



04-24-2001



101684889

FORM PTO-1584

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

KKH-005

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- 1) Takahiro KITANO
2) Shinji KOBAYASHI
3) Yukihiro ESAKI
4) Masateru MORIKAWA

Additional name(s) of conveying party(ies) attached No

2. Name and address of receiving party(ies)

Name: TOKYO ELECTRON LIMITED

Internal Address:

Street Address: 3-6, Akasaka 5-chome, Minato-ku

City: TOKYO JAPAN

Additional name(s) & address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other

Execution Date: 1) November 13, 2000; 2) November 6, 2000;
3) & 4) November 7, 2000

4. Application number(s) or patent number(s): 09/711,968

If the document is being filed together with a new application, the execution date of the application is

Additional numbers attached No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RADER, FISHMAN & GRAUER

Internal Address: Suite 501

Street Address: 1233 20th Street, NW.,

City: Washington, D.C. Zip: 20036

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to Deposit Account

8. Deposit account number: 18-0013

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Green Reg. No. 41,800

Name of Person Signing

Signature

April 17, 2001

Date

Total number of pages comprising cover sheet 1

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

Substrate Processing Unit and Processing Method

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Tokyo Electron Limited

a Japanese corporation with offices at 3-6, Akasaka 5-chome, Minato-ku, Tokyo, Japan (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/711,968, Filing Date: November 15, 2000.

This assignment executed on the dates indicated below.

Takahiro KITANO

Name of first inventor Execution date of U.S. Patent Application

Kumamoto, Japan

Residence of first inventor

Takahiro Kitano

November 13, 2000

Signature of first inventor

Date of this assignment

Shinji KOBAYASHI

Name of second inventor Execution date of U.S. Patent Application

Kumamoto, Japan

Residence of second inventor

Shinji Kobayashi

November 6, 2000

Signature of second inventor

Date of this assignment

Yukihiko ESAKI

Name of third inventor Execution date of U.S. Patent Application

Kumamoto, Japan

Residence of third inventor

Yukihiko Esaki

November 7, 2000

Signature of third inventor

Date of this assignment

Masateru MORIKAWA

Name of fourth inventor

Execution date of U.S. Patent Application

Kumamoto, Japan

Residence of fourth inventor

Masateru Morikawa
Signature of fourth inventor

November 7, 2000
Date of this assignment

Name of fifth inventor

Execution date of U.S. Patent Application

Residence of fifth inventor

Signature of fifth inventor

Date of this assignment

Name of sixth inventor

Execution date of U.S. Patent Application

Residence of sixth inventor

Signature of sixth inventor

Date of this assignment