

04-23-2001



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ocket No.: JP920000006US1

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Masaru Suzuki
Yoshihiro Katsu

4/04/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 04/02/01; 04/02/01

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 04/02/01; 04/02/01

A. Patent Application No.(s)

B. Patent No.(s)

09826340

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blanche E. Schiller, Esq.

Internal Address: HESLIN & ROTHENBERG, P.C.

Street Address: 5 Columbia Circle

City: Albany State: NY ZIP: 12203

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0463 (IBM)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Blanche E. Schiller, Reg. No. 35,670

Blanche E. Schiller

April 4, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT
REEL: 011693 FRAME: 0720

IBM DocId: No. J1920000006051

A S S I G N M E N T

Whereas, we

(1) Masaru Suzuki of Kanagawa
Country of Japan

and

(2) Yoshihiro Katsu of Kanagawa-ken
Country of Japan

have invented certain improvements in

BACK LIGHT UNIT, LIQUID CRYSTAL DISPLAY, AND
METHOD FOR MANUFACTURING LIGHT GUIDE PLATE

and executed a United States Patent Application therefor on

(1) 2001/04/02, and (2) 2001/04/02.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at YAMATO
on 2001/04/02

Masaru Suzuki
Masaru Suzuki

(2) at YAMATO
on 2001/04/02

Yoshihiro Katsu
Yoshihiro Katsu