

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027

04-25-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT

101685268

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)Document ID# ☐ Correction of PTO ErrorReel # Frame # ☐ Corrective DocumentReel # Frame # **Conveyance Type**☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other **U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File**Conveying Party(ies)**☒ Mark if additional names of conveying parties attachedName (line 1) **ROHIT C. L. SACHDEVA**Execution Date
Month Day Year
03/23/01Name (line 2) **Second Party**Name (line 1) **FARROKH FARZIN-NIA**Execution Date
Month Day Year
03/21/01Name (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attachedName (line 1) **ORMCO CORPORATION**Name (line 2) Address (line 1) **1717 WEST COLLINS AVENUE**Address (line 2) Address (line 3) **ORANGE**

City

CALIFORNIA

State/Country

92867

Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
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States, an appointment
of a domestic
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(Designation must be a
separate document from
Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) **FOR OFFICE USE ONLY**04/25/2001 GTON11 00000439 501780 5044947
01 FC:581 40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**PATENT**
REEL: 011700 FRAME: 0651

Correspondent Name and Address

Area Code and Telephone Number **(714) 516-7690**

Name **STEPHEN J. TOMASSI, VICE PRESIDENT, GENERAL COUNSEL & SECRETARY**

Address (line 1) **1717 WEST COLLINS AVENUE**

Address (line 2) **ORANGE, CALIFORNIA 92867**

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5044947		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

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Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

1

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Fee Amount for Properties Listed (37 CFR 3.41): \$ **120.00**

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Enclosed ☐

Deposit Account ☒

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501780

Authorization to charge additional fees:

Yes ☐

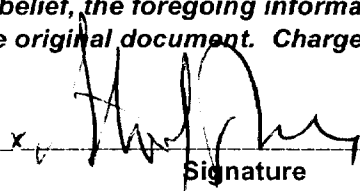
No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

STEPHEN J. TOMASSI

Name of Person Signing



Signature

04/03/01

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) SHUICHI MIYAZAKI

Execution Date
Month Day Year

12/20/2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT OF PATENT RIGHTS

This Assignment is made this 23rd day of MARCH, 2001, between Rohit C. L. Sachdeva, an individual (hereinafter "Assignor"), and Ormco Corporation, a Delaware corporation (hereinafter "Assignee").

WHEREAS, Assignor is the owner of and holds good and marketable title to the patent listed below hereto and in and to the inventions described therein (collectively referred to as the "Patent Rights"):

U.S. Patent 5,044,947

Date of Patent: September 3, 1991

Title: Orthodontic Archwire and Method of Moving Teeth

WHEREAS, Assignor desires to grant the entire right, title, and interest in and to the Patent Rights to Assignee;

NOW THEREFORE, for good and valuable consideration, receipt of which by Assignor is hereby acknowledged, Assignor hereby sells, assigns and transfers unto Assignee, its successors and assigns, Assignor's entire right, title and interest in and to the Patent Rights, including (i) the right to sue and collect damages for past and future infringements of the Patent Rights; (ii) any divisions, continuations, continuations-in-part, extensions, reexaminations or reissues of the patent listed above or of applications for said patent; and (iii) all foreign counterparts of said patent or patent applications.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all Letters Patent issuing from applications assigned hereunder to Assignee or its assigns.

Assignor further grants to Assignee, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries.

Assignor hereby warrants that it has the full right to make the conveyance herein, and hereby covenants that it and its successors and assigns will, when requested, take all other lawful action necessary to enable Assignee to obtain, maintain and enforce the full benefits of the rights and interests herein assigned.

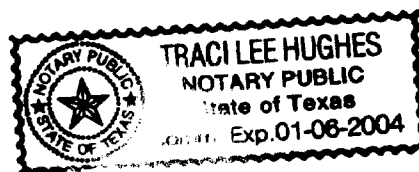
IN WITNESS WHEREOF, Assignor has executed this Assignment as of the date first stated above.

BY: Rohit C. L. Sachdeva
ROHIT C. L. SACHDEVA

STATE OF Texas
COUNTY OF Dallas) SS

Before me on this 23rd day of March, 2001, came Rohit C. L. Sachdeva, known to me, and acknowledged to me that he executed the foregoing Assignment, and pursuant to authority duly received.

Traci Lee Hughes (SEAL)
Notary Public, State of Texas
My Commission: 1-6-2004



ASSIGNMENT OF PATENT RIGHTS

This Assignment is made this 21st day of March, 2001, between Farrokh Farzin-Nia, an individual (hereinafter "Assignor"), and Ormco Corporation, a Delaware corporation (hereinafter "Assignee").

