

04-25-2001

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**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of Conveying Part(ies):

Eric G. Jakstadt  
Michael L. Waterston  
Vasanth Badari  
Jared E. Pfost

Additional name(s) of conveying party(ies) attached? Yes No ☒ X

## 3. Nature of conveyance:

☒ Assignment ☐ Security Agreement  
☐ Merger ☐ Change of Name  
☐ Other

Execution Date: 1/22/01; 1/27/01; 1/29/01; 3/29/01

## 2. Name and address of receiving party(ies):

Name: Microsoft Corporation

Internal Address:

Street Address: One Microsoft Way

City: Redmond State: WA Zip: 98052

Additional names(s) & address(es) attached: ☐ Yes ☒ No

APR - 6 2001

## 4. Application number(s) or patent number(s): 09/747,308

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s):

Additional numbers attached: ☐ Yes ☒ No

## B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Allan T. Sponseller

Internal Address: Lee &amp; Hayes, PLLC

Street Address: 421 W. Riverside Ave  
Suite 500

City: Spokane State: WA Zip: 99201

6. Total number of applications and patents involved ..... 17. Total fee (37 CFR 3.41)..... \$40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number: 12-0769

DO NOT USE THIS SPACE

## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allan T. Sponseller

Name of Person Signing

[Signature]  
Signature (Reg. No. 3,30)4/3/01  
Date

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

1                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2   Serial Number.....09/747,308  
3   Filing Date .....12/22/2000  
4   Inventorship ..... Jakstadt et al.  
5   Applicant ..... Microsoft Corporation  
6   Attorney's Docket No. .... MS1-428US  
7   Title: A System and Method for Secure Third-party Development and Hosting  
8         within a Financial Services Network

9                                   **PATENT ASSIGNMENT**

10                   **PARTIES TO THE ASSIGNMENT**

11                   Assignor(s):

12   Eric G. Jakstadt  
13   1851 Lakehurst Ct.  
14   Smyrna, GA 30080

15   Michael L. Waterston  
16   1106 23rd Avenue E  
17   Seattle, WA 98112

18   Vasanth Badari  
19   822 Queen Anne Avenue, Apt. 304  
20   Seattle, WA 98109

21   Jared  
22   Jarrod E. Pfost  
23   724 N. 72nd Street  
24   Seattle, WA 98103

25                   Assignee:

  Microsoft Corporation  
  Corporation in the State of Washington  
  One Microsoft Way  
  Redmond, WA 98052

**AGREEMENT**

          WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention  
entitled "A System and Method for Secure Third-party Development and Hosting  
within a Financial Services Network," as described and claimed in the

1 specification forming part of an application for United States letters patent  
2 referenced above;

3 WHEREAS, Microsoft Corporation (hereinafter referred to as  
4 ASSIGNEE), a corporation of the State of Washington having a place of business  
5 at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire  
6 right, title and interest in and to the invention and in and to any letters patent that  
7 may be granted therefor in the United States and in any and all foreign countries;

8 NOW, THEREFORE, in exchange for good and valuable consideration, the  
9 receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and  
10 transfer unto ASSIGNEE, the entire right, title and interest in and to said  
11 invention, said application and any and all letters patent which may be granted for  
12 said invention in the United States of America and its territorial possessions and in  
13 any and all foreign countries, and in any and all divisions, reissues and  
14 continuations thereof, including the right to file foreign applications directly in the  
15 name of ASSIGNEE and to claim priority rights deriving from said United States  
16 application to which said foreign applications are entitled by virtue of international  
17 convention, treaty or otherwise, said invention, application and all letters patent on  
18 said invention to be held and enjoyed by ASSIGNEE and its successors and  
19 assigns for their use and benefit and of their successors and assigns as fully and  
20 entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this  
21 assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize  
22 and request the Commissioner of Patents and Trademarks to issue all letters patent  
23 on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments  
24 and documents required for the making and prosecution of applications for United  
25 States and foreign letters patent on said invention, for litigation regarding said

1 letters patent, or for the purpose of protecting title to said invention or letters  
2 patent therefor.

3 \* \* \* \* \*

4 \_\_\_\_\_  
Date Eric G. Jakstadt

5 State of Georgia )  
6 ) ss.  
7 County of )

8 I certify that I know or have satisfactory evidence that Eric G. Jakstadt is the person  
9 who appeared before me, and said person acknowledged that he or she signed this  
instrument and acknowledged it to be his or her free and voluntary act for the uses  
and purposes mentioned in the instrument.

