

04-24-2001

Docket No.: 1442.033

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0851-0011 (exp. 4/94)  
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P08/REV02



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**W. John Gardenier and  
Anthony Brennan**

4/12/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

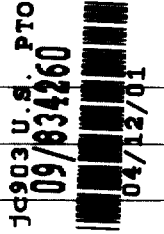
- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **April 10, 2001**

2. Name and address of receiving party(ies):

Name: **Saratoga Spa & Bath Co., Inc.**

Internal Address: \_\_\_\_\_

Street Address: **33 Wade Road**City: **Latham** State: **NY** ZIP: **12110**Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **April 12, 2001**

A. Patent Application No.(s)

B. Patent No.(s)

09834260

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John Pietrangelo**Internal Address: **Heslin & Rothenberg, P.C.**

04/17/2001 MAILING 00000019 09834260

04 FC:581 40.00 DP

Street Address: **5 Columbia Circle**City: **Albany** State: **NY** ZIP: **12203**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

**08-1935**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**John Pietrangelo, Reg. No. 39,331**

Name of Person Signing

Signature

**April 12, 2001**

Date

Total number of pages including cover sheet, attachments, and document:

**4**

PATENT

REEL: 011695 FRAME: 0700

## ASSIGNMENT

**WHEREAS**, We, **W. John Gardenier and Anthony Brennan**, citizens of the United States of America, and residing at 1 Ashwood Court, Albany, New York 12208, and 2184 Story Avenue, Niskayuna, New York 12309, respectively, have invented certain new and useful improvements in a **SOUND TRANSMISSION SYSTEM AND ILLUMINATION SYSTEM FOR A TUB, SPA, POOL, OR SHOWER** for which we have executed an application for Letters Patent of the United States, of even date herewith; and;

**WHEREAS**, **Saratoga Spa & Bath Co., Inc.**, having offices at 33 Wade Road, Latham, New York 12110, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

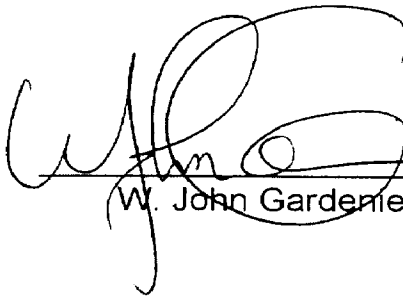
**NOW, THEREFORE**, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we the said **W. John Gardenier and Anthony Brennan** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

**AND WE HEREBY** covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

**AND WE HEREBY** further covenant and agree that we will communicate to the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Saratoga Spa & Bath Co., Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

**IN TESTIMONY WHEREOF**, I hereunto set my hand and seal this

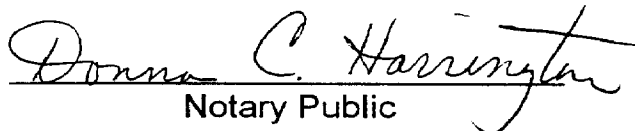
10 day of 4, 2001.

  
W. John Gardenier

STATE OF NEW YORK                    )  
  ) ss.:  
COUNTY OF \_\_\_\_\_ )

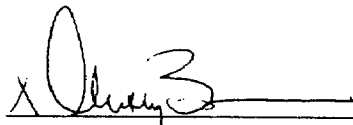
On this 10 day of April, 2001, before me personally came W. John Gardenier, to me known and known to me to be the person of that name, who signed the foregoing instrument, and he acknowledged the same to be his free act and deed.

DONNA C. HARRINGTON  
Notary Public, State of New York  
Qualified in Schenectady County  
No. 4946176  
Commission Expires Jan. 27, 2003

  
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this


10 day of 4, 2001.

  
\_\_\_\_\_  
Anthony Brennan

STATE OF NEW YORK            )  
  ) ss.:  
COUNTY OF \_\_\_\_\_ )

On this 10 day of April, 2001, before me personally came Anthony Brennan, to me known and known to me to be the person of that name, who signed the foregoing instrument, and he acknowledged the same to be his free act and deed.

DONNA C. HARRINGTON  
Notary Public, State of New York  
Qualified in Schenectady County  
No. 4946176  
Commission Expires Jan. 27, 2003

  
\_\_\_\_\_  
Notary Public