

04-25-2001

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PATENTS ONLY

Patent Case No. SD-99511A

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ET

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name(s) of Conveying party(ies):

ALISON GILLESPIE, BRIAN O. CLAEPS, LAURA ELENA CHAVEZ-NORIEGA, ROBERT SIEGEL AND KATHRYN J. ELLIOTT

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

MERCK & CO., INC.

By Alison Roche Date 3/23/01

3. Name and address of receiving party(ies):

Name: MERCK & Co., INC.

Internal Address: RY60-30

Street Address: P.O. Box 2000

City & State: RAHWAY, NEW JERSEY

Zip/Postal Code: 07065-0907

Execution Date: Feb 20, 2001

Additional name(s) & addresses attached?

☐ Yes☒ No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/703,951, filed on NOVEMBER 1, 2000

and titled:

DNA ENCODING HUMAN α AND β SUBUNITS OF NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR, CELLS TRANSFORMED THEREWITH, AND RECOMBINANT CELL LINE EXPRESSING A HUMAN α AND β SUBUNITS OF NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached?

☐ Yes☒ No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: VINEET KOHLI

Internal Address: PATENT DEPARTMENT

MERCK & CO., INC.

P.O. BOX 2000 -- RY60-30

City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ The Commissioner is hereby authorized to charge deposit account number 13-2755 for any fees which may be required or to credit any overpayment.

DO NOT USE THIS SPACE

8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

VINEET KOHLI

Reg. No. 37,003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

For value received, we, **ALISON GILLESPIE, BRIAN O. CLAEPS, LAURA ELENA CHAVEZ-NORIEGA, ROBERT SIEGEL AND KATHRYN J. ELLIOTT**,

of **12922 TEXANA STREET, SAN DIEGO, CALIFORNIA, 92129, 919 WEST LEWIS STREET, SAN DIEGO, CALIFORNIA 92103, 15760 CAMINO CORDONIZ, SAN DIEGO, CALIFORNIA 92127, 4360 HUGGINS STREET, SAN DIEGO, CALIFORNIA 92122 AND 3854 BAKER STREET, SAN DIEGO, CALIFORNIA 92117, RESPECTIVELY;**

hereby sell, assign and transfer to **MERCK & CO., Inc.**, a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to

DNA ENCODING HUMAN α AND β SUBUNITS OF NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR, CELLS TRANSFORMED THEREWITH, AND RECOMBINANT CELL LINE EXPRESSING A HUMAN α AND β SUBUNITS OF NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR.

described in an application for Letters Patent of the United States, executed by us on even date herewith, and in provisional application(s)

Serial No. **08/487,596** filed on **06/07/1995** ; Serial No. _____ filed on _____ ; Serial No. _____ filed on _____

(if applicable), and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property under any and all Letters Patents which may be granted therefor, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, VINEET KOHLI or an attorney with Power of Attorney in this application, of the said **MERCK & CO., Inc.**, to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at San Diego, California this 20th day of FEBRUARY, 2001.

Alison Gillespie
ALISON GILLESPIE

Laura E. Chavez Noriega
LAURA ELENA CHAVEZ-NORIEGA

Kathryn J. Elliott
KATHRYN J. ELLIOTT

Brian O. Claeys
BRIAN O. CLAEPS
Robert Siegel
ROBERT SIEGEL

ASSIGNMENT AND AGREEMENT

Signed at _____ this _____ day of _____, _____.

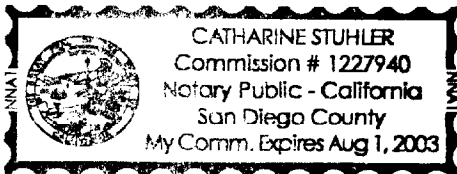
STATE OF CALIFORNIA

County of San Diego

SS.

Personally appeared before me the above-named ALISON GILLESPIE LAURA ELENA CHAVEZ-NORIEGA
KATHRYN J. ELLIOTT BRIAN O. CLAEPS ROBERT SIEGEL

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be
their free act and deed this 20th day of February, 2001.



Catharine Stuhler

Notary Public

County of _____

SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be
their free act and deed this _____ day of _____, _____.

Notary Public