

04-24-2001

Docket No.: Chan "E"

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



## PATENTS ONLY

101684626

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Pak Nin Chan

## 2. Name and address of receiving party(ies):

Name: Candy Novelty Works Ltd.

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Street Address: Unit A, Chuan Yuan Factory Building, 8/F.,

342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Execution Date: February 28, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

6,120,816, issued  
September 19, 2000Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joan H. Pauly

Internal Address: BARNARD &amp; PAULY, P.S.

Street Address: P.O. Box 58888

City: Seattle State: WA ZIP: 98138

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

02-0915

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joan H. Pauly

Name of Person Signing

Signature

April 5, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 011692 FRAME: 0844

## ASSIGNMENT

WHEREAS I, Pak Nin Chan, an individual having a business address of Unit A, Chuan Yuan Factory Building, 8/F., 342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong (hereinafter referred to as "Assignor") have invented and made a new and useful invention relating to a

### LOLLIPOP WITH PIVOT HOLDER

for which invention ASSIGNOR has executed and filed an application for Letters Patent of the United States on February 25, 1999, which application has been identified by Serial No. 09/257,328, now U.S. Patent No. 6,120,816, issued September 19, 2000;

WHEREAS, Candy Novelty Works Ltd., a corporation duly organized under the laws of Hong Kong and having a place of business at Unit A, Chuan Yuan Factory Building, 8/F., 342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title, and interest in and to said invention, said application, and said patent;

NOW, THEREFORE, for and in consideration of one dollar (\$1.00) and other good and valuable consideration, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold, assigned, and set over, and by these presents do hereby sell, assign, and set over, unto said ASSIGNEE and said ASSIGNEE's legal representatives, successors and assigns, the full and exclusive right, title, and interest in and to: said invention; said application for Letters Patent of the United States and any and all continuation, divisional, renewal, substitute or reissue applications based thereon that may hereafter be filed; all Letters Patent of the United States to be obtained therefor on said application or on any continuation, divisional, renewal, substitute or reissue thereof, for the full term or terms for which the same may be granted; all corresponding foreign applications that may or shall hereafter be filed; and, all foreign patents to be obtained on said invention for the full term or terms for which the same may be granted; said invention, application and Letters Patent, both foreign and domestic, to be held and enjoyed by ASSIGNEE for the use and benefit of ASSIGNEE and of ASSIGNEE's legal representatives, successors and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this Assignment and Sale not been made.

ASSIGNOR also agrees that this Assignment includes ASSIGNOR's Priority Rights under the International Convention with respect to any and all corresponding foreign applications that may or shall be filed in any country that is a signatory thereto and a member of the union there defined within the Convention period.

ASSIGNOR further agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and Sale.

ASSIGNOR further agrees that he will, upon request by ASSIGNEE, but at ASSIGNEE's expense, promptly provide ASSIGNEE with all pertinent facts and documents relating to said invention, said application or any continuation, divisional, renewal, substitute or reissue thereof, and said Letters Patent, both foreign and domestic, as may be known and

accessible to ASSIGNOR; and that ASSIGNOR will testify as to the same in any interference, opposition or litigation related thereto, and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention, and said Letters Patent, both foreign and domestic, which may be necessary or desirable to carry out the purposes hereof.

Executed at \_\_\_\_\_, this 28th day of  
February, 2001.

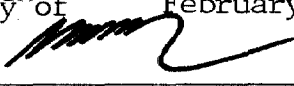


Pak Nin Chan  
Unit A, Chuan Yuan Factory Building, 8/F.  
342-344 Kwun Tong Road, Kwun Tong  
Kowloon, Hong Kong

On this 28th day of February, 2001, personally appeared before me Pak Nin Chan, to me known to be the individual described in and who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

I hereby verify the signature of PETER CHAN  
this 28th day of February 2001.

WITNESS to the signature  
of PAK LIN CHAN this 28th  
day of February 2001.

Chan Suk Hung 

Notary Public in and for \_\_\_\_\_,  
residing in Hong Kong.  
My commission expires on N/A.



Peter Chan  
Solicitor, Hong Kong SAR