10 Dated \_\_\_\_\_

11 Signature of  
12 Notary Public \_\_\_\_\_

13 My appointment expires \_\_\_\_\_

14 \* \* \* \* \*

15 \_\_\_\_\_  
16 Date Michael L. Waterston

17 State of Washington )  
18 ) ss.  
County of King )

19 I certify that I know or have satisfactory evidence that Michael L. Waterston is the  
20 person who appeared before me, and said person acknowledged that he or she signed  
this instrument and acknowledged it to be his or her free and voluntary act for the  
21 uses and purposes mentioned in the instrument.

22 Dated \_\_\_\_\_

23 Signature of  
24 Notary Public \_\_\_\_\_

25 My appointment expires \_\_\_\_\_

Date 1/29/2001

  
Vasantha Badari

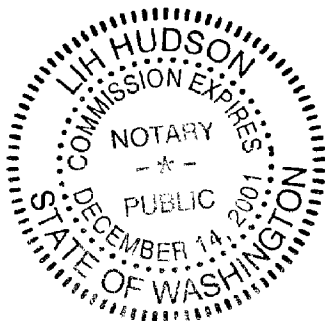
State of Washington                    )  
  ) ss.  
County of King                         )

I certify that I know or have satisfactory evidence that Vasantha Badari is the person who appeared before me, and said person acknowledged that he or she signed this instrument and acknowledged it to be his or her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 1/29/2001

Signature of Notary Public John A. Wilson

My appointment expires 12-14-2001



Date \_\_\_\_\_

Jarrod E. Pfost

State of Washington                    )  
  ) ss.  
County of King                         )

I certify that I know or have satisfactory evidence that Jarrod E. Pfost is the person who appeared before me, and said person acknowledged that he or she signed this instrument and acknowledged it to be his or her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_

Signature of  
Notary Public \_\_\_\_\_

My appointment expires \_\_\_\_\_

1                   **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2   Serial Number.....09/747,308  
3   Filing Date .....12/22/2000  
4   Inventorship ..... Jakstadt et al.  
5   Applicant ..... Microsoft Corporation  
6   Attorney's Docket No. .... MSI-428US  
7   Title: A System and Method for Secure Third-party Development and Hosting  
8         within a Financial Services Network

9                                   **PATENT ASSIGNMENT**

10                   **PARTIES TO THE ASSIGNMENT**

11                   Assignor(s):

12   Eric G. Jakstadt  
13   1851 Lakehurst Ct.  
14   Smyrna, GA 30080

15   Michael L. Waterston  
16   1106 23rd Avenue E  
17   Seattle, WA 98112

18   Vasanth Badari  
19   822 Queen Anne Avenue, Apt. 304  
20   Seattle, WA 98109

21   Jarrod E. Pfost  
22   724 N. 72nd Street  
23   Seattle, WA 98103

24                   Assignee:

25   Microsoft Corporation  
Corporation in the State of Washington  
One Microsoft Way  
Redmond, WA 98052

**AGREEMENT**

          WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention  
entitled "A System and Method for Secure Third-party Development and Hosting  
within a Financial Services Network," as described and claimed in the

1 specification forming part of an application for United States letters patent  
2 referenced above;

3 WHEREAS, Microsoft Corporation (hereinafter referred to as  
4 ASSIGNEE), a corporation of the State of Washington having a place of business  
5 at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire  
6 right, title and interest in and to the invention and in and to any letters patent that  
7 may be granted therefor in the United States and in any and all foreign countries;

8 NOW, THEREFORE, in exchange for good and valuable consideration, the  
9 receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and  
10 transfer unto ASSIGNEE, the entire right, title and interest in and to said  
11 invention, said application and any and all letters patent which may be granted for  
12 said invention in the United States of America and its territorial possessions and in  
13 any and all foreign countries, and in any and all divisions, reissues and  
14 continuations thereof, including the right to file foreign applications directly in the  
15 name of ASSIGNEE and to claim priority rights deriving from said United States  
16 application to which said foreign applications are entitled by virtue of international  
17 convention, treaty or otherwise, said invention, application and all letters patent on  
18 said invention to be held and enjoyed by ASSIGNEE and its successors and  
19 assigns for their use and benefit and of their successors and assigns as fully and  
20 entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this  
21 assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize  
22 and request the Commissioner of Patents and Trademarks to issue all letters patent  
23 on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments  
24 and documents required for the making and prosecution of applications for United  
25 States and foreign letters patent on said invention, for litigation regarding said

1 letters patent, or for the purpose of protecting title to said invention or letters  
2 patent therefor.

