

04-25-2001



# CORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101685708

Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.



1. Name of conveying part(ies):

Slobodan F. Fenjac  
Kelly L. Johnson  
Eugene R. Rusiecki  
Mark I. Shmukler  
Donald D. Vargasreyes

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.  
Street Address: 600 Mountain Avenue  
Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached?  Yes  No

09 833320

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other:
- Merger
- Change of Name

Execution Date: March 5, 2001; April 5, 2001 April 10, 2001; and April 11, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 12, 2001

A. Patent application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Daniel J. Santos**  
Thomas, Kayden, Horstemeyer & Risley, L.L.P.  
100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948

6. Total number of applications/patents involved: [ 1 ]

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325  
(Attach duplicate copy of this page if paying by Deposit Account)

04/26/2001 GTOM11 00000130 122325 09833320  
01 FC:581 40.00 CH

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Daniel J. Santos**

Typed Name

*Daniel J. Santos*  
Signature

**April 12, 2001**  
Date

Docket #: Fenjac 1-1-11-2-4-1

Total number of pages including cover sheet, attachments, and document: [ 8 ]

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## ASSIGNMENT AND AGREEMENT

For value received, we, Slobodan F. Fenjac of Marietta in the County of Fulton and State of Georgia; Kelly L. Johnson of Roswell in the County of Fulton and State of Georgia; Wayne M. Newton of Lilburn in the County of Gwinnett and the State of Georgia; Eugene R. Rusiecki of Alpharetta in the County of Fulton and State of Georgia; Mark I. Shmukler of Tucker in the County of DeKalb and State of Georgia; and Donald D. Vargasreyes of Norcross in the County of Gwinnett and State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **A TORQUE CAPSTAN THAT IMPROVES REVERSE OSCILLATING LAY (ROL) CONSISTENCY DURING AN OPTICAL FIBER CABLE MANUFACTURING PROCESS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Slobodan F. Fenjac  
Slobodan F. Fenjac

Date: 3-5-2001

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of April, 2001, before me personally came **Slobodan F. Fenjac**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 28, 2001

Fenjac 1-1-11-2-4-1

*Kelly L. Johnson*  
Kelly L. Johnson

Date: 4/5/2001

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of April, 2001, before me personally came **Kelly L. Johnson**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Christine P. Williams*  
Notary Public

 Notary Public, Forsyth County, Georgia  
My Commission Expires September 25, 2001

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Wayne M. Newton  
Wayne M. Newton

Date: 4/05/01

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of ~~March~~ April, 2001, before me personally came **Wayne M. Newton**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 26, 2001

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Eugene R. Rusiecki  
Eugene R. Rusiecki

Date: 4/10/01

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 10th day of April, 2001, before me personally came **Eugene R. Rusiecki**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 25, 2001

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Mark I. Shmukler  
Mark I. Shmukler

Date: 4/5/2001

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 5th day of April, 2001, before me personally came **Mark I. Shmukler**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 26, 2003

Fenjac 1-1-11-2-4-1

*Donald D. Vargasreyes*  
\_\_\_\_\_  
Donald D. Vargasreyes

Date: 4-11-01

United States of America )

State of Georgia ) ss.:

County of Gwinnett )

On this 11th day of April, 2001, before me personally came **Donald D. Vargasreyes**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Christine P. Williams*  
\_\_\_\_\_  
Notary Public

Notary Public, Forsyth County, Georgia  
My Commission Expires September 28, 2001

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636