

04-19-2001



FORM PTO-595

APR 02 2001

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U.S. DEPARTMENT OF COMMERCE

1-31-92

PATENTS ONLY

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ultraband Fiber Optics

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Novera Optics, Inc.

Street Address: 401 Charcot Avenue

City/State/Zip: San Jose, CA 95131

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Security Agreement☐ Other☐ Merger☒ Change of Name

Execution Date: 03/03/2001

4. Application number(s) or patent number(s): 09/577,197

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/577,197

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul Davis

Internal Address: FH 1-2

Wilson Sonsini Goodrich & Rosati

650 Page Mill Road

Palo Alto, CA 94304-1050

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 23-2415
(Attorney Docket No.: 21501-717)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Davis

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [5]

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PATENT
REEL: 011683 FRAME: 0327

State of Delaware
Office of the Secretary of State

PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE RESTATED CERTIFICATE OF "ULTRABAND FIBER OPTICS, INC.", CHANGING ITS NAME FROM "ULTRABAND FIBER OPTICS, INC." TO "NOVERA OPTICS, INC.", FILED IN THIS OFFICE ON THE SECOND DAY OF MARCH, A.D. 2001, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3042775 8100

AUTHENTICATION: 1002728

010106479

DATE: 03-03-01

PATENT
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Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3042775 8320

AUTHENTICATION: 1002729

010106479

DATE: 03-03-01

PATENT
REEL: 011683 FRAME: 0329

ULTRABAND FIBER OPTICS, INC.

Meeting of the Board of Directors

2115 O'Nel Drive, San Jose, California 95131

January 10, 2001

Pursuant to notice duly given, a meeting of the Board of Directors of Ultraband Fiber Optics, Inc. (the "Company") was held at the above location on the above date at 1:30 p.m.

Present were directors Byoung Yoon Kim, Richard Moley, Tae Hea Nahm, Herbert John Shaw, Bill Stensrud and John Walecka. Also present were Terry Markwardt, Gary Ball, John Keating, Sheryl McCoy, Wayne Sorin and Chisoon Lee of the Company, Mark Weeks of Venture Law Group, and Timothy Murphy of Octane Capital (board observer). Kirk Flatow participated by phone. Mr. Weeks kept the minutes of the meeting.

MARKETING UPDATE; CORPORATE NAME CHANGE

Mr. Markwardt provided a status report on sales and marketing account activity, including detailed discussions of key accounts. Mr. Markwardt also recommended that subject to the completion of trademark search, the Company change the name to Novera Optics, Inc. After discussion, the Board unanimously approved it.

ENGINEERING AND OPERATIONS UPDATE

Mr. Ball discussed engineering organization and presented current product design descriptions and schedules, as well as operational risks and strategies.

PREFERRED STOCK FINANCING MATTERS

Mr. Keating updated the Board with respect to Series C financing activities.

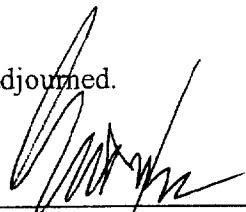
Darknell owns in lieu of any other settlement package. After full discussion, the Board unanimously approved such acceleration.

FUTURE BOARD DATES

After discussion, the Board agreed on the board dates for the second half of 2001, as follows: August 2, 2001 (1:30 pm), September 27, 2001 (2:00 pm); and November 15, 2001 (2:00 pm)

At this point, Ms. McCoy and Ms. Lee left the meeting, and the Board continued its discussions on operations.

There being no further business, the meeting was adjourned.



Chisoon Lee, Secretary of the Meeting