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ACTION FORM COVER SHEET

U.S. Department of Commerce
Patent and Trademark Office

PATENTS ONLY

101678222

Commissioner for Patents: Please record the attached
original documents or copy thereof

1. Name of conveying party(ies):
Patrick Rivelli, Jr.

Add'l names of conveying parties attached?
☐ Yes ☒ No

3. Nature of conveyance: ☒ Assignment
☐ Merger ☐ Security Agreement ☐ Other
☐ Change of Name ☐ Reassignment

4. Date of execution: March 28, 2001

2. Name/address of receiving party(ies):

Smart Therapeutics, Inc.

2551 Merced Street
San Leandro, CA 94577

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: _____.

A. Patent Application No.(s)
09/715,878 filed 17 November 2000

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Iota Pi Law Group
P.O. Box 60850
Palo Alto, CA 94306
(650) 324-0880

7. Total No. of applications and patents involved:
one (1)

8. Total fee (37 CFR \$3.41): \$40.00
☒ Enclosed is check for \$40.00

9. Total number of pages, including cover sheet, attachments and document: 4

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DO NOT USE THIS SPACE

10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Dehlinger
Name of Person Signing

Peter J. Dehlinger
Signature

04-03-2001
Date

Assignment

THIS ASSIGNMENT, by Patrick Rivelli, Jr., (hereinafter referred to as the Assignor), residing at Palo Alto, CA , witnesseth:

WHEREAS, the said Assignor has invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled **NEUROVASCULAR STENT AND METHOD**, bearing Serial No. 09/715,878 and filed on 17 November 2000;

WHEREAS, Smart Therapeutics, Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2551 Merced Street, San Leandro, CA 94577-4207, (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignor will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.


AND, the said Assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 3/28/01


Patrick Rivelli Jr.

WITNESSES TO SIGNATURE BY **Patrick Rivelli, Jr.**

1.


Signature

3/28/01
Date

Adam Elissar
Print Name

4245 23rd Street
Street Address

San Francisco CA 94114
City, State & Zip Code

2.


Signature

3/28/01
Date

ARANI BOSE, MD
Print Name

2140 Taylor # 501
Street Address

SF, CA 94133
City, State & Zip Code

Note: May be notarized in lieu of witnessing.

STATE OF)
COUNTY OF)

On _____ before me, _____, personally appeared **Patrick Rivelli, Jr.**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature

(Seal)
