

04-25-2001


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 IBM Docket No.: FIS9-2000-0375

101685940

U.S. Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

 03/29/01
 09/821520
 PTO

1. Name of conveying party(ies):

 Heemyong Park
 Fariborz Assaderaghi
 Atul C. Ajmera
 Ghavam G. Shahidi

03/29/01

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 03/20; 03/23; 03/22; 03/23/2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard RoadCity: ArmonkState: New YorkZip: 10504Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

Title: CMOS STRUCTURE WITH NON-EPITAXIAL RAISED SOURCE/DRAIN AND SELF-ALIGNED GATE AND METHOD OF MANUFACTURE

 If this document is being filed together with a new application, the execution date of the application is 03/20; 03/23; 03/22; 03/23/01

A. Patent Application No(s).

09/821520

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

 Marshall M. Curtis, Esq.
 McGuireWoods LLP
 1750 Tysons Boulevard, Suite 1800
 McLean, VA 22102
 (703)712-5000
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account☒ Total fee due☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0458

FI-185

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric W. Petraske
 Registration 28,459
 Tel.: (845)894-3402

Signature

March 29, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4

ASSIGNMENT

WHEREAS, we

- | | | |
|-----|-----------------------------|---------------------|
| (1) | <u>Heemyong Park</u> | of LaGrangeville |
| | County of Dutchess | State of New York |
| | and | |
| (2) | <u>Fariborz Assaderaghi</u> | of Mahopac |
| | County of Putnam | State of New York |
| | and | |
| (3) | <u>Atul C. Ajmera</u> | of Wappingers Falls |
| | County of Dutchess | State of New York |
| | and | |
| (4) | <u>Ghavam G. Shahidi</u> | of Yorktown Heights |
| | County of Westchester | State of New York |

have invented certain improvements in

CMOS STRUCTURE WITH NON-EPITAXIAL RAISED SOURCE/DRAIN AND SELF-ALIGNED GATE AND METHOD OF MANUFACTURE

and executed a United States patent application therefor on:
(dates that Inventors signed the declaration)

- (1) 3/20/2001, and (2) 3/23/2001, and (3) 3/22/01, and (4) 3/23/01.

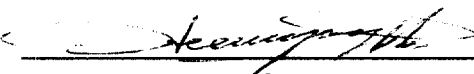
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all

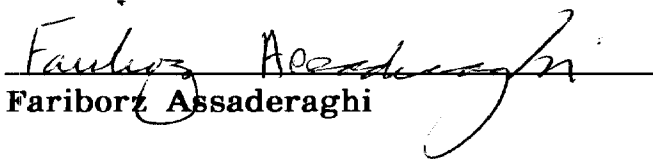
rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

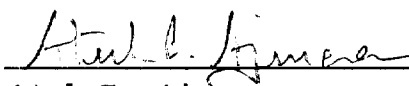
(1) at East Fishkill, NY
on 3/20/2001,
and


Heemyong Park

(2) at East Fishkill, NY
on 3/23/2001,
and


Fariborz Assaderaghi

(3) at East Fishkill, NY
on 3/22/01,
and


Atul C. Ajmera

(4) at East Fishkill, NY
on 3/23/01,


Ghavam G. Shahidi