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FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

101679541

U.S. Department of Commerce  
Patent and Trademark Office

PATENT

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or cop(ies).

## Submission Type

- ☒ New *4/03/01*
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel # Frame #
- ☐ Corrective Document  
Reel # Frame #

## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other  
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

## Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Execution Date  
Month Day Year  
03 29 2001

Name (line1) PEZESHKI, Bardia

Name (line2)

## Second Party

Name (line1)

Name (line2)

*09825782*Execution Date  
Month Day Year

## Receiving Party

- ☐ Mark if additional names of receiving parties attached
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) SDL, Inc.

Name (line 2)

Address (line 1) 80 Rose Orchard Way

Address (line 2)

Address (line 3) San Jose

City

CA

State/Country

95134-1365

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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11017 U.S. PTO  
09/825182  
04/03/01

PATENT

REEL: 011695 FRAME: 0746

**Correspondent Name and Address** Area Code and Telephone Number (617) 367-4600

Name Philip L. Conrad, Esq.

Address (line 1) Kudirka & Jobse, LLP

Address (line 2) Two Center Plaza

Address (line 3) Boston, MA 02108

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document # 2  
including any attachments.

**Application Number(s) or Patent Number(s)** ☐ Mark if additional numbers attached  
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year  
03 29 2001

**Patent Cooperation Treaty (PCT)**

Enter PCT application number	PCT	PCT	PCT
only if a U.S. Application Number	PCT	PCT	PCT
Has not been assigned.			

**Number of Properties** Enter the total number of properties involved. # 1

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$40.00

Method of Payment: Enclosed ☐ Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 19-1015

Authorization to charge additional fees: Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Philip L. Conrad  
Name of Person Signing

*Philip L. Conrad*  
Signature

April 3, 2001  
Date

**Whereas** I, the undersigned, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled, **OPTICAL INTERLEAVER AND DEMULTIPLEXING APPARATUS FOR WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATIONS**, identified by Kudirka & Jobse, LLP, Docket No. S0023/7034, which application was executed by me on the date(s) indicated below, and

**Whereas** SDL, Inc., a Delaware corporation having a place of business at 80 Rose Orchard Way, San Jose, CA 95134-1365, which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

**Now, therefore**, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which is hereby acknowledged, I do hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, Convention and non-Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to myself or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same

could have been held and enjoyed by my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have executed this Assignment of Patent Application on the date indicated next to my name.

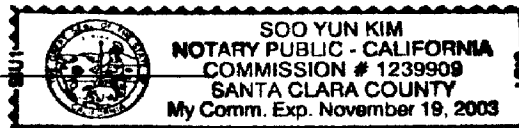
Date I signed the declaration: March 29, 2001.

Bardia Pezeshki Today's Date: March 29, 2001  
Bardia Pezeshki

State of California }  
County of Santa Clara } ss

On this 29th day of March, 2001, before me appeared **Bardia Pezeshki**, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Soo Yun Kim  
Notary Public



[seal]

☐ Known to me personally

☒ Identification produced: Calif. Driver License

☐ Additional assignor name sheets attached.

Assignment 2 of 2

RECORDED: 04/03/2001

PATENT  
REEL: 011695 FRAME: 0749