WHEREAS, Assignor is the owner of and holds good and marketable title to the patent listed below hereto and in and to the inventions described therein (collectively referred to as the "Patent Rights"):

U.S. Patent 5,044,947

Date of Patent: September 3, 1991

Title: Orthodontic Archwire and Method of Moving Teeth

WHEREAS, Assignor desires to grant the entire right, title, and interest in and to the Patent Rights to Assignee;

NOW THEREFORE, for good and valuable consideration, receipt of which by Assignor is hereby acknowledged, Assignor hereby sells, assigns and transfers unto Assignee, its successors and assigns, Assignor's entire right, title and interest in and to the Patent Rights, including (i) the right to sue and collect damages for past and future infringements of the Patent Rights; (ii) any divisions, continuations, continuations-in-part, extensions, reexaminations or reissues of the patent listed above or of applications for said patent; and (iii) all foreign counterparts of said patent or patent applications.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all Letters Patent issuing from applications assigned hereunder to Assignee or its assigns.

Assignor further grants to Assignee, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries.

Assignor hereby warrants that it has the full right to make the conveyance herein, and hereby covenants that it and its successors and assigns will, when requested, take all other lawful action necessary to enable Assignee to obtain, maintain and enforce the full benefits of the rights and interests herein assigned.


IN WITNESS WHEREOF, Assignor has executed this Assignment as of the date first stated above.

BY: 

FARROKH FARZIN-NIA

STATE OF California
COUNTY OF Los Angeles) SS

Before me on this 21st day of March, 2001, came Farrokh Farzin-Nia, known to me, and acknowledged to me that he executed the foregoing Assignment, and pursuant to authority duly received.

 (SEAL)

Notary Public, State of CALIFORNIA

My Commission: 1228740



ASSIGNMENT OF PATENT RIGHTS

This Assignment is made this 20 day of December, 2000, between Shuichi Miyazaki, an individual (hereinafter "Assignor"), and Ormco Corporation, a Delaware corporation (hereinafter "Assignee").

WHEREAS, Assignor is the owner of and holds good and marketable title to the patent listed below hereto and in and to the inventions described therein (collectively referred to as the "Patent Rights");

U.S. Patent 5,044,947

Date of Patent: September 3, 1991

Title: Orthodontic Archwire and Method of Moving Teeth

WHEREAS, Assignor desires to grant the entire right, title, and interest in and to the Patent Rights to Assignee;

NOW THEREFORE, for good and valuable consideration, receipt of which by Assignor is hereby acknowledged, Assignor hereby sells, assigns and transfers unto Assignee, its successors and assigns, Assignor's entire right, title and interest in and to the Patent Rights, including (i) the right to sue and collect damages for past and future infringements of the Patent Rights; (ii) any divisions, continuations, continuations-in-part, extensions, reexaminations or reissues of the patent listed above or of applications for said patent; and (iii) all foreign counterparts of said patent or patent applications.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all Letters Patent issuing from applications assigned hereunder to Assignee or its assigns.

Assignor further grants to Assignee, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries.

Assignor hereby warrants that it has the full right to make the conveyance herein, and hereby covenants that it and its successors and assigns will, when requested, take all other lawful action necessary to enable Assignee to obtain, maintain and enforce the full benefits of the rights and interests herein assigned.

IN WITNESS WHEREOF, Assignor has executed this Assignment as of the date first stated above.

BY: *Shuichi Miyazaki*
SHUICHI MIYAZAKI
J. L. - min

STATE OF *Thailand*)
) SS
COUNTY OF *Japan*

Before me on this 20 day of December, 2000, came Shuichi Miyazaki, known to me, and acknowledged to me that he executed the foregoing Assignment, and pursuant to authority duly received.

_____(SEAL)

Notary Public, State of _____

My Commission: _____

See Attached certificate

CALIFORNIA PROOF OF EXECUTION BY SUBSCRIBING WITNESS

State of California

County of LOS ANGELES

SS.

On 3-20-01, before me, the undersigned Notary Public, personally

Date

appeared FARROKH FARZIN-NIA, ☒ personally known to me ~~OR~~

Name of Subscribing Witness

☐ proved to me on the oath/affirmation of _____,

Name of Credible Witness Who Identifies Subscribing Witness

~~who is personally known to me~~, to be the person whose name is subscribed to the within instrument as a witness thereto, who, being by me duly sworn, deposed and said that he/she

was present and saw SHUICHI MIYAZAKI,

Name of Absent Principal Signer

the same person described in and whose name is subscribed to the within and annexed instrument in his/~~her~~ authorized capacity(ies) as a party thereto, execute the same, and that said affiant subscribed his/~~her~~ name to the within instrument as a witness at the request of



Place Notary Seal Above

SHUICHI MIYAZAKI

Name of Principal Signer (Again)

WITNESS my hand and official seal.

Martha Lomeli

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT OF PATENT RIGHTS

Document Date: DECEMBER 20, 2000 Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity Claimed by Absent Principal Signer

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Absent Signer (Principal) Is Representing: _____

RIGHT THUMBPRINT OF
SUBSCRIBING WITNESS
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