3 \* \* \* \* \*

4 \_\_\_\_\_  
Date Eric G. Jakstadt

5 State of Georgia )  
6 ) ss.  
7 County of )

8 I certify that I know or have satisfactory evidence that Eric G. Jakstadt is the person  
9 who appeared before me, and said person acknowledged that he or she signed this  
instrument and acknowledged it to be his or her free and voluntary act for the uses  
and purposes mentioned in the instrument.

10 Dated \_\_\_\_\_

11 Signature of  
12 Notary Public \_\_\_\_\_

13 My appointment expires \_\_\_\_\_

14 \* \* \* \* \*

15 \_\_\_\_\_  
16 Date Michael L. Waterston

17 State of Washington )  
18 ) ss.  
County of King )

19 I certify that I know or have satisfactory evidence that Michael L. Waterston is the  
20 person who appeared before me, and said person acknowledged that he or she signed  
this instrument and acknowledged it to be his or her free and voluntary act for the  
21 uses and purposes mentioned in the instrument.

22 Dated \_\_\_\_\_

23 Signature of  
24 Notary Public \_\_\_\_\_

25 My appointment expires \_\_\_\_\_



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Date Vasantha Badari  
\_\_\_\_\_  
State of Washington )  
                                  ) ss.  
\_\_\_\_\_  
County of King )

I certify that I know or have satisfactory evidence that Vasantha Badari is the person who appeared before me, and said person acknowledged that he or she signed this instrument and acknowledged it to be his or her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of  
Notary Public \_\_\_\_\_  
My appointment expires \_\_\_\_\_

1/2/01  
Date Jarrod E. Pfost  
Jarrod  
\_\_\_\_\_  
State of Washington )  
                                  ) ss.  
\_\_\_\_\_  
County of King )

I certify that I know or have satisfactory evidence that Jarrod E. Pfost is the person who appeared before me, and said person acknowledged that he or she signed this instrument and acknowledged it to be his or her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of  
Notary Public \_\_\_\_\_  
My appointment expires \_\_\_\_\_

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial Number.....09/747,308  
3 Filing Date .....12/22/2000  
4 Inventorship ..... Jakstadt et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MS1-428US  
5 Title: A System and Method for Secure Third-party Development and Hosting  
within a Financial Services Network

6 **PATENT ASSIGNMENT**

7 **PARTIES TO THE ASSIGNMENT**

8 **Assignor(s):**

9 Eric G. Jakstadt  
10 1851 Lakehurst Ct.  
Smyrna, GA 30080

11 Michael L. Waterston  
12 1106 23rd Avenue E  
Seattle, WA 98112

13 Vasantha Badari  
14 822 Queen Anne Avenue, Apt. 304  
Seattle, WA 98109

15 Jarrod E. Pfof  
16 724 N. 72nd Street  
Seattle, WA 98103

17  
18 **Assignee:**

19 Microsoft Corporation  
20 Corporation in the State of Washington  
One Microsoft Way  
Redmond, WA 98052

21 **AGREEMENT**

22 WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention  
23 entitled "A System and Method for Secure Third-party Development and Hosting  
24 within a Financial Services Network," as described and claimed in the  
25

1 specification forming part of an application for United States letters patent  
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3 WHEREAS, Microsoft Corporation (hereinafter referred to as  
4 ASSIGNEE), a corporation of the State of Washington having a place of business  
5 at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire  
6 right, title and interest in and to the invention and in and to any letters patent that  
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8 NOW, THEREFORE, in exchange for good and valuable consideration, the  
9 receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and  
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14 continuations thereof, including the right to file foreign applications directly in the  
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25 States and foreign letters patent on said invention, for litigation regarding said

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2 patent therefor.

3 \* \* \* \* \*

4 1/27/2001  
Date

Eric G. Jakstadt

5 State of Georgia )

6 ) ss.

7 County of )

8 I certify that I know or have satisfactory evidence that Eric G. Jakstadt is the person  
9 who appeared before me, and said person acknowledged that he or she signed this  
instrument and acknowledged it to be his or her free and voluntary act for the uses  
and purposes mentioned in the instrument.

10 Dated 01-27-2001

11 Signature of  
12 Notary Public Diana M. Baughman

13 My appointment expires 2-2-2002

14 Notary Public, Cobb County, Georgia  
My Commission Expires February 2, 2002

15 \* \* \* \* \*

16 Date

Michael L. Waterston

17 State of Washington )

18 ) ss.

