

04-20-2001



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U.S. DEPARTMENT OF COMMERCE
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101680941

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Laura Painton Swiler and Cynthia A. Phillips

2. Name and address of receiving party(ies)

SANDIA NATIONAL LABORATORIES
P. O. Box 5800 - MS-0161
Albuquerque, New Mexico 87185-0161

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other: Assignment & Confirmatory License

Execution Date(s): 2/28/01 and 2/28/01

Additional name(s) & address(es) attached? Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/805,640

B. Patent No.(s)

Filed: 3/13/01

Issued:

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy D. Stanley
Sandia National Laboratories
Internal Address: Patent & Licensing, 11500
Mail Stop 0161
Street Address: P. O. Box 5800

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account number: 19-0131

City: Albuquerque State: NM ZIP: 87185-0161

(Attach duplicate copy of this page if paying by account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 011688 FRAME: 0980

ASSIGNMENT

WHEREAS, Laura Painton Swiler and Cynthia A. Phillips of Albuquerque, New Mexico; has(have) made an invention relating to Method and Tool for Network Vulnerability Analysis in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-93,789 duly executed by said inventor(s); and

WHEREAS, Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of his/her/their their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation his/her/their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her/their interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia Corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of him/her/them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico

Laura Painton Swiler
 Laura Painton Swiler

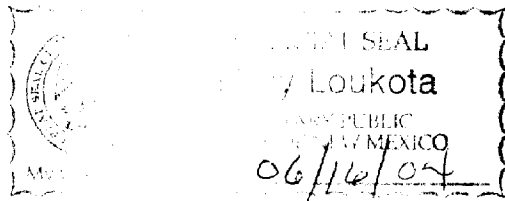
2/28/01
 Date

Cynthia A. Phillips
 Cynthia A. Phillips

2/28/01
 Date

STATE OF NEW MEXICO)
) ss:
 COUNTY OF BERNALILLO)

On the dates listed above, personally appeared before me, Mary Loukota, Notary Public, Laura Painton Swiler and Cynthia A. Phillips, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



Mary Loukota
 Notary Public

Approved and consented to this 15th day of March, 2001.

SANDIA CORPORATION

Timothy D. Stanley
 Chief Patent Counsel
 Patent and Licensing Office, MS 0161