

04-18-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101678617

4-6-01

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ **New**
- ☐ **Resubmission (Non-Recordation)**
Document ID#
- ☐ **Correction of PTO Error**
Reel # Frame #
- ☐ **Corrective Document**
Reel # Frame #

Conveyance Type

- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Name (line 1) **Siamak Tabibzadeh** Execution Date Month Day Year **02/15/2001**
- Name (line 2)

Second Party

- Name (line 1) **James M. Mason** Execution Date Month Day Year **02/15/2001**
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached
- Name (line 1) **North Shore-Long Island Jewish Research Institute**
- Name (line 2)
- Address (line 1) **350 Community Drive**
- Address (line 2)
- Address (line 3) **Manhasset** **New York, USA** **11030**
- City State/Country Zip Code
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

FOR OFFICE USE ONLY

04/17/2001 TDIAZ1 00000182 09679971

01 FC:581

40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 011677 FRAME: 0671

Correspondent Name and Address

Area Code and Telephone Number **(212) 697-5995**

Name **Craig J. Arnold, Esq.**

Address (line 1) **Amster, Rothstein & Ebenstein**

Address (line 2) **90 Park Avenue**

Address (line 3) **New York, NY 10016**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/679,971

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

01-1785

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Craig J. Arnold, Esq.

Name of Person Signing

Craig J. Arnold

Signature

04/ 04 /2001

Date

A S S I G N M E N T

WHEREAS, Siamak Tabibzadeh and James M. Mason (hereinafter "the Assignors"), both citizens of the United States of America, and residing at 71 Parkview Drive, Albertson, New York, 11507, and 47 Cedar Avenue, Bethpage, New York, 11714, respectively, invented certain new and useful improvements in INHIBITION OF TGF- β AND USES THEREOF, for which an application for United States Letters Patent was filed on October 5, 2000, and assigned U.S. Serial No. 09/679,971; and

WHEREAS, North Shore - Long Island Jewish Research Institute (hereinafter "the Assignee"), a corporation organized under the laws of the State of New York, and having a principal place of business at 350 Community Drive, Manhasset, New York, 11030, is desirous of acquiring the Assignors' entire right, title, and interest in and to said application and the invention described therein, and each and every patent that can be issued on said application and on said invention in the United States of America, and in its territories and possessions, and in all countries foreign to the United States of America;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of One Dollar (\$1.00), in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, and transferred, and by these presents do hereby sell, assign, and transfer unto the Assignee, the full and exclusive right, title, and interest in and to the aforesaid application, the aforesaid invention, and each and every patent that may be issued on the aforesaid invention and application in the United States of America, and in its territories and possessions, and also in all countries foreign to the United States of America, the same to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which a patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors if this Assignment had not been made.

Assignors hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent on the aforesaid invention, or resulting from the aforesaid application, directly to the Assignee.

Assignors hereby authorize the Assignee and its successors to apply for a patent or patents directly in its own name, upon the aforesaid invention, wherever the same is permitted by law, and also assign, sell, transfer, and set over unto the Assignee and its successors all priority rights under the aforesaid application.

Assignors further covenant to execute all additional instruments, and to do all things necessary to carry out the purpose of this instrument, at the expense of the Assignee and its successors.

IN WITNESS WHEREOF, Assignors have hereunto set their hands and affixed their seals this 15th day of February, 2001.

STATE OF New York)
COUNTY OF Queens) SS.:

Siamak Tabibzadeh
Siamak Tabibzadeh
VIRGINIA SPELMAN
Notary Public, State of New York
No. 41-4918431
Qualified in Queens County
Commission Expires Feb. 1, 2002

On this 15th day of February, 2001, before me came Siamak Tabibzadeh, to me known and known to me to be the individual described in, and who executed, the foregoing instrument, and he acknowledged to me that he had executed the same.

Virginia Spellman
Notary Public

STATE OF New York)
COUNTY OF Queens) SS.:

James M. Mason
James M. Mason
VIRGINIA SPELMAN
Notary Public, State of New York
No. 41-4918431
Qualified in Queens County
Commission Expires Feb. 1, 2002

On this 15th day of February, 2001, before me came James M. Mason, to me known and known to me to be the individual described in, and who executed, the foregoing instrument, and he acknowledged to me that he had executed the same.

Virginia Spellman
Notary Public