

04-26-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101690349

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) and copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☐ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☒ Other Assignment and Release of Intellectual Property Security Agreement

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Canadian Imperial Bank of Commerce

Execution Date
Month Day Year

Name (line 2) New York Agency, as Agent

Execution Date
Month Day Year

Second Party

Name (line 1)

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Read-Rite Corporation

Name (line 2) a Delaware Corporation

Address (line 1) 345 Los Coches

Address (line 2)

Address (line 3) Milpitas

CA

USA

95035

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Correspondent Name and Address

Area Code and Telephone Number

415-398-4700

Name

Murphy Sheneman Julian & Rogers

Address (line 1)

Attn: Ted H. Sien

Address (line 2)

101 California Street, Suite 3900

Address (line 3)

San Francisco, CA 94111

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5793577

5801531

5792547

5795451

5784224

5793550

5796558

5784228

5793279

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

91

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

3640⁰⁰

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

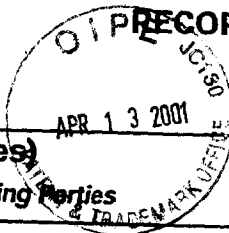
Ted H. Sien

Name of Person Signing

Signature

Date

4/10/01



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Execution Date
Month Day Year

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Name (line 1)

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Address (line 3) City State/Country Zip Code

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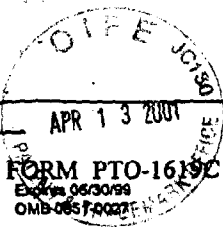
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

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Patent Number(s)

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| 5087332 | 5237476 | 5282103 |
| 5117589 | 5254373 | 5290416 |
| 5173826 | 5255141 | 5299081 |
| 5203119 | 5255142 | 5309305 |
| 5214589 | 5256266 | 5327310 |



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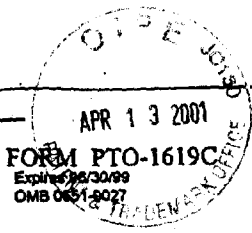
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Patent Number(s)

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| 5335458 | 5795448 | 5406432 |
| 5339702 | 5373408 | 5410794 |
| 5353180 | 5385637 | 5434826 |
| 5357389 | 5386666 | 5436779 |
| 5359480 | 5396387 | 5438273 |



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FORM PTO-1619C
Expires 06/30/99
OMB 0651-0027

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| 5568981 | 5612098 | 5654851 |
| 5576914 | 5772493 | 5657191 |
| 5578342 | 5617278 | 5668477 |
| 5602699 | 5627704 | 5680213 |
| 5606476 | 5646805 | 5685645 |

APR 13 2001

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| 5694276 | 5717550 | 5739987 |
| 5704715 | 5726841 | 5739990 |
| 5705973 | 5727308 | 5740148 |
| 5707538 | 5731937 | 5742162 |
| 5708358 | 5734533 | 5742459 |

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| 5742581 | 5758406 | |
| 5748413 | 5764451 | |
| 5750275 | | |
| 5751528 | | |
| 5754367 | | |

**ASSIGNMENT AND RELEASE OF
INTELLECTUAL PROPERTY SECURITY AGREEMENT**

(PATENTS)

RECITALS

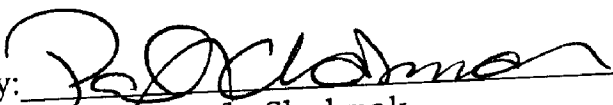
A. Read-Rite Corporation, a Delaware corporation ("Read-Rite"), Sunward Technologies, Inc., and Sunward Technologies, California, as Grantors, and Canadian Imperial Bank of Commerce, New York Agency, as Agent ("CIBC"), as Lender, entered into that certain Intellectual Property Security Agreement dated as of August 14, 1998, and recorded with the U.S. Patent and Trademark Office on October 19, 1998, at Reel 9500, Frame 0342, with respect to Patents, pursuant to which Read-Rite granted to CIBC a security interest in and lien on all of its interest in and to the Intellectual Property Collateral (as defined therein).

B. CIBC desires to release its security interest in and lien on the Intellectual Property Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, CIBC hereby releases its security interest in and lien on the Intellectual Property Collateral including, without limitation, the Patents set forth in the attached Exhibit A, and reassigns to Read-Rite, without representation or warranty, express or implied, and without recourse, all right, title and interest of CIBC in and to Read-Rite's interest in such Intellectual Property Collateral.

Dated: March 26, 2001

Canadian Imperial Bank of Commerce, New York Agency
as Agent

By: 
Name: Paul Chakmak
Title: Managing Director

PATENTS - 10/19/98