

04-18-2001



101680665

PATENT

Attorney Docket No.: UM-04392

4-6-01
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Form PTO-1595

RECORDATION FORM COVER SHEET

U.S. Department of Commerce
Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frederick K. Askari

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

The Regents of the University of Michigan
3003 South State Street
Ann Arbor, Michigan 48109-1280

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

Assignment

Execution Date: 12/01/00

4. Title of Invention: **Improved Vectors**

Application number(s) or patent number(s):

A. Patent Application No.(s):

(09/562,616)

B. Patent No.(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Peter G. Carroll
MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200
San Francisco, California 94104

6. Total number of applications and patents involved: 1

7. Total fee(s):

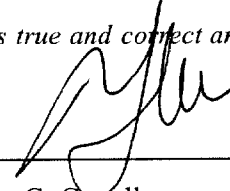
Check(s) in the amount of \$ enclosed ().

8. Deposit Account Authorization:

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

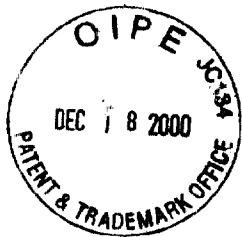
DO NOT USE THIS SPACE

9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Date: March 30, 2001Signature: Name: Peter G. CarrollReg. No.: 32,837

Total number of pages including cover sheet, attachments, and document: 3

PATENT
REEL: 011679 FRAME: 0742



01-05-2001



101572884

12-18-00

PATENT

Attorney Docket No. UM-04392

IN THE UNIT

ARK OFFICE

In re Application of: Frederick K. Askari
Serial No.: 09/562,616
Filed: 05/01/00
Entitled: Improved Vectors

Group No.:
Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on **December 15, 2000**.

By:

Traci E. Light

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed **July 19, 2000** (a copy of which is enclosed), applicant submits the following documents:

- ▶ Executed declaration or oath
- ▶ Verified statement claiming Small Entity Status
- ▶ an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to The Regents of the University of Michigan, 3003 South State Street, Ann Arbor, Michigan 48109-1280 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
- ▶ Power of Attorney by Assignee
- ▶ Petition for extension of time for filing within the third month

A check in the amount of **\$540.00** is enclosed herewith to cover:

\$65.00 surcharge for filing missing parts of an application

\$40.00 recordation fee

\$435.00 for filing a three month extension of time

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. **08-1290**. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: December 15, 2000


Peter G. Carroll

Registration No. 32,837

MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200
San Francisco, California 94104
415/705-8410

PATENT
REEL: 011679 FRAME: 0743

PATENT
Attorney Docket No.: UM-04392

ASSIGNMENT

WHEREAS, I, Frederick K. Askari, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Improved Vectors

Filing Date: 05/01/00

Serial No.:

WHEREAS, The Regents of the University of Michigan, a Michigan corporation, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's name to this assignment on the date indicated below.

Frederick K. Askari
Frederick K. Askari

STATE OF MichiganCOUNTY OF Wayne, acting as Notary Public

On this 1st day of December, in the year of 2000, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

Donald May
NOTARY PUBLIC

SEAL

DONALD MAY
Notary Public, Wayne County, MI
My Commission Expires Mar. 2, 2003