

FORM PTO-1619A
Expires 06/30/02
OMB 0651-0027



04-13-2001

U.S. Patent & TMO/TM Mail Rpt. Dt. #40

04-26-2001



101690788

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other Assignment and Release of Intellectual Property Security Agreement
- ☐ Departmental File ☐ Secret File
- (For Use ONLY by U.S. Government Agencies)

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Canadian Imperial Bank of Commerce

Name (line 2) New York Agency, as Agent

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Read-Rite Corporation

Name (line 2) a Delaware Corporation

Address (line 1) 345 Los Coches

Address (line 2)

Address (line 3) Milpitas

CA

USA

95035

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
PATENT REEL: 11700 FRAME: 0929

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text" value="4371905"/>	<input type="text" value="5138507"/>	<input type="text"/>
<input type="text" value="5008768"/>	<input type="text" value="5156704"/>	<input type="text"/>
<input type="text" value="5027240"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ted H. Sien

Name of Person Signing


Signature

4/10/01
Date

**ASSIGNMENT AND RELEASE OF
INTELLECTUAL PROPERTY SECURITY AGREEMENT**

(PATENTS)

RECITALS

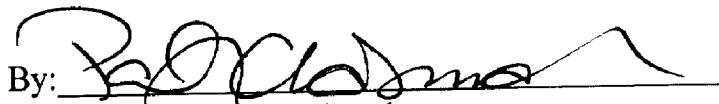
A. Read-Rite Corporation, a Delaware corporation ("Read-Rite"), Sunward Technologies, Inc., and Sunward Technologies, California, as Grantors, and Canadian Imperial Bank of Commerce, New York Agency, as Agent ("CIBC"), as Lender, entered into that certain Intellectual Property Security Agreement dated as of August 14, 1998, and recorded with the U.S. Patent and Trademark Office on November 19, 1998, at Reel 9633, Frame 0518, with respect to Patents, pursuant to which Read-Rite granted to CIBC a security interest in and lien on all of its interest in and to the Intellectual Property Collateral (as defined therein).

B. CIBC desires to release its security interest in and lien on the Intellectual Property Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, CIBC hereby releases its security interest in and lien on the Intellectual Property Collateral including, without limitation, the Patents set forth in the attached Exhibit A, and reassigns to Read-Rite, without representation or warranty, express or implied, and without recourse, all right, title and interest of CIBC in and to Read-Rite's interest in such Intellectual Property Collateral.

Dated: March 26, 2001

Canadian Imperial Bank of Commerce, New York Agency
as Agent

By: 
Name: Paul Chakmak
Title: Managing Director