

04-25-2001



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RECORDATION FORM COVER SHEET  
PATENTS ONLY

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Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patrick B. Gilliland  
Carlos Jines  
Robert M. Dworkin

04/05/01

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: Stratos Lightwave, Inc.

Internal Address: \_\_\_\_\_

Street Address: 7444 W. WILSON AVENUE

City: CHICAGO State: IL ZIP: 60706

Additional name(s) & address(es) attached?  Yes  No

31040 U.S. PTO  
09/826480  
04/05/01

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: April 4, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/826480

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: KARL D. KOVACH

Internal Address: Stratos Lightwave, Inc.

PATENT DEPARTMENT

Street Address: 7444 W. WILSON AVENUE

City: CHICAGO State: IL ZIP: 60706

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):..... \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

50-1413

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

KARL D. KOVACH

Name of Person Signing

Karl D. Kovach

Signature

April 4, 2001

Date

Reg. No. 40,278

Total number of pages comprising cover sheet:

**ASSIGNMENT**

**Case No:** 003OE008.C2  
**Inventor(s):** Patrick B. Gilliland  
Carlos Jines  
Robert M. Dwarkin

**Serial No.:** \_\_\_\_\_  
**Filing Date:** \_\_\_\_\_

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

**Stratos Lightwave, Inc., a corporation of the state of Delaware,**

its successors and assign, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**SMALL FORMAT OPTICAL SUBASSEMBLY**

and identified as:

**Case No. 003OE008.C2**

in the offices of Stratos Lightwave, Inc., 7444 West Wilson Avenue, Chicago, IL 60706 and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the entire right, title interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

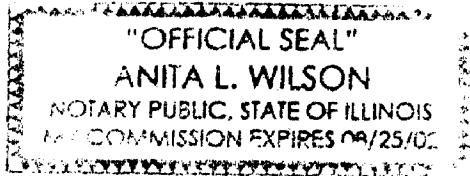
For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for

Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 4<sup>th</sup> day of APRIL 2001.

*Patrick B. Gilliland*

Patrick B. Gilliland  
5451 North East River Road  
Chicago, Illinois 60656

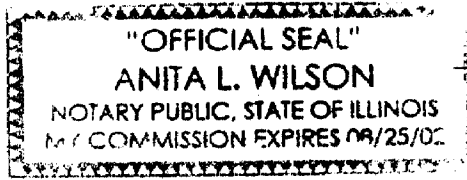


State of Illinois  
County of Cook

The foregoing instrument was acknowledged before me this 4<sup>th</sup> day of APRIL 2001, by ANITA L. WILSON

*Anita L. Wilson*  
NOTARY PUBLIC

WITNESS my hand and seal this 04 day of April 2001



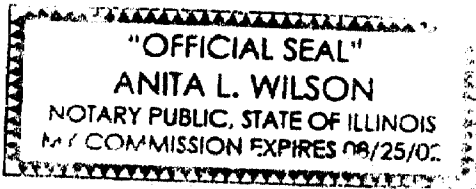
Carlos Jines  
Carlos Jines  
205 Circle Avenue, Apt. 2-C  
Forest Park, Illinois 60130

State of Illinois  
County of Cook

The foregoing instrument was acknowledged before me this 4<sup>th</sup> day of APRIL, 2001, by ANITA L. WILSON

Anita L. Wilson  
NOTARY PUBLIC

WITNESS my hand and seal this 4<sup>th</sup> day of April 2001



Robert M. Dwarkin  
Robert M. Dwarkin  
5904 North Campbell, Apt. 3  
Chicago, Illinois 60659

State of Illinois  
County of Cook

The foregoing instrument was acknowledged before me this 4<sup>th</sup> day of APRIL, 2001, by ANITA L. WILSON

Anita L. Wilson  
NOTARY PUBLIC

Express Mail No. EL593588805 US  
Date of Deposit April 4, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR § 1.10 on the date indicated above and is addressed to BOX PAT. APPLN., Commissioner for Patents, Washington, D.C. 20231.

Paul S. Kovach