County of King )

19 I certify that I know or have satisfactory evidence that Michael L. Waterston is the  
20 person who appeared before me, and said person acknowledged that he or she signed  
this instrument and acknowledged it to be his or her free and voluntary act for the  
21 uses and purposes mentioned in the instrument.

22 Dated \_\_\_\_\_

23 Signature of  
24 Notary Public \_\_\_\_\_

25 My appointment expires \_\_\_\_\_

1  
2 Date \_\_\_\_\_ Vasantha Badari \_\_\_\_\_  
3 State of Washington )  
4 County of King ) ss.

5 I certify that I know or have satisfactory evidence that Vasantha Badari is the person  
6 who appeared before me, and said person acknowledged that he or she signed this  
7 instrument and acknowledged it to be his or her free and voluntary act for the uses  
8 and purposes mentioned in the instrument.

9 Dated \_\_\_\_\_

10 Signature of  
11 Notary Public \_\_\_\_\_

12 My appointment expires \_\_\_\_\_

14 Date \_\_\_\_\_ Jarrod E. Pfof \_\_\_\_\_  
15 State of Washington )  
16 County of King ) ss.

17 I certify that I know or have satisfactory evidence that Jarrod E. Pfof is the person  
18 who appeared before me, and said person acknowledged that he or she signed this  
19 instrument and acknowledged it to be his or her free and voluntary act for the uses  
20 and purposes mentioned in the instrument.

21 Dated \_\_\_\_\_

22 Signature of  
23 Notary Public \_\_\_\_\_

24 My appointment expires \_\_\_\_\_  
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2   Serial Number.....09/747,308  
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4   Inventorship ..... Jakstadt et al.  
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14   Smyrna, GA 30080

15   Michael L. Waterston  
16   1106 23rd Avenue E  
17   Seattle, WA 98112

18   Vasantha Badari  
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21   Jarrod E. Pfost  
22   724 N. 72nd Street  
23   Seattle, WA 98103

24                   Assignee:

25   Microsoft Corporation  
Corporation in the State of Washington  
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5 at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire  
6 right, title and interest in and to the invention and in and to any letters patent that  
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9 receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and  
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15 name of ASSIGNEE and to claim priority rights deriving from said United States  
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19 assigns for their use and benefit and of their successors and assigns as fully and  
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25 States and foreign letters patent on said invention, for litigation regarding said

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2 patent therefor.

3 \* \* \* \* \*

4 \_\_\_\_\_  
Date Eric G. Jakstadt

5 State of Georgia )  
6 ) ss.  
7 County of )

8 I certify that I know or have satisfactory evidence that Eric G. Jakstadt is the person  
9 who appeared before me, and said person acknowledged that he or she signed this  
instrument and acknowledged it to be his or her free and voluntary act for the uses  
and purposes mentioned in the instrument.

10 Dated \_\_\_\_\_

11 Signature of  
12 Notary Public \_\_\_\_\_

13 My appointment expires \_\_\_\_\_

14 \* \* \* \* \*

15 3/29/2001   
16 Date Michael L. Waterston

17 State of Washington )  
18 ) ss.  
County of King )

19 I certify that I know or have satisfactory evidence that Michael L. Waterston is the  
20 person who appeared before me, and said person acknowledged that he or she signed  
this instrument and acknowledged it to be his or her free and voluntary act for the  
21 uses and purposes mentioned in the instrument.

22 Dated \_\_\_\_\_

23 Signature of  
24 Notary Public \_\_\_\_\_

25 My appointment expires \_\_\_\_\_



1  
2 Date \_\_\_\_\_ Vasantha Badari \_\_\_\_\_  
3 State of Washington )  
4 County of King ) ss.

5 I certify that I know or have satisfactory evidence that Vasantha Badari is the person  
6 who appeared before me, and said person acknowledged that he or she signed this  
7 instrument and acknowledged it to be his or her free and voluntary act for the uses  
8 and purposes mentioned in the instrument.

9 Dated \_\_\_\_\_

10 Signature of  
11 Notary Public \_\_\_\_\_

12 My appointment expires \_\_\_\_\_

14 Date \_\_\_\_\_ Jarrod E. Pfof \_\_\_\_\_  
15 State of Washington )  
16 County of King ) ss.

17 I certify that I know or have satisfactory evidence that Jarrod E. Pfof is the person  
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23 Notary Public \_\_\_\_\_

24 My appointment expires \_\_\_\_\_  
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