTARY PUBLIC, ALABAMA AT LARGE
MY COMMISSION EXPIRES JUNE 2, 2004