

APR 09 2001

04-16-2001

Docket No.: 55021USA3B

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101674965

9-01
Original documents or copy thereof.

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

Richard C. Allen
Susan L. Kent
Ronald J. Taber
Elisa M. Cross
William Ward Merrill

2. Name and address of receiving party(ies):

Name: 3M Innovative Properties Company

Internal Address: P.O. Box 33427

Additional name(s) of conveying party(ies) ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other Gangl 3/16/01; Condo 3/30/01; Allen, Cross,

Street Address: _____

City: St. Paul State: MN ZIP: 55133

Execution Date: Merrill, Johnson, Hebrink 4/2/01; Kent,

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/686,498

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. Fortkort

Internal Address: Office of Intellectual Property
Counsel

3M Innovative Properties Company

Street Address: P.O. Box 33427

City: St. Paul State: MN ZIP: 55133

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
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8. Deposit account number:

50-1047

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John A. Fortkort Reg. No. 38,454

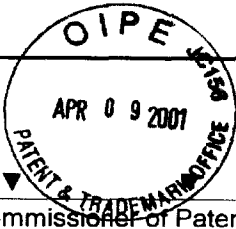
Name of Person Signing

Signature

4-5-01

Date

Total number of pages including cover sheet, attachments, and document: 4



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen A. Johnson
Peter D. Condo
Timothy J. Hebrink
Joseph A. Gangi

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies):

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

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B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

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Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved: 

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(see page 1 cover sheet)

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

METHOD FOR MAKING OPTICAL DEVICES FROM HOMOPOLYMERS

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 09/686,498, filed October 11, 2000;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Dea L. Arner
Witness

Sven J. Ziehl
Witness

Richard C. Allen 4/2/01
Richard C. Allen Date
Residence: Lilydale, Minnesota USA
Citizenship: United States of America

Sven J. Ziehl
Witness

Theresa C. Do
Witness

Susan L. Kent 4/3/01
Susan L. Kent Date
Residence: Shorewood, Minnesota USA
Citizenship: United States of America

Sven J. Ziehl
Witness

Theresa C. Do
Witness

Ronald J. Tabar 4/3/01
Ronald J. Tabar Date
Residence: St. Paul, Minnesota USA
Citizenship: United States of America

Gwen J. Ziehl
Witness
Theresa C. Jo
Witness

Elisa M. Cross Docket No. 55021 USA3B
4/2/01
Elisa M. Cross
Date
Residence: Woodbury, Minnesota USA
Citizenship: United States of America

Gwen J. Ziehl
Witness
Theresa C. Jo
Witness

William Ward Merrill April 2, 2001
William Ward Merrill
Date
Residence: White Bear Lake, Minnesota USA
Citizenship: United States of America

Dea L. Orner
Witness
Gwen J. Ziehl
Witness

Stephen A. Johnson 4/2/01
Stephen A. Johnson
Date
Residence: Woodbury, Minnesota USA
Citizenship: United States of America

Gwen J. Ziehl
Witness
Theresa C. Jo
Witness

Peter D. Condo March 30, 2001
Peter D. Condo
Date
Residence: Lake Elmo, Minnesota USA
Citizenship: United States of America

Calleen M. Wagner
Witness
Gwen J. Ziehl
Witness

Timothy J. Hebrink 4/2/01
Timothy J. Hebrink
Date
Residence: Oakdale, Minnesota USA
Citizenship: United States of America

Christopher A. Gangi
Witness
Jan Graham Chelgren
Witness

Joseph A. Gangi 3/16/01
Joseph A. Gangi
Date
Residence: St. Paul, Minnesota USA
Citizenship: United States of America