

04-19-2001



# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

01P05740US

101676802

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALLEN G. CHEN  
DENNIS A. HORAZAK

3/30/01

2. Name and address of receiving party(ies)

Name: Siemens Westinghouse Power Corporation

Internal Address: \_\_\_\_\_

Street Address: 4400 Alafaya TrailCity: Orlando State: Florida ZIP: 32826-2399Country: USAAdditional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: March 29, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 03/30/01

A. Patent Application No.(s)

Serial No.: To Be Assigned

B. Patent No.(s)

09822387

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: one7. Total Fee (37 CFR 3.41) . . . . . \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel J. Staudt, Esq.

Name of Person Signing

Signature

03/30/01

Date

Total number of pages including cover sheet, attachments, and document: 3

04/18/2001 TDIAZ1 00000157 192179 09822387

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40.00 CH

PATENT  
REEL: 011685 FRAME: 0351

1c971 U.S. PTO  
09/822387  
03/30/01

Pg 1 OF 2

ASSIGNMENT

For good and valuable consideration, I, **ALLEN G. CHEN**, residing at **8821 Bay Ridge Blvd., FL 32819, a United States citizen**; **DENNIS A. HORAZAK**, residing at **726 Divine Circle, Orlando, FL 32828, a United States citizen**; hereinafter referred to as Assignors, hereby sell, assign and transfer to **SIEMENS WESTINGHOUSE POWER CORPORATION**, a Corporation, organized and existing under the laws of Delaware, having its principal place of business at 4400 Alafaya Trail, Orlando, Florida 32826, hereinafter referred to as Assignee, its successors, assigns and legal representatives, the entire right, title and interest, in and for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters patent, which has been executed by the undersigned concurrently herewith, and is entitled:

**METHOD AND APPARATUS FOR COOLING HIGH TEMPERATURE  
COMPONENTS IN A GAS TURBINE**

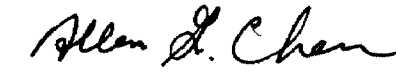
in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said inventions;

Assignors agree that Assignee may apply for and receive Letters Patent for said inventions in its own name;

Assignors agree that, at the request of the Assignee and, without charge to but at the expense of said Assignee, Assignors will carry out in good faith the intent and purpose of this Assignment; execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to Assignee, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which Assignee shall consider desirable for aiding in securing and mainlining proper patent protection for said inventions and for vesting title to said inventions, and all applications for patents and all patents on inventions in said Assignee;

Pg 2 OF 2

Covenant with Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.



ALLEN G. CHEN

STATE OF FLORIDA  
COUNTY OF ORANGE /

On this 29<sup>th</sup> day of March, 2001, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared ALLEN G. CHEN, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.



Notary Public

My commission expires: SANDRA J. STEUNENBERG  
Notary Public, State of Florida  
 My comm. exp. June 10, 2003  
 Comm. No. CC845164

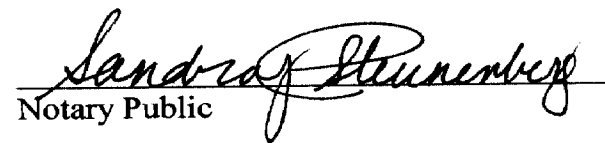


DENNIS A. HORAZAK

STATE OF FLORIDA  
COUNTY OF ORANGE /

On this 29<sup>th</sup> day of March, 2001, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared DENNIS A. HORAZAK, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.



Notary Public

My commission expires: SANDRA J. STEUNENBERG  
Notary Public, State of Florida  
 My comm. exp. June 10, 2003  
 Comm. No. CC845164