

04-17-2001



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RECORDATION FORM COVER SHEET

PATENTS ONLY

APR 17 2001

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

4.7.01

<p>1. Name of conveying party(ies):</p> <p>Gary A. Schultz Thomas N. Corso Simon J. Prosser</p>	<p>2. Name and address of receiving party(ies):</p> <p>Advion BioSciences, Inc. 15 Catherwood Road Ithaca, New York 14850</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date(s):</p> <p>1st (sole) Inventor: February 9, 2001 2nd Inventor: February 2, 2001 3rd Inventor: February 2, 2001 4th Inventor:</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s): 09/748,518</p> <p>B. Patent No.(s): _____</p>	

04/16/2001 TDIAZI 00000167 09748518

01 FC:581 40.00 DP
02 FC:581 40.00 DP

5. Name and address of party to whom correspondence concerning document should be mailed:

**Michael L. Goldman
NIXON PEABODY LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$80.00**

Previously paid (see original transmittal)

A check in the amount of **\$80.00** is enclosed.

8. Deposit Account Number: 14-1138

Charge total fee to account.

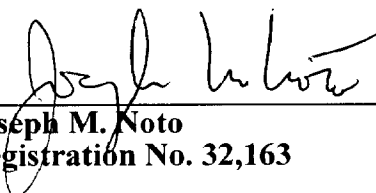
Charge any additional fees to account.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: March 28, 2001



Joseph M. Noto
Registration No. 32,163

[Total number of pages including cover sheet, attachments and document: 7]

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Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.

March 28, 2001

Ruth R. Smith

Date

Ruth R. Smith

ASSIGNMENT

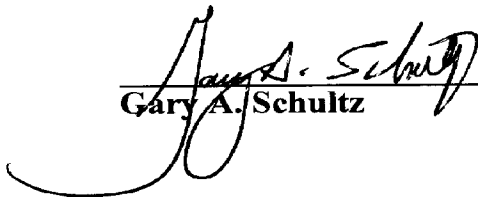
WHEREAS, We, **Gary A. Schultz**, a United States citizen, residing at 520 Warren Place, Ithaca, New York 14850; **Thomas N. Corso**, a United States citizen, residing at 7C Park Lane, Lansing, New York 14882; and **Simon J. Prosser**, a citizen of the United Kingdom, residing at 2250 N. Triphammer Road, #P3F, Ithaca, New York 14850, have invented certain new and useful improvements in **MULTIPLE ELECTROSPRAY DEVICE, SYSTEMS AND METHODS** for which we have executed an application for Letters Patent in the United States, filed as U. S. Serial No. **09/748,518** on **December 22, 2000**;

AND WHEREAS, **Advanced Bioanalytical Services, Inc.**, with its principal place of business at 15 Catherwood Road, Ithaca, New York 14850, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Advanced Bioanalytical Services, Inc.**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Advanced Bioanalytical Services, Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Advanced Bioanalytical Services, Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Advanced Bioanalytical Services, Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.


IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 9th day of February, 2001.



Gary A. Schultz


STATE OF NEW YORK
COUNTY OF TOMPKINS SS:

This 9th day of FEBRUARY, 2001, before me personally came the above-named **Gary A. Schultz**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.

Notary Public 

JOHN A. TERRY
Notary Public, State of New York
No. 4926193
Qualified in Seneca County
Commission Expires April 11, 2002
PATENT

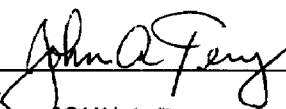
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 02 day of February, 2001.



Thomas N. Corso

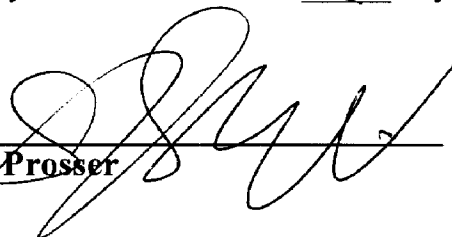
STATE OF NEW YORK
COUNTY OF TOMPKINS SS:

This 2ND day of FEBRUARY, 2001, before me personally came the above-named **Thomas N. Corso**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.



Notary Public
JOHN A. TERRY
Notary Public, State of New York
No. 4926193
Qualified in Seneca County
Commission Expires April 11, 2002

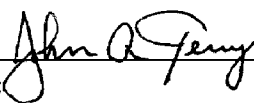
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 02 day of FEBRUARY, 2001.



Simon J. Prosser

STATE OF NEW YORK
COUNTY OF TOMPKINS SS:

This 2ND day of FEBRUARY, 2001, before me personally came the above-named **Simon J. Prosser**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.



Notary Public
JOHN A. TERRY
Notary Public, State of New York
No. 4926193
Qualified in Seneca County
Commission Expires April 11, 2002

N. Y. S. DEPARTMENT OF STATE
DIVISION OF CORPORATIONS AND STATE RECORDS

ALBANY, NY 12231-0001

FILING RECEIPT

F010123000879

ENTITY NAME: ADVION BIOSCIENCES, INC.

DOCUMENT TYPE: RESERVATION FOR CHANGE OF NAME

SERVICE COMPANY: ACCELERATED INFORMATION & DOCUMENT FIL SERVICE CODE: 24

APPLICANT NAME : ADVANCED BIOANALYTICAL SERVICES INC.

FILED:01/09/2001 DURATION:03/12/2001 CASE#:010109000450 FILM #:010109000436

ADDRESS FOR PROCESS

REGISTERED AGENT

** SUBMIT RECEIPT WHEN FILING CERTIFICATE **

FILED	FEE	PAYMENTS
ADVANCED BIOANALYTICAL SERVICES INC	45.00	45.00
KRISTINE PEACOCK C/O AIDF	FILING : 20.00	CASH : 0.00
50 STATE STREET, SUITE 836	TAX : 0.00	CHECK : 0.00
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		REFUND : 0.00

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DOB-1025 (11/89)

JAN-23-01 01:14P Accelerated Info & Doc SIB 434 3641 P.03

Received Time Mar. 15. 1:54PM

Print Time Mar. 15. 1:55PM

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RECORDED: 04/02/2001

REEL: 011676 FRAME